

CTCP CHỨNG KHOÁN KIRIN
KIRIN SECURITIES JSC

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
THE SOCIALIST REPUBLIC OF VIETNAM
Độc lập - Tự do - Hạnh phúc
Independence - Freedom - Happiness

Số/No: 58 /2026/CV-CSI

Ha Noi, July 29, 2026

CÔNG BỐ THÔNG TIN
INFORMATION DISCLOSURE

Kính gửi: Ủy ban Chứng khoán Nhà nước /*State Securities Commission of VietNam*
To: Sở Giao dịch Chứng khoán Việt Nam /*Vietnam Exchange*
Sở Giao dịch Chứng khoán Hà Nội /*Hanoi Stock Exchange*

1. Tên tổ chức/*Name of organization*: **CTCP CHỨNG KHOÁN KIRIN/ KIRIN SECURITIES JSC**

- Mã chứng khoán/ *Stock code*: **CSI**

- Địa chỉ/*Address*: Tầng 12A, Tòa nhà ROX Tower, 54A Nguyễn Chí Thanh, phường Láng, Hà Nội/ *12A Floor, ROX Tower, 54A Nguyen Chi Thanh Street, Lang Ward, Hanoi.*

- Điện thoại liên hệ/*Tel.*: (+84) 024 3926 0099

- E-mail: cbtt@kirins.vn

2. Nội dung thông tin công bố/*Contents of disclosure*:

Báo cáo tình hình quản trị công ty 06 tháng đầu năm 2026.

Semi-Annual Report on Corporate Governance of 2026

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 29/ 7 /năm 2026 tại đường dẫn: <https://kirins.vn/tin-co-dong/> /*This information was published on the company's website on July 29, 2026, as in the link: https://kirins.vn/en/shareholder-relations/*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/*We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Đại diện tổ chức
Organization representative
Người UQ CBTT
Person authorized to disclose information



HOÀNG XUÂN HÙNG

KIRIN SECURITIES JSC

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

No: 47/2026/BC-CSI

Ha Noi, July 28 2026

REPORT ON CORPORATE GOVERNANCE
(Semi-Annual report 2026)

To: - The State Securities Commission;
- The Stock Exchange.

Name of company: **KIRIN SECURITIES JOINT STOCK COMPANY**

Address of headoffice: 12A Floor, ROX Tower, 54A Nguyen Chi Thanh Street, Lang Ward, Hanoi..

Telephone: (+84) 24 3926 0099

Email: cbtt@kirins.vn

Charter capital: 168.000.000.000 VND (One hundred sixty-eight billion Dong)

Stock symbol: **CSI**

Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/Decision No.	Date	Content
1	01/2026/NQ-ĐHĐCĐ-CSI	23/4/2026	Resolution of the AGM 2026

II. Board of Directors (Semi-Annual report):

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position of the Board of Directors))	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Wang Wei Ya	Chairman	12/06/2024	
2	Mr. Hoang Xuan Hung	Vice Chairman	12/06/2024	
3	Mr. Li You Mu	Non-executive members	12/06/2024	
4	Mrs. Le Thi Thanh Huyen	Independent members	12/06/2024	

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by BODs	Attendance rate	Reasons for absence
1	Mr. Wang Wei Ya	5	100%	
2	Mr. Hoang Xuan Hung	5	100%	
3	Mr. Li You Mu (Attend in person or authorize)	5	100%	
5	Mrs. Le Thi Thanh Huyen	5	100%	

3. Supervising the Board of Management by the Board of Directors:

Regularly monitor the activities of the General Director to ensure the company's operations are efficient and comply with the law. At the same time, the Board of Directors consistently oversees the implementation of resolutions from the General Meeting of Shareholders and the Board of Directors.

4. Activities of the Board of Directors' subcommittees (If any):

The Internal Audit Subcommittee operates very effectively in assisting the Board of Directors in supervising and inspecting the Company's activities.

5. Resolutions/Decisions of the Board of Directors (Semi-annual report):

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2026/NQ-HĐQT-CSI	05/03/2026	Plan for Organizing the 2026 Annual General Meeting of Shareholders (AGM)	100%
2	02/2026/NQ-HĐQT-CSI	01/04/2026	Approval of the Meeting Documents for the 2026 Annual General Meeting of Shareholders	100%
3	03/2026/NQ-HĐQT-CSI	06/5/2026	Implementation of the Company Name Change Plan in accordance with the AGM Resolution	100%
4	04/2026/NQ-HĐQT-CSI	16/6/2026	Approval of the Change of the Company's Branch Name	100%
5	05/2026/NQ-HĐQT-CSI	23/06/2026	Selection of an Independent Auditing Firm for the 2026 Financial Year	100%

III. Board of Supervisors (Semi-annual report):

1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date becoming the member of the Board of Supervisors	Qualification
1	Mr. Nguyen Huu Khoi	Head of the BOS	12/06/2024	Bachelor
2	Mrs. Nguyen Thi Hanh	Member	12/06/2024	Bachelor

3	Mrs. Tran Thi Nhung	Member	12/06/2024	Bachelor
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2. Meetings of Board of Supervisors

No.	Members of the Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Nguyen Huu Khoi	1	100%	100%	
2	Mrs. Nguyen Thi Hanh	1	100%	100%	
3	Mrs. Tran Thi Nhung	1	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

The Supervisory Board shall supervise and coordinate with the Board of Directors in the process of selecting an independent auditing firm to audit the Financial Statements and Financial Safety Ratio Reports for the year 2026.

Regularly monitor the activities of the Board of Directors to ensure the Company's operations are efficient and comply with the law, while also consistently overseeing the implementation of the Resolutions of the General Meeting of Shareholders..

4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:

- The Board of Supervisors receives all the information and reports as required from the Board of Directors and the Board of Management.
- The Board of Supervisors attends and participates in discussions at meetings of the Board of Management.
- The Board of Supervisors, the Board of Directors, and the Board of Management regularly exchange necessary information to enable the Supervisory Board to fulfill its monitoring duties.

5. Other activities of the Board of Supervisors and Audit Committee (if any):

IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment of members of the Board of Management/
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1	Mr. Hoang Xuan Hung	21/08/1989	Bachelor	01/03/2019
2	Mr. Do Bao Ngoc	03/11/1984	Bachelor	04/04/2018
3	Mr. Nguyen Thanh Minh	10/09/1984	Bachelor	10/04/2019

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment
Mr. Nguyen Thanh Minh	10/09/1984	Bachelor of Economics	07/05/2018

VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance:

VII. The list of affiliated persons of the public company (Semi-annual report) and transactions of affiliated persons of the Company)

1. The list of affiliated persons of the Company

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Wang Wei Ya (Mr)		Chairman		Ha Nam, China	12/06/2024		Elected at the GMS	Internal Person
2	Hoàng Xuân Hùng (Mr)		Vice chairman, CEO, Governance officer,		Hanoi	12/06/2024		Elected at the GMS	Internal Person

			person authorized for informatio n disclosure	Place of Issue: Hanoi					
3	Li You Mu (Mr)		Member of the Board		Phuc Kien, China	12/06/2024		Elected at the GMS	Internal Person
4	Lê Thị Thanh Huyền (Mrs)	-	Independe nt member of the Board		Ha Noi	12/06/2024		Elected at the GMS	Internal Person
5	Đỗ Bảo Ngọc (Mr)		Vice Director		Ha Noi	4/4/2018		BOD appoint	Internal Person
6	Nguyễn Thanh Minh (Mr)		CFO		Ha Noi	7/5/2018		BOD appoint	Internal Person
7	Nguyễn Hữu Khôi (Mr)	-	Head of the BOS		Ha Noi	12/06/2024		Elected at the GMS	Internal Person

8	Nguyễn Thị Hạnh (Ms)		Member of the BOS		Ha Noi	12/06/2024		Elected at the GMS	Internal Person
9	Trần Thị Nhung (Mrs)		Member of the BOS		Ha Noi	12/06/2024		Elected at the GMS	Internal Person
10	Lê Anh Tùng (Mr)		Internal Audit		Ha Noi	12/02/2025		Elected at the BOD	Internal Person
12	Kirin Capital	-	Major Shareholder holding more than 10% Capital		Tầng 16, ROX Tower, P. Láng, Hà Nội	01/2024		Purchased	Major Shareholder
13	Dương Hồng Trang (Mrs)		Major Shareholder holding more than 10% Capital		47 Nguyễn Tuân, Hà Nội	26/03/2026		Purchased	Major Shareholder

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.

4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO).

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers.

VIII.Share transactions of internal persons and their affiliated persons (semi-annual report)

1. The list of internal persons and their affiliated persons

Details are provided in Appendix No. 01 attached to this Report.

2. Transactions of internal persons and affiliated persons with shares of the Company: không/ None

IX. Other significant issues: None

Nơi nhận:

Recipients:

- Lưu: VT,...

- Archived:....

KIRIN SECURITIES JSC



WANG WEI YA

Appendix 01: The list of internal persons and their affiliated persons
(Enclosed with the Semi-Annual Report on corporate governance 2026)

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Wang Wei Ya		Chairman/ Legal Representative		Ha Nam, China	825.000	4,91%	
1.1	Wang Lian Yi	-	-		Ha Nam, China	0	0%	Child
1.2	Wang Xiao Ya	-	-		Ha Nam, China	0	0%	Brother

2	Hoàng Xuân Hùng		Vice chairman, CEO, Governance officer, person authorized for information disclosure		No 29 Lieu Giai, Ba Dinh, Hanoi	2.486.525	14,8%	
2.1	Hoàng Xuân Quê	-	-	Passed away	-	-	-	Dad
2.2	Trịnh Thị Tuyết	-	-		Thanh Hóa	0	0%	Mom
2.3	Hoàng Văn Dũng		-		Thanh Hóa	0	0%	Brother
2.4	Nguyễn Thị Kim Hòa		-		Ha Noi	0	0%	wife
2.5	Hoàng Hiếu Minh	-	-	Still little	Ha Noi	-	-	child

2.6	Hoàng Hiểu Lam	-	-	Still little	Ha Noi	-	-	child
2.7	Lê Thị Liên	-	-		Thanh Hóa	0	0%	Sister in law
2.8	Nguyễn Đình Phương	-	-		Thanh Hoa	0	0%	Father in Law
2.9	Lương Thị Lân	-	-		Thanh Hoa	0	0%	Mother in Law
3	Li You Mu		Member of the BOD		Phuc Kien, China	336.000	2%	
3.1	Chen Bing Bing	-	-		Phuc Kien, China	0	0%	Wife

3.2	Li Cheng Zuo	-	-		Phuc Kien, China	0	0%	Dad
3.3	Lin Jian Zhi	-	-		Phuc Kien, China	0	0%	Mom
3.4	Li You Zhu	-	-		Phuc Kien, China	0	0%	Brother
3.5	Li Na	-	-		Ha Nam, China	0	0%	Sister in law
3.6	Li Shu Yu	-	-	Still Little	Phuc Kien, China	-	-	Child
3.7	Zheng Xia Xiang	-	-		Phuc Kien, China	0	0%	Mother in law

				issue: China				
4.	Lê Thị Thanh Huyền	-	Independent member of the BOD	010661515	No.07 Yen The, Ba Dinh Dist, Ha Noi	0	0%	
4.1	Lê Thanh Hải	-	-		Ha Noi	0	0%	Dad
4.2	Dương Thị Hồng	-	-		Ha Noi	0	0%	Mom
4.3	Nguyễn Văn Sơn		-		Ha Noi	0	0%	Husband
4.4	Lê Thanh Hà	-	-			0	0%	Sister
4.5	Trịnh Thị Tuyết	-	-			0	0%	Mother in law

4.6	Nguyễn Văn Đại	-	-					Father in law
4.7	Nguyễn Thị Hồng Nhung	-	-					Sister in law
5	Nguyễn Hữu Khôi	-	Head of the BOS		14, Alley 207/28, Xuan Dinh, Bac Tu Liem, Hanoi.	2.000	0.012%	
5.1	Nguyễn Hữu Khang	-	-		Ha Noi	0	0%	Dad
5.2	Hồ Thị Bình	-	-		Ha Noi	0	0%	Mom
5.3	Nguyễn Thị Hồng Vân	-	-		Ha Noi	0	0%	Wife

5.4	Nguyễn Hữu Minh Tâm	-	-	Still Little	Ha Noi	-	-	Child
5.5	Nguyễn Hữu Dương	-	-	Still Little	Ha Noi	-	-	Child
5.6	Nguyễn Minh Hà	-	-	Still Little	Ha Noi	-	-	Child
5.7	Nguyễn Thị Vân Anh	-	-	001189022733, date of issue 01/5/2021 - Vietnam Police	Ha Noi	0	0%	Sister
5.8	Trịnh Xuân Thắng	-	-		Ha Noi	0	0%	Brother in law
5.9	Nguyễn Quốc Phong	-	-		Ha Noi	0	0%	Father in law
5.10	Vương Quý Mão	-	-		Ha Noi	0	0%	Mother in law
6	Nguyễn Thị Hạnh		Member of the BOS		Soc Son, Ha Noi	100	0.0006%	

6.1	Nguyễn Văn Thử	102C707719	-		Ha Noi	0	0%	Dad
6.2	Vũ Thị Nhã		-		Ha Noi	0	0%	Mom
6.3	Nguyễn Thu Thảo		-		Ha Noi	0	0%	Sister
6.4	Nguyễn Tiến Hùng		-		Ha Noi	0	0%	Brother
7	Trần Thị Nhung		Member of the BOS		Ha Noi	100	0,0006%	
7.1	Trần Khắc Anh	-	-		Nam Dinh	0	0%	Dad
7.2	Lê Hồng Anh	-	-		Nam Dinh	0	0%	Mom

				of issue 17/05/2021 – Vietnam Police				
7.3	Trần Khắc Đạt		-		Nam Dinh	100	0,0006%	Brother
7.4	Trần Văn Trọng	-	-		Ha Noi	0	0%	Husband
7.5	Trần Ánh Ngọc	-	-	Still Little	Ha Noi	-	-	Child
7.6	Nguyễn Thị Dự		-		Ha Noi	0	0%	Mother in law
7.7	Trần Văn Tuyển	-	-		Ha Noi	0	0%	Father in law
8	Đỗ Bảo Ngọc		Vice Director		No. 3, Group 22, Phuong Lien, Hanoi.	8.000	0.05%	

8.1	Đỗ Văn Bảo		-		Ha Noi	0	0%	Dad
8.2	Nguyễn Thị Hòa		-		Ha Noi	0	0%	Mom
8.3	Đỗ Hoàng Quỳnh Trang		-		Ha Noi	0	0%	Wife
8.4	Đỗ Ngọc Kỳ Lân		-		Ha Noi	0	0%	Brother
8.5	Đỗ Gia Phú	-	-	Still Little	Ha Noi	-	-	Child
8.6	Đỗ Quỳnh Như	-	-	Still Little	Ha Noi	-	-	Child
8.7	Đỗ Trọng Tuấn	-	-		Ha Noi	0	0%	Father in law
8.8	Hoàng Thị Ngọc Thúy		-		Ha Noi	0	0%	Mother in law

				- Vietnam Police				
8.9	Đỗ Hoàng Tùng		-		Ha Noi	0	0%	Brother in law
9	Nguyễn Thanh Minh		Chief Accountant		90 Nguyen Tuan, Thanh Xuan dist, Ha Noi	743.500	4.43%	
9.1	Nguyễn Thanh Chung	-	-		Ha Noi	0	0%	Dad
9.2	Trần Thị Kim Lan	-	-		Ha Noi	0	0%	Mom
9.3	Nguyễn Ngọc Chương	-	-		Bac Ninh	0	0%	Brother
9.4	Nguyễn Thanh Bình	-	-		Bac Ninh	0	0%	Sister

9.5	Doãn Thị Thương Huyền	-	-		Ha Noi	0	0%	Wife
9.6	Nguyễn Gia Hân	-	-	Still Little	Ha Noi	-	-	Child
9.7	Nguyễn Minh Khánh	-	-	Still Little	Ha Noi	-	-	Child
9.8	Doãn Đức Bình	-	-		Nghe An	0	0%	Father in law
9.9	Nguyễn Thị Thanh Châu	-	-		Nghe An	0	0%	Mothe r in law
9.10	Nguyễn Anh Vũ	-	-		Bac Ninh	0	0%	Brothe r in law
9.11	Nguyễn Thị Phương	-	-		Bac Ninh	0	0%	Sister in law

				04/08/2009 - Bac Ninh				
10	Lê Anh Tùng		Internal Audit		Ha Noi	0	0%	
10.1	Lê Anh Tuấn	-	-		Ha Noi	0	0%	Dad
10.2	Nguyễn Thị Thúy	102C028277	-		Ha Noi	0	0%	Mom
10.3	Nguyễn Lương Trường	-	-		Ha Noi	0	0%	Father in law
10.4	Hoàng Thị Tài	-	-		Ha Noi	0	0%	Mother in law
10.5	Lương Thu Trang	-	-		Ha Noi	0	0%	Wife
10.6	Lê Phương Nhi	-	-		Ha Noi	0	0%	Child
10.7	Lê Anh Sơn	-	-		Ha Noi	0	0%	Brother
11	Phan Thanh Ngọc		HCMC Branch Director		HCMC	0	0%	
11.1	Phan Xuân Thức	-	-		Lam Dong	0	0%	Dad

11.2	Nguyễn Thị Thu Hương	-	-		Lam Dong	0	0%	Mom
11.3	Phan Trung Hiếu	-	-		Lam Dong	0	0%	Brother

