SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

# HA GIANG MINERAL AND MECHANICS JOINT STOCK COMPANY

No.: 05/BC-HGM W. *Ha Giang 1, July 29, 2025* 

### REPORT ON CORPORATE GOVERNANCE 6 months 2025

To: - The State Securities Commission

- The Hanoi Stock Exchange

- Listed company name: Ha Giang Mineral and Mechinics Joint Stock Company.
- Head office address: No. 390, Nguyen Trai Street, Ha Giang 1 Ward, Tuyen Quang Province.
- Telephone: 02193866708 Fax: 02193867068 Email: khoasanhg@vnn.vn
- Charter capital: VND 126,000,000,000
- Stock symbol: HGM
- Governance model: General Meeting of Shareholders Board of Directors Board of Supervisors and Director.
  - The implementation of internal audit: Not yet implemented.

### I. Activities of the General Meeting of Shareholders:

On April 01, 2025, Ha Giang Mineral and Mechinics Joint Stock Company held the 2025 Annual

General Meeting of Shareholders at Company's headquarters.

No.	Resolutio	Issue I	Date	Content					
	n/Decisio								
	n No.								
1	01/NQ-	April	01,	<b>Article 1:</b> Approval of the Board of Directors' Report on the 2024					
	ÐHÐCÐ	2025		Business Performance, and the 2025 Business and Investment Plan.					
				<b>Article 2:</b> Approval of the report on the activities of the Board of					
				Supervisors in 2024.					
				<b>Article 3:</b> Approval of the audited financial statements for 2024 by					
				AASC Auditing Firm Company Limited.					
				Article 4: Approval of the profit after tax distribution for 2024 as					
				follows:					
				- Cash dividend is 138%, (13,800 VND per share), with the first					
				interim payment of 20% and the second interim payment of 30%					
				already disbursed.					
				- Allocation to the employee bonus and welfare fund: 10,000,000,000 VND.					
				- Allocation to the social work fund: 4,000,000,000 VND.					
				- Bonus allocation for the Executive Board exceeding the 2024 profit					
				before tax plan: 2,000,000,000 VND.					
				2,000,000,000 T.D.					
				Article 5: Approval of the Proposal on the Settlement of					
				Remuneration for the Board of Directors, the Board of Supervisors,					
				the Corporate Governance Officer for 2024, and the Remuneration					
				Payment Plan for 2025.					

- Settlement of 2024 remuneration and allowances:

+ BOD, Secretary: 564,000,000 VND + Board of Supervisors: 216,000,000 VND

+ Allowance for Independent

Board Members in 2024: 192,000,000 VND

Total: 972,000,000 VND

- Plan for remuneration and allowance payments plan in 2025:

+ BOD, Secretary: 564,000,000 VND + Board of Supervisors: 216,000,000 VND

+ Allowance for Independent

Board Members in 2025: 192,000,000 VND

Total: 972,000,000 VND

**Article 6:** Approval of the Proposal on the business and investment plan for 2025 with some key indicators as follows:

- Antimony ore mining: 5000 tons

- Antimony Metal Production: 500 ton
- Antimony Metal Sales: 550 ton
- Sales Revenue: 400 billion VND
- Profit Before Tax: 248 billion VND (Excluding provisions for financial investments)
- Cash Dividend:  $\geq$  50%, authorize the Board of Directors to determine the interim dividend payment rate and the payment schedule.
- Provisional deduction for welfare bonus fund in the year is 5% from after-tax profit.
- Investment Plan for 2025: Authorize the Company to implement based on actual conditions within its authority.

**Article 7:** Approval of the Proposal for selecting the auditing firm for the 2025 financial statements. Authorize the Board of Supervisors to review and select an independent auditing firm to audit the 2025 financial statements in accordance with applicable regulations.

**Article 8:** Approval of the Proposal on the adjustment of the Investment Certificate No. 10121000062 issued by the Hà Giang Provincial People's Committee on June 16, 2009.

**Article 9:** Approval of the proposal on the dismissal of a member of the Board of Directors and the appointment of a new member to the Board of Directors for the term 2021 -2025.

**Article 10:** Approval of the election results and list of elected members of the company's Board of Directors: Mr. Nguyen Phu Khanh for the 2021-2025 term.

**Article 11:** Implementation of the Resolution.

- 1. This Resolution takes effect from April 1, 2025.
- 2. Members of the Board of Directors, the Supervisory Board, and the Board of Management are responsible for directing the implementation of this Resolution according to their functions, duties, and powers in accordance with the provisions of law and the Company's Charter.

### II. Board of Directors:

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non- executive members of the Board of Directors)	The date becoming/ceasing to be the member/ Independent member of the Board of Directors	
			Date of Date of	
			appointment dismissal	
1	Mr. Pham Thanh Do	Chairman of the Board of	April 14, 2021	
		Directors - Non-executive		
2	Mr. Nguyen Viet Phuong	Vice Chairman of Board of	April 14, 2021	
		Directors - Non-executive		
3	Mr. Tran Nguyen Nam	Member – Non-executive	April 14, 2021   April 01, 2023	5
4	Mr. Nguyen Phu Khanh	Member – Non-executive	April 01, 2025	
5	Mr. Do Khac Hung	Member - Director	April 14, 2021	
6	Mr. Ta Hong Thang	Member – Independent member	April 14, 2021	
		of Board of Directors		
7	Mr. Nguyen Trung Hieu	Member – Independent member	April 14, 2021	
		of Board of Directors		
8	Mr. Trinh Ngoc Hieu	Member - Deputy Director	April 14, 2021	

2. Meetings of the Board of Directors

	2. Hoodings of the Board of Brootors									
No	Board of Director' member	Number of meetings attended by Board of Directors (in person – indirectly)	Attendance rate	Reasons for absence						
1	Mr. Pham Thanh Do	2/2	100%							
2	Mr. Nguyen Viet Phuong	2/2	100%							
3	Mr. Tran Nguyen Nam	1/2	50%	On business trip						
4	Mr. Nguyen Phu Khanh	1/2	0%	Absent with proxy to attend						
				meeting						
5	Mr. Trinh Ngoc Hieu	2/2	100%							
6	Mr. Ta Hong Thang	2/2	100%							
7	Mr. Nguyen Trung Hieu	2/2	100%							
8	Mr. Do Khac Hung	2/2	100%	_						

- 3. Supervising the Board of Management by the Board of Directors:
- The Board of Directors regularly monitors and directs the activities of the Board of Management and other management and operation tasks, and coordinates with the Company's Supervisory Board to inspect different works;
- Implement the Resolutions of the General Meeting of Shareholders, the Board of Directors and the decisions issued by the Board of Directors that are on progress and implementation measures;
- The Board of Directors regularly reviews and evaluates the implementation of business plans and economic indicators to promptly direct the Board of Management to meet resource requirements for the Company's operations;

- Check and supervise the implementation and compliance with the provisions of the Enterprise Law, the Company Charter and current regulations of the State.
- 4. Activities of the Board of Directors' subcommittees:

The subcommittee in charge of product consumption and foreign affairs supports the Board of Directors and the Board of Directors in consumption work, proposing policies for negotiating contracts with customers. Propose many important consultations for choosing appropriate prices, thereby promoting consumption work.

5. Resolutions/Decisions of the Board of Directors issued in first 6 months of 2025:

No	Resolution/Deci	Date	Content	Approv
	sion No.	(day/month/year)	Content	al rate
1	48/NQ-HĐQT	16/01/2025	Regular meeting of the fourth quarter of 2024	100%
2	49/NQ-HĐQT	07/02/2025	Approved payment of 2024 second dividend in cash at a rate of 30%	100%
3	50/NQ-HĐQT	10/02/2025	Finalizing the list of shareholders to organize the 2025 Annual General Meeting of Shareholders	100%
4	51/NQ-HĐQT	27/03/2025	Approval of documents used in the 2025 Annual General Meeting of Shareholders	100%
5	52/NQ-HĐQT	09/05/2025	Approved payment of 3rd dividend in 2024 in cash at 88% rate	100%
6	53/NQ-HĐQT	15/05/2025	Regular meeting of the first quarter of 2025	100%
7	54/NQ-HĐQT	30/05/2025	Approval of dismissal of executive management personnel	100%
8	55/NQ-HĐQT	20/06/2025	Approval of the content of the 2025 annual general meeting of shareholders of Cao Bang Iron and Steel Joint Stock Company	100%
9	01/QĐ-HĐQT	16/01/2025	Salary ranking for executive management positions of the company	100%
10	02/QĐ-HĐQT	02/04/2025	Remuneration for members of the Board of Directors, Supervisory Board and person in charge of corporate governance in 2025	100%
11	03/QĐ-HĐQT	30/05/2025	Dismisal of the position of deputy director of the company	100%

### III. Board of Supervisors:

1. Information about members of Board of Supervisors:

No	Members of Board of Supervisors	Position	The date becoming/c easing to be the member of the Board of Supervisors	Qualification
1	Mr. Nguyen Ngoc Tuan	Head of BOS	April 14, 2021	Master of Economics
2	Ms. Nguyen Thi Luong Thanh	Member of BOS	April 14, 2021	Master of Business Administration
3	Mr. Nguyen Huu Trong	Member of BOS	April 14, 2021	Bachelor of Economics

2. Meetings of Board of Supervisors

No	Board Member	Number of meetings attended	Meeting attendance rate	Voting ratio	Reason for not attending the meeting
1	Mr. Nguyen Ngoc Tuan	2/2	100%	100%	
2	Ms. Nguyen Thi Luong Thanh	2/2	100%	100%	
3	Mr. Nguyen Huu Trong	2/2	100%	100%	

- 3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors: The Board of Supervisors has operated properly, inspected and supervised the implementation of the Resolutions of the General Meeting of Shareholders and the Board of Directors of the Board of Management.
- 4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:

The Board of Supervisors has coordinated well with the Board of Directors, the Executive Board and other management staff in the process of implementing the Resolution of the General Meeting of Shareholders and organizing effective production and business.

5. Other activities of the Board of Supervisors: The Board of Supervisors has reviewed and verified the pre-audit financial statements and investment work.

IV. Board of Management:

	oara or management.			
No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management
1	Do Khac Hung	March 10, 1974	Bachelor of Economics	Appointed June 1, 2024
2	Trinh Ngoc Hieu	December 20, 1965	Electrical Engineer	Appointed June 1, 2024
3	Nguyen Van Bien	March 15, 1964	Mining Engineer	Appointed June 1, 2024
4	Vu Thang Binh	December 9, 1977	Master of Economics	Appointed June 1, 2024

#### V. Chief Accountant:

	101110000000000000000000000000000000000			
No.	Name	Date of birth	Qualification	Date of appointment/ dismissal
1	Ms. Hoang Le Khanh	February 12, 1977	Bachelor of Economics	Appointed June 1, 2024

VI. Training courses on corporate governance: The Board of Directors, Director cum Chief Accountant and the Company's Administration Officer attended the seminar on compliance with securities laws and noted errors in financial reporting organized by the Hanoi Stock Exchange on June 20, 2025.

VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company:

## 1. The list of affiliated persons of the Company:

No.	Name of organiza tion/indi vidual	Securiti es trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliat ed person	Reas ons	Relat ionship with the Compa ny
1.1	Pham Van Hoi					April 14, 2021			Affiliate d person to Mr. Pham Thanh Do (Biologi cal father)
1.2	Le Thi Thao					April 14, 2021			Affiliate d person to Mr. Pham Thanh Do (Biologi cal mother)
1.3	Pham Thu Hien					April 14, 2021			Affiliate d person to Mr. Pham Thanh Do (Biologi cal Sister)
1.4	Duong Thanh Huyen					April 14, 2021			Affiliate d person to Mr. Pham Thanh Do (Wife)
1.5	Duong Trung Quoc					April 14, 2021			Affiliate d person to Mr. Pham Thanh Do (Father- in-law)
1.6	Nguyen Thi Thu Hang					April 14, 2021			Affiliate d person to Mr. Pham Thanh

					Do (Mother- in-law)
1.7	Pham Quoc Anh			April 14, 2021	Affiliate d person to Mr. Pham Thanh Do (Biologi cal son)
1.8	Pham Thanh An			April 14, 2021	Affiliate d person to Mr. Pham Thanh Do (Biologi cal son)
2.1	Dang Thi Thu Phuong			April 14, 2021	Affiliate d person to Mr. Nguyen Viet Phuong (Wife)
2.2	Nguyen Van Hung			April 14, 2021	Affiliate d person to Mr. Nguyen Viet Phuong (Biologi cal father)
2.3	Chu Thi Kim Thoa			April 14, 2021	Affiliate d person to Mr. Nguyen Viet Phuong (Biologi cal mother)
2.4	Dang The Dung			April 14, 2021	Affiliate d person to Mr. Nguyen Viet Phuong (Father- in-law)
2.5	Nguyen Thanh Le		7	April 14, 2021	Affiliate d person to Mr.

					Nguyen Viet Phuong (Biologi cal Brother)
2.6	Nguyen Van Phong			April 14, 2021	d person to Mr. Nguyen Viet Phuong (Biologi cal Brother)
2.7	Vuong Thi Huong Giang			April 14, 2021	Affiliate d person to Mr. Nguyen Viet Phuong (Sister- in-law)
2.8	Vu Minh Thao			April 14, 2021	Affiliate d person to Mr. Nguyen Viet Phuong (Sister- in-law)
2.9	Nguyen Dang Ngoc Mai			April 14, 2021	Affiliate d person to Mr. Nguyen Viet Phuong (Biologi cal daughter )
2.10	Nguyen Dang Ngoc Tram			April 14, 2021	Affiliate d person to Mr. Nguyen Viet Phuong (Biologi cal daughter)
2.11	State Capital Investment Corporation			April 14, 2021	Affiliate d person to Mr.

							Nguyen Viet Phuong (Deputy Head of Investm ent Departm ent 1)
2.12	State Capital Investment Corporation – LLC			April 14, 2021			Affiliate d person to Mr. Nguyen Viet Phuong (Deputy Head of Investm ent Departm ent 1)
3.1	Ho Thi Quynh Lien			April 14, 2021			Affiliate d person to Mr. Tran Nguyen Nam (Wife)
3.2	Tran Nguyen Thao			April 14, 2021			Affiliate d person to Mr. Tran Nguyen Nam (Biologi cal daughter )
3.3	Tran Thanh Hung			April 14, 2021			Affiliate d person to Mr. Tran Nguyen Nam (Biologi cal son)
3.4	Tran Van Trung			April 14, 2021	April 1, 2025	Mr. Tran Nguye n Nam has resign ed	Affiliate d person to Mr. Tran Nguyen Nam (Biologi cal Father)
3.5	Tran Thi Cuc			April 14, 2021	April 1, 2025	Mr. Tran	Affiliate d person

						Nguye n Nam has resign ed	to Mr. Tran Nguyen Nam (Biologi cal mother)
3.6	Tran Ngoc Hai			April 14, 2021	April 1, 2025	Mr. Tran Nguye n Nam has resign ed	Affiliate d person to Mr. Tran Nguyen Nam (Biologi cal Younger Brother)
3.7	Tran Thi Thuy Linh			April 14, 2021	April 1, 2025	Mr. Tran Nguye n Nam has resign ed	Affiliate d person to Mr. Tran Nguyen Nam (Biologi cal Younger sister)
3.8	State Capital Investment Corporation			April 14, 2021	April 1, 2025	Mr. Tran Nguye n Nam has resign ed	Affiliate d person to Mr. Tran Nguyen Nam (Head of general planning departm ent)
3.9	State Capital Investment Corporation – LLC			April 14, 2021	April 1, 2025	Mr. Tran Nguye n Nam has resign ed	Affiliate d person to Mr. Tran Nguyen Nam (Head of general planning departm ent)
4.1	Vu Lan Huong			April 14, 2021		Mr. Nguye n Phu Khanh was appoi nted to replac	Affiliate d person to Mr. Nguyen Phu Khanh (Wife)

					e Mr. Tran Nguye n Nam on the Board of Direct ors	
4.2	Nguyen Phu An			April 14, 2021	Mr. Nguye n Phu Khanh was appoi nted to replac e Mr. Tran Nguye n Nam on the Board of Direct ors	Affiliate d person to Mr. Nguyen Phu Khanh (Biologi cal
4.3	Nguyen Phu Khang			April 14, 2021	Mr. Nguye n Phu Khanh was appoi nted to replac e Mr. Tran Nguye n Nam on the Board of Direct ors	Affiliate d person to Mr. Nguyen Phu Khanh (Biologi
4.4	Nguyen Phu Dat			April 14, 2021	Mr. Nguye n Phu Khanh was appoi nted to replac e Mr. Tran Nguye n Nam	Affiliate d person to Mr. Nguyen Phu Khanh (Biologi cal father)

4.5	Le Thi Hoa Binh			April 14, 2021	on the Board of Direct ors  Mr. Nguye n Phu Khanh was appointed to replace Mr. Tran Nguye n Nam on the Board of Direct ors	Affiliate d person to Mr. Nguyen Phu Khanh
4.6	Vu Xuan Cao			April 14, 2021	Mr. Nguye n Phu Khanh was appoi nted to replac e Mr. Tran Nguye n Nam on the Board of Direct ors	Affiliate d person to Mr. Nguyen Phu Khanh (Father- in-law)
4.7	Ta Thi Hong Tinh			April 14, 2021	Mr. Nguye n Phu Khanh was appoi nted to replac e Mr. Tran Nguye n Nam on the Board of Direct	Affiliate d person to Mr. Nguyen Phu Khanh (Mother- in-law)

					ors	
4.8	Nguyen Le Dung		April 14, 2021	N n K s s s s s s s s s s s s s s s s s s	Mr. Iguye Phu hanh was ppoi nted to eplac Mr. Fran Iguye Nam n the Board of oirect ors	Affiliate d person to Mr. Nguyen Phu Khanh (Sister)
4.9	Bui Hoang Nam		April 14, 2021	N n K s s s s s s s s s s s s s s s s s s	Mr.  guye Phu hanh was ppoi nted to eplac Mr. Fran guye Nam n the board of birect ors	Affiliate d person to Mr. Nguyen Phu Khanh (Brother -in-law)
4.10	State Capital Investment Corporation		April 01, 2021	N n K a 1 re e o N n o B	Mr. [guye] Phu hanh was ppoi nted to eplac Mr. Fran [guye] Nam n the board of Direct ors	Affiliate d person to Mr. Nguyen Phu Khanh (Mr. Nguyen Phu Khanh serves as Deputy Head of Investm ent Departm ent 2)
4.11	State Capital Investment Corporation -		April 01, 2021	N	Mr. guye Phu	Affiliate d person to Mr.

	LLC		Khanh was appoi nted to replac e Mr. Tran Nguye n Nam on the Board of Direct ors	Nguyen Phu Khanh (Mr. Nguyen Phu Khanh serves as Deputy Head of Investm ent Departm ent 2)
5.1	Vu Thi Viet	April 14, 2021		Affiliate d person to Mr. Do Khac Hung (Biologi cal mother)
5.2	Khong Minh Point	April 14, 2021		Affiliate d person to Mr. Do Khac Hung (Father- in-law)
5.3	Doan Thi Hoa	April 14, 2021		Affiliate d person to Mr. Do Khac Hung (Mother- in-law)
5.4	Khong Thi Lan	April 14, 2021		Affiliate d person to Mr. Do Khac Hung (Wife)
5.5	Do Bao Han	April 14, 2021		Affiliate d person to Mr. Do Khac Hung (Biologi cal daughter )
5.6	Do Bao Kim Ngoc	April 14, 2021		Affiliate d person to Mr. Do Khac Hung

				(Biologi cal daughter
5.7	Do Khac Cuong		April 14, 2021	Affiliate d person to Mr. Do Khac Hung (Biologi cal younger brother)
5.8	Do Thu Ha		April 14, 2021	Affiliate d person to Mr. Do Khac Hung (Biologi cal Younger Sister)
5.9	Nguyen Huy Hoang		April 14, 2021	Affiliate d person to Mr. Do Khac Hung (Son-in-law)
5.10	Le Thi Minh Thuong		April 14, 2021	Affiliate d person to Mr. Do Khac Hung (Sister- in-law)
5.11	Nguyen Manh Dung		April 14, 2021	Affiliate d person to Mr. Do Khac Hung (Brother -in-law)
6.1	Nguyen Dinh Hung		April 14, 2021	Affiliate d person to Mr. Nguyen Trung Hieu (Biologi cal father)
6.2	Vu Thi Thuan		April 14, 2021	Affiliate d person to Mr. Nguyen Trung

					Hieu
					(Biologi
					cal mother)
	,				
					Affiliate
					d person to Mr.
6.3	Tran Thi Hao			April 14,	Nguyen
	110011 1111 1110			2021	Trung
					Hieu
					(Wife)
					Affiliate
					d person
					to Mr.
6.4	Nguyen Duy			April 14,	Nguyen
	Nghia			2021	Trung Hieu
					(Biologi
					cal son)
					Affiliate
					d person
					to Mr.
6.5	Nguyen Trung			April 14,	Nguyen
0.5	Nghia			2021	Trung
					Hieu
					(Biologi cal son)
					Affiliate
					d person
					to Mr.
					Nguyen
6.6	Nguyen Hong			April 14,	Trung
0.0	Hoa Anna			2021	Hieu
					(Biologi
					cal daughter
					daughter
					Affiliate
					d person
					to Mr.
					Nguyen
6.7	Nguyen Thu			April 14,	Trung
***	На			2021	Hieu
					(Biologi cal
					Younger
					sister)
					Affiliate
					d person
					to Mr.
6.8	Le Quang			April 14,	Nguyen
	Hiep			2021	Trung
					Hieu
					(Brother -in-law)
	Nauvon Than			A:1 1 A	Affiliate
6.9	Nguyen Thao Hien			April 14, 2021	d person
	THEI	<u> </u>	<u> </u>	2021	u person

				to Mr. Nguyen Trung Hieu (Brother -in-law) Affiliate
7.1	Vu Thi Vui		April 14, 2021	d person to Mr. Trinh Ngoc Hieu (Wife)
7.2	Trinh Ngoc Tuan		April 14, 2021	Affiliate d person to Mr. Trinh Ngoc Hieu (Biologi cal son)
7.3	Trinh Ngoc Nghia		April 14, 2021	Affiliate d person to Mr. Trinh Ngoc Hieu (Biologi cal son)
7.4	Vu Hong Quang		April 14, 2021	Affiliate d person to Mr. Trinh Ngoc Hieu (Fatherin-law)
7.5	Dang Thi Tuoi		April 14, 2021	Affiliate d person to Mr. Trinh Ngoc Hieu (Mother- in-law)
7.6	Trinh Thi Thao		April 14, 2021	Affiliate d person to Mr. Trinh Ngoc Hieu (Biologi cal Sister)
7.7	Trinh Thi Chung		April 14, 2021	Affiliate d person to Mr.

					Trinh Ngoc
					Hieu (Biologi
					cal
					younger sister)
					Affiliate
					d person to Mr.
				April 14,	Trinh Ngoc
7.8	Trinh Thi Van			2021	Hieu
					(Biologi cal
					younger
-					sister) Affiliate
					d person
					to Mr. Trinh
7.9	Trinh Ngoc			April 14,	Ngoc
	Nam			2021	Hieu (Biologi
					cal younger
					brother)
					Affiliate d person
					to Mr.
7.10	Phung Hai Ly			April 14, 2021	Trinh Ngoc
				_,	Hieu
					(Brother -in-law)
					Affiliate
					d person to Mr.
7.11	Le Huu Nam			April 14, 2021	Trinh Ngoc
				2021	Hieu
					(Brother -in-law)
					Affiliate
					d person to Mr.
7.12	Nguyen Viet			April 14,	Trinh
	Hung			2021	Ngoc Hieu
					(Brother
					-in-law) Affiliate
	D : M: 1			A *1 1 4	d person
7.13	Bui Minh Nguyet			April 14, 2021	to Mr. Trinh
					Ngoc
					Hieu

				(Sister-
				in-law)
8.1	Do Thi Hong Cam		April 14, 2021	Affiliate d person to Mr. Ta Hong Thang (Biologi cal mother)
8.2	Tran Thi Loc		April 14, 2021	Affiliate d person to Mr. Ta Hong Thang (Mother-in-law)
8.3	Tran Thi Nhu Quynh		April 14, 2021	Affiliate d person to Mr. Ta Hong Thang (Wife)
8.4	Ta Quynh Chi		April 14, 2021	Affiliate d person to Mr. Ta Hong Thang (Biologi cal daughter
8.5	Ta Hong Phuc		April 14, 2021	Affiliate d person to Mr. Ta Hong Thang (Biologi cal child)
8.6	Ta Hong Long		April 14, 2021	Affiliate d person to Mr. Ta Hong Thang (Biologi cal brother)
8.7	Tran Minh Tuyet		April 14, 2021	Affiliate d person to Mr. Ta Hong Thang (Sister-in-law)
9.1	Nguyen Ngoc	10	April 14, 2021	Affiliate

	Kham			dnargan
	Kliain			d person to Mr. Nguyen Ngoc Tuan (Biologi cal Father)
9.2	Nguyen Thi Nga		April 14, 2021	Affiliate d person to Mr. Nguyen Ngoc Tuan (Biologi cal mother)
9.3	Dao Cam Van		April 14, 2021	Affiliate d person to Mr. Nguyen Ngoc Tuan (Wife)
9.4	Nguyen Thi Ngoc Tu		April 14, 2021	Affiliate d person to Mr. Nguyen Ngoc Tuan (Younge r sister)
9.5	Nguyen Ngoc Trang Ngan		April 14, 2021	Affiliate d person to Mr. Nguyen Ngoc Tuan (Biologi cal daughter )
9.6	Nguyen Ngoc Ngan Giang		April 14, 2021	Affiliate d person to Mr. Nguyen Ngoc Tuan (Biologi cal daughter
9.7	Dao Quang Tuan		April 14, 2021	Affiliate d person

				to Mr. Nguyen Ngoc Tuan
				(Father- in-law)
9.8	Vu Thi Hoa		April 14, 2021	Affiliate d person to Mr. Nguyen Ngoc Tuan (Mother- in-law)
9.9	Dao Minh Tuan		April 14, 2021	Affiliate d person to Mr. Nguyen Ngoc Tuan (Brother -in-law)
10.1	Du Ngoc Binh		April 14, 2021	Affiliate d person to Mrs. Nguyen Thi Luong Thanh (Husban d)
10.2	Du Nguyen Ngoc Minh		April 14, 2021	Affiliate d person to Ms. Nguyen Thi Luong Thanh (Biologi cal child)
10.3	Du Nhat Thanh		April 14, 2021	Affiliate d person to Ms. Nguyen Thi Luong Thanh (Biologi cal son)
10.4	Nguyen Dac Dien		April 14, 2021	Affiliate d person to Mrs. Nguyen Thi Luong

		1	1	1			
							Thanh
							(Biologi
							cal
							Father)
							Affiliate
							d person
							to Mrs.
	T 701:				A '1 1 4		Nguyen
10.5	Luong Thi				April 14,		Thi
	Thanh Thuy				2021		Luong
							Thanh (Biologi
							cal
							mother)
							Affiliate
							d person to Mrs.
							Nguyen
10.6	Dang Thi Nu				April 14,		Thi
	Dang Imira				2021		Luong
							Thanh
							(Mother-
							in-law)
							Affiliate
							d person
							to Ms.
							Nguyen
10.7	Nguyen Luong				April 14,		Thi
10.7	Duc				2021		Luong
							Thanh
							(Biologi
							cal
							Brother)
							Affiliate
							d person
							to Ms.
	G G 1						Nguyen
10.8	State Capital				April 14,		Thi
10.8	Investment Corporation				2021		Luong Thanh
	Corporation						(Investm
							ent
							specialis
							t 2)
							Affiliate
							d person
							to Ms.
	G						Nguyen
	State Capital				A		Thi
10.9	Investment Corporation –				April 14, 2021		Luong
	LLC				ZUZ I		Thanh
	LLC						(Investm
							ent
							specialis
							t 2)
11.1	Tran Thi				April 14,		Affiliate
	Thuong				2021		d person
		•	•	•		1	I

					. 3.5
					to Mr. Nguyen
					Huu
					Trong
					(Biologi
					cal
					mother)
					Affiliate
					d person to Mr.
					Nguyen
11.2	Nguyen Huu			April 14,	Huu
	Tung			2021	Trong
					(Younge
					r
					brother)
					Affiliate d person
					to Mr.
11.3	Ma Thi Huong			April 14,	Nguyen
				2021	Huu
					Trong
					(Wife)
					Affiliate
					d person to Mr.
					Nguyen
11.4	Nguyen Huu			April 14,	Huu
11.4	Ky Anh			2021	Trong
					(Biologi
					cal
					daughter
					Affiliate
					d person
					to Mr.
					Nguyen
11.5	Nguyen Thuy			April 14,	Huu
	Chi			2021	Trong (Biologi
					cal
					daughter
					)
		_			Affiliate
					d person
	Tao Thi Tra			A	to Mr.
11.6	My			April 14, 2021	Nguyen Huu
	litty			2021	Trong
					(Sister-
					in-law)
		<u> </u>			Affiliate
					d person
12.1	Trieu Thi Hoa			June 1, 2024	to Mr.
					Nguyen Van
					Van Bien
	1			<u> </u>	Dicii

(Steps there wife wife d pers to M	of
d pers	(e)
12.2 Phan Thi Nga  June 1, 2024  Nguy  Var  Biet  (Wiff	rson Ar. yen in en
12.3 Nguyen Hong Ngoc  June 1, 2024  Affilia d persent to M Nguy Var Bier (Biolo cal daugh )	rson Mr. yen in en logi
12.4 Nguyen Thuy Linh  Superation Management of Management	rson Ar. yen in en logi
12.5 Tran Minh Tien  June 1, 2024  Affilia d pers to M Nguy Var Bier (Son- law)	rson Ar. yen in en
12.6 Nguyen Van Tuan  Tuan  June 1, 2024  Affilia d perset to M Nguy Var Bier (Biological Broth	rson Ar. yen in en logi
12.7 Nguyen Thi Sinh  Sinh  Affilia d pers to M Nguy Var Bier	iate rson Ar. yen in en logi
cal Siste  12.8 Nguyen Thi  June 1, 2024  Affilia	er)

	Son				d person
	Soli				to Mr.
					Nguyen
					Van
					Bien
					(Biologi
					cal Sister)
					Affiliate
					d person
					to Mr.
	Nguyen Van				Nguyen
12.9	Tuyen			June 1, 2024	Van
	Tuyon				Bien
					(Biologi cal
					Brother)
					Affiliate
					d person
					to Mr.
					Nguyen
12.10	Nguyen Van			June 1, 2024	Van
	Soan			, , , , , , , ,	Bien
					(Biologi cal
					younger
					brother)
					Affiliate
					d person
					to Mr.
12.11	Nguyen Van			June 1, 2024	Nguyen
	На				Van Bien
					(Brother
					-in-law)
					Affiliate
					d person
					to Mr.
12.12	Nguyen Van			June 1, 2024	Nguyen
	Tinh				Van Bien
					(Brother
					-in-law)
					Affiliate
					d person
					to Mr.
12.13	Nguyen Thi			June 1, 2024	Nguyen
	Chi				Van Bien
					(Sister-
					in-law)
					Affiliate
					d person
12.14	Hoang Thi			June 1, 2024	to Mr.
12.17	Tinh			Julie 1, 2027	Nguyen
					Van
		<u> </u>	1		Bien

					1	(Sister-
						in-law)
						Affiliate
						d person
						to Mr.
12.15	Tuon Thi Hayan				June 1 2024	Nguyen
12.15	Tran Thi Uyen				June 1, 2024	Van
						Bien
						(Sister-
						in-law)
						Affiliate
						d person
						to Mr.
13.1	Vu Thong Von				June 1 2024	Vu Thang
13.1	Vu Thang Van				June 1, 2024	Binh
						(Biologi
						cal
						Father)
						Affiliate
						d person
						to Mr.
						Vu
13.2	Phan Thi Suu				June 1, 2024	Thang
						Binh
						(Biologi cal
						mother)
						Affiliate
						d person
						to Mr.
12.2	Tong Phuc				1 1 2024	Vu
13.3	Kinh				June 1, 2024	Thang
						Binh
						(Father-
	,					in-law)
						Affiliate
						d person
	Do Thi Thanh					to Mr. Vu
13.4	Hao				June 1, 2024	Thang
	1140					Binh
						(Mother-
						in-law)
						Affiliate
						d person
	Tong Thuy					to Mr.
13.5	Linh				June 1, 2024	Vu
	2					Thang
						Binh
						(Wife)
						Affiliate
	Vu Dinh					d person to Mr.
13.6	Nguyen				June 1, 2024	Vu
	11849011					Thang
						Binh
	I	<u> </u>	I			

				(D:-1 :
				(Biologi cal son)
13.7	Vu Hao Anh		June 1, 2024	Affiliate d person to Mr. Vu
13.8	Vu Thi Thuy Minh		June 1, 202-	Affiliate d person to Mr. Vu Thang Binh (Bioloig cal Sister)
13.9	Quach Thach Thi		June 1, 2024	Affiliate d person to Mr.
14.1	Pham Thi Thin		June 1, 2024	Affiliate d person to Mrs. Hoang Le Khanh (Biologi cal mother)
14.2	Hoang Mai Thu		June 1, 2024	Affiliate d person to Ms. Hoang
14.3	Hoang Thi Thuy		June 1, 2024	Affiliate d person to Ms. Hoang
14.4	Nguyen Duy Quang		June 1, 2024	A ffiliate

14.5	Phan Sy Thoi			June 1, 2024	to Mrs. Hoang Le Khanh (Brother -in-law)  Affiliate d person to Mrs. Hoang Le Khanh (Brother -in-law)
14.6	Pham Hoang Gia Linh			June 1, 2024	Affiliate d person to Ms. Hoang Le Khanh (Biologi cal daughter )
14.7	Pham Hoang Linh Dan			June 1, 2024	Affiliate d person to Ms. Hoang Le Khanh (Biologi cal daughter )
15.1	Dao Xuan Tuat			April 14, 2021	Affiliate d person to Mr. Dao Minh Tan (Biologi cal Father)
15.2	Phan Thi Hong			April 14, 2021	Affiliate d person to Mr. Dao Minh Tan (Biologi cal mother)
15.3	Nguyen Phung Hieu			April 14, 2021	Affiliate d person to Mr. Dao Minh

				Tan (Father- in-law) Affiliate
15.4	Nguyen Thi Hop		April 14, 2021	d person to Mr. Dao Minh Tan (Mother- in-law)
15.5	Nguyen Thi My Phuong		April 14, 2021	Affiliate d person to Mr. Dao Minh Tan (Wife)
15.6	Dao Minh Trang		April 14, 2021	Affiliate d person to Mr. Dao Minh Tan (Biologi cal younger sister)
15.7	Luu Hoang Phuc		April 14, 2021	Affiliate d person to Mr. Dao Minh Tan (Brother -in-law)

2. Transactions between the Company and its affiliated persons or between the Company and its major

shareholders, internal persons and affiliated persons:

No.	Name of organization/individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	Pham Thanh	Chairman of			March 20,	No. 49/NQ-	Payment of the	
	Do	the Board of			2025	HDQT dated	second interim	

		Directors	and June	27,	February 7, 2025 and No.	dividend for 2024 and the	
			2025	_,,	52/NQ- HDQT dated May 9, 2025	third dividend for 2024 in cash: 4,717,640,000 VND	
2	Pham Van Hoi	Related person) to Mr. Pham Thanh Do (biological father)	2025 and	20,	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 1,557,600,000 VND	
3	State Capital Investment Corporation	Related persons to Mr. Nguyen Viet Phuong, Mr. Nguyen Phu Khanh, and Ms. Nguyen Thi Luong Thanh	2025 and	20,	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 34,670,052,000 VND	
4	State Capital Investment Corporation – LLC	Related persons to Mr. Nguyen Viet Phuong, Mr. Nguyen Phu Khanh, and Ms. Nguyen Thi Luong Thanh	2025 and	27,	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 34,670,052,000 VND	
5	Do Khac Hung	Director – Member of the Board of Directors	March 2025 and June 2025	20,	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 330,400,000 VND	
6	Do Thu Ha	Related person to Mr. Do Khac Hung (Younger sister)	2025 and	20,	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in	

					cash: 23,600,000
					VND
7	Nguyen Trung Hieu	Member of the Board of Directors	March 20, 2025 and June 27, 2025	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 827,180,000 VND
8	Nguyen Thao Hien	Related person to Mr. Nguyen Trung Hieu (Younger sister)	March 20, 2025 and June 27, 2025	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 3,180,520,000 VND
9	Trinh Ngoc Hieu	Deputy Director – Member of the Board of Directors	March 20, 2025 and June 27, 2025	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 2,828,240,000 VND
10	Vu Thi Vui	Related person to Mr. Trinh Ngoc Hieu (Wife)	March 20, 2025 and June 27, 2025	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 150,620,000 VND
11	Ta Hong Thang	Member of the Board of Directors	March 20, 2025 and June 27, 2025	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 382,320,000 VND
12	Nguyen Huu	Member of the	March 20,	49/NQ-	Payment of the

	Trong	Board of Supervisors		2025 and June 2025	27,	HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	dividend for 2024 and the third dividend for 2024 in cash: 1,180,000 VND	
13	Nguyen Van Bien	Deputy Director		March 2025 and June 2025	20,	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 6,480,000 VND	
14	Hoang Le Khanh	Chief Accountant		March 2025 and June 2025	20,	49/NQ- HĐQT ngày 07/02/2025 và 52/NQ- HĐQT ngày 09/05/2025	Payment of the second interim dividend for 2024 and the third dividend for 2024 in cash: 13,740,000 VND	

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the

Company's subsidiaries and companies in which the Company takes controlling power:

No.	Transaction executor	Relationship with internal persons	Posittion at the listed Company	ID card No. /Passport No., date of issue, place of Issue	Address	Name of subsidiaries or companies which the Company control	Time of transaction	Content, quantity, total value of transaction	Note
1	Do not have	N/a	N/a	N/a	N/a	N/a	N/a	N/a	N/a

<sup>4.</sup> Transactions between the Company and other objects: None

<sup>4.1.</sup> Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None

<sup>4.2.</sup> Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): None

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: None

### VIII. Share transactions of internal persons and their affiliated persons:

1. The list of internal persons and their affiliated persons:

Internal persons   Internal pe	No	Full name	Securities	Position	ID card/Passport	Contact	Number	End of	Note
April   Apri				in the	number, date of	address	of shares		
Chairman   Pham   Chairman   Githe   Board			account (if		issue, place of				
Pham Thanh Do			any)	(if any)	issue			_	
Pham   Thank   Dough   Pham   Thank   Pham								ratio	
1									
1.1   Pham Thanh Do							period		
1.1	1			of the			399800	3,350%	•
1.1		Pham Van					100000		person to Mr.
1.2   Le Thi Thao	1.1						132000	1,110%	(Biological
1.3									Affiliated
1.3	1.2	Le Thi Thao					0	0,000%	(Biological
1.3									Affiliated
1.4	1.3						0	0,000%	Pham Thanh Do (Biological
1.4   Thanh Huyen									
Huyen	1 4							0.0000/	
1.5   Duong Trung Quoc   0   0,000%   Affiliated person to Mr. Pham Thanh Do (Father-in-law)	1.4						U	0,000%	
1.5 Duong Trung Quoc  1.6 Nguyen Thi Thu Hang  1.7 Pham Quoc Anh  1.8 Pham Thanh An  Pham Thanh An  Pham Thanh Do (Biological son)  Nguyen Thi Thu Hang  1.8 Pham Thanh An  Nguyen Thi Thu Hang  Pham Quoc Anh  Pham Quoc Anh  Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)									
Trung Quoc  Trung Quoc  Nguyen Thi Thu Hang  Pham Quoc  Anh  Pham Thanh Do (Father-in-law)  Affiliated person to Mr. Pham Thanh Do (Mother-in-law)  Affiliated person to Mr. Pham Thanh Do (Mother-in-law)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Nguyen  Vice Chairman of Board of Directors  Directors  O 0,000% Internal persons		Duong						0.000/	
Nguyen Thi Thu Hang   O	1.5						0	0,000%	
1.6 Nguyen Thi Thu Hang  1.7 Pham Quoc Anh  1.8 Pham Thanh An  1.8 Pham Thanh An  1.8 Viet Phuong  Nguyen  Vice Chairman of Board of Directors  1.9 Dang Thi Thu Hang  0 0,000%  0 0,000%  Person to Mr. Pham Thanh Do (Mother-in-law)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Internal persons  Affiliated Proposition O 0,000%  Internal persons									
Thu Hang  Thu Hang  Pham Quoc Anh  Pham Quoc Anh  1.8 Pham Thanh An  Pham Thanh An  Thanh An  Pham Thanh Do (Mother-in-law)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Affiliated person to Mr. Pham Thanh Do (Biological son)  Vice Chairman of Board of Directors  Directors  O 0,000%  Internal persons  Affiliated		Nauvan Thi							
1.7   Pham Quoc   Anh	1.6						0	0,000%	
1.7   Pham Quoc   Anh     0   0,000%   person to Mr.   Pham Thanh Do (Biological son)		8							(Mother-in-law)
1.8 Pham Thanh Do (Biological son)  1.8 Pham Thanh An  1.8 Pham Thanh An  1.8 Pham Thanh An  1.8 Pham Thanh An  1.8 Pham Thanh Do (Biological son)  2 Nguyen Chairman of Board of Directors  2.1 Dang Thi  1.8 Pham Thanh Do (Biological son)  1.8 Pham Thanh Do (Biological son)  1.8 Affiliated Pham Thanh Do (Biological son)  2 Nguyen Chairman of Board of Directors  1.8 Pham Thanh Do (Biological son)  2 Nguyen O O,000% Internal persons									
1.8 Pham Thanh An  1.8 Pham Thanh Do (Biological son)  2.1 Pham Thanh An  2.1 Dang Thi  2.1 Dang Thi  3.1 Dang Thi  4.1 Dang Thi  4.2 Dang Thi  5.2 Dang Thi  6. Dang Thi  7. Dang Thi  7. Dang Thi  8. Dang Thi  8. Dang Thi  8. Dang Thi  9. Dang Thi  9. Dang Thi	1.7						0	0,000%	
1.8 Pham Thanh An		Ann							
Thanh An  Nguyen Vice Chairman of Board of Directors  Dang Thi  Thanh An  O 0,000% Pham Thanh Do (Biological son)  O 0,000% Internal persons  Affiliated									
Nguyen Viet Phuong  Vice Chairman of Board of Directors  O 0,000% Internal persons  O 0,000%  Affiliated	1.8						0	0.000%	
2 Nguyen Viet Chairman of Board of Directors  0 0,000% Internal persons  2.1 Dang Thi  0 0,000% Affiliated		Thanh An						0,00070	
2 Viet Phuong Chairman of Board of Directors 0 0,000% Internal persons  2.1 Dang Thi 0 0,000% Affiliated		<b>N</b> T		Vice					(Diological soil)
Phuong Of Board of Directors O O,000% Affiliated	2			Chairman			0	0.000%	Internal persons
2.1 Dang Thi 0 0,000% Affiliated								0,000/0	memai persons
	2.1	Dang Thi					0	0.0000/	Affiliated
	2.1						U	0,000%	

						Nguyen Viet Phuong (Wife)
2.2	Nguyen Van Hung			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Biological Father)
2.3	Chu Thi Kim Thoa			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Biological mother)
2.4	Dang The Dung			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Father- in-law)
2.5	Nguyen Thanh Le			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Biological Brother)
2.6	Nguyen Van Phong			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Biological Brother)
2.7	Vuong Thi Huong Giang			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Sister- in-law)
2.8	Vu Minh Thao			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Sister- in-law)
2.9	Nguyen Dang Ngoc Mai			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Biological son)
2.10	Nguyen Dang Ngoc Tram			0	0,000%	Affiliated person to Mr. Nguyen Viet Phuong (Biological daughter)
2.11	State Capital			2938140	23,310%	Affiliated person to Mr.

	Investment Corporation				Nguyen Viet Phuong (Deputy Head of Investment Department 1)
2.12	State Capital Investment Corporation - LLC		2938140	23,310%	Affiliated person to Mr. Nguyen Viet Phuong (Deputy Head of Investment Department 1)
3	Tran Nguyen Nam	Board of Directors	0	0,000%	Internal persons
3.1	Ho Thi Quynh Lien		0	0,000%	Affiliated person to Mr. Tran Nguyen Nam (Wife)
3.2	Tran Nguyen Thao		0	0,000%	Affiliated person to Mr. Tran Nguyen Nam (Biological son)
3.3	Tran Thanh Hung		0	0,000%	Affiliated person to Mr. Tran Nguyen Nam (Biological son)
3.4	Tran Van Trung		0	0,000%	Affiliated person to Mr. Tran Nguyen Nam (Biological Father)
3.5	Tran Thi Cuc		0	0,000%	Affiliated person to Mr. Tran Nguyen Nam (Biological mother)
3.6	Tran Ngoc Hai		0	0,000%	Affiliated person to Mr. Tran Nguyen Nam (younger brother)
3.7	Tran Thi Thuy Linh		0	0,000%	Affiliated person to Mr. Tran Nguyen Nam (Biological Younger sister)
3.8	State Capital Investment Corporation		2938140	23,310%	Affiliated person to Mr. Tran Nguyen Nam (head of general planning department)

3.9	State Capital Investment Corporation – LLC		2938140	23,310%	Affiliated person to Mr. Tran Nguyen Nam (head of general planning department)
4	Nguyen Phu Khanh	Board of Directors	0	0,000%	Internal persons
4.1	Vu Lan Huong		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Wife)
4.2	Nguyen Phu An		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Biological child)
4.3	Nguyen Phu Khang		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Biological child)
4.4	Nguyen Phu Dat		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Biological father)
4.5	Le Thi Hoa Binh		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Biological mother)
4.6	Vu Xuan Cao		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Father- in-law)
4.7	Ta Thi Hong Tinh		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Mother- in-law)
4.8	Nguyen Le Dung		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Younger sister)
4.9	Bui Hoang Nam		0	0,000%	Affiliated person to Mr. Nguyen Phu Khanh (Brother- in-law)
	State Capital		2938140	23,310%	Affiliated person to Mr.

	Tarresta /	T T	T I		Na Di
	Investment				Nguyen Phu Khanh (Mr.
	Corporation				Nguyen Phu
					Khanh serves as
					Deputy Head of
					Investment
					Department 2)
					Affiliated
					person to Mr.
	State				Nguyen Phu
	Capital				Khanh (Mr.
	Investment		2938140	23,310%	Nguyen Phu
	Corporation				Khanh serves as
	- LLC				Deputy Head of
					Investment
					Department 2)
		Director -			
5	Do Khac	Member of	28000	0,22%	Internal persons
	Hung	Board of		,==::	1
		Directors			Affiliated
5.1	Vu Thi Viet		0	0,000%	person to Mr. Do Khac Hung
3.1	vu iiii vict			0,00070	(Biological
					mother)
					Affiliated
	Khong			0.0000/	person to Mr.
5.2	Minh Diem		0	0,000%	Do Khac Hung
					(Father-in-law)
					Affiliated
5.3	Doan Thi		0	0,000%	person to Mr.
3.3	Hoa			0,000%	Do Khac Hung
					(Mother-in-law)
					Affiliated
5.4	Khong Thi		0	0,000%	person to Mr.
	Lan		, and the second	0,00070	Do Khac Hung
					(Wife)
					Affiliated person to Mr.
5.5	Do Bao Han		0	0,000%	Do Khac Hung
					(Biological son)
					Affiliated
	Do Bao			0.0000	person to Mr.
5.6	Kim Ngoc			0,000%	Do Khac Hung
	12				(Biological son)
					Affiliated
					person to Mr.
5.7	Do Khac		0	0,000%	Do Khac Hung
3.7	Cuong			0,00070	(Biological
					younger
					brother)
					Affiliated
<b>5</b> 0	D. T! II		2000	0.0200/	person to Mr.
5.8	Do Thu Ha		2000	0,020%	Do Khac Hung
					(Biological younger sister)
					Affiliated
5.9	Nguyen		0	0,000%	person to Mr.
5.7	Huy Hoang			0,00070	Do Khac Hung
		1	27		Do Ithac Hung

					(Son-in-law)
5.10	Le Thi Minh Thuong			0,000%	Affiliated person to Mr. Do Khac Hung (Sister-in-law)
5.11	Nguyen Manh Dung			0,000%	Affiliated person to Mr. Do Khac Hung (Brother-in-law)
6	Nguyen Trung Hieu	Board of Directors	701	0,550%	Internal persons
6.1	Nguyen Dinh Hung			0,000%	Affiliated person to Mr. Nguyen Trung Hieu (Biological Father)
6.2	Vu Thi Thuan			0,000%	Affiliated person to Mr. Nguyen Trung Hieu (Biological mother)
6.3	Tran Thi Hao			0,000%	Affiliated person to Mr. Nguyen Trung Hieu (Wife)
6.4	Nguyen Duy Nghia			0,000%	Affiliated person to Mr. Nguyen Trung Hieu (Biological son)
6.5	Nguyen Trung Nghia			0,000%	Affiliated person to Mr. Nguyen Trung Hieu (Biological son)
6.6	Nguyen Hong Hoa Anna			0,000%	Affiliated person to Mr. Nguyen Trung Hieu (Biological daughter)
6.7	Nguyen Thu Ha			0,000%	Affiliated person to Mr. Nguyen Trung Hieu (Biological younger sister)
6.8	Le Quang Hiep			0,000%	Affiliated person to Mr. Nguyen Trung Hieu (Brother- in-law)
6.9	Nguyen Thao Hien		270	400 2,146%	Affiliated person to Mr. Nguyen Trung Hieu (Brother)

7	Trinh Ngoc Hieu	Deputy Director - Member of Board of Directors	237800	1,887%	Internal persons
7.1	Vu Thi Vui	Deputy Head of Science and Technology Department	13400	0,106%	Affiliated person to Mr. Trinh Ngoc Hieu (Wife)
7.2	Trinh Ngoc Tuan		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Biological son)
7.3	Trinh Ngoc Nghia		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Biological son)
7.4	Vu Hong Quang		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Father-in- law)
7.5	Dang Thi Tuoi		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Mother- in-law)
7.6	Trinh Thi Thao		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Biological Sister)
7.7	Trinh Thi Chung		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu(Biological younger sister)
7.8	Trinh Thi Van		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Biological younger sister)
7.9	Trinh Ngoc Nam		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Biological younger brother)
7.10	Phung Hai Ly		0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Brother- in-law)

	1		Г		1	1
7.11	Le Huu Nam			0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Brother- in-law)
7.12	Nguyen Viet Hung			0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Brother- in-law)
7.13	Bui Minh Nguyet			0	0,000%	Affiliated person to Mr. Trinh Ngoc Hieu (Sister-in- law)
8	Ta Hong Thang	Board of Directors		32400	0,272%	Internal persons
8.1	Do Thi Hong Cam	Directors		0	0,000%	Affiliated person to Mr. Ta Hong Thang (Biological mother)
8.2	Tran Thi Loc			0	0,000%	Affiliated person to Mr. Ta Hong Thang (Mother-in-law)
8.3	Tran Thi Nhu Quynh			0	0,000%	Affiliated person to Mr. Ta Hong Thang (Wife)
8.4	Ta Quynh Chi			0	0,000%	Affiliated person to Mr. Ta Hong Thang (Biological daughter)
8.5	Ta Hong Phuc			0	0,000%	Affiliated person to Mr. Ta Hong Thang (Biological son)
8.6	Ta Hong Long			0	0,000%	Affiliated person to Mr. Ta Hong Thang (Biological Brother)
8.7	Tran Minh Tuyet			0	0,000%	Affiliated person to Mr. Ta Hong Thang (Sister-in-law)
9	Nguyen Ngoc Tuan	Head of Supervisory Board		0	0,000%	Internal persons
9.1	Nguyen Ngoc Kham			0	0,000%	Affiliated person to Mr. Nguyen Ngoc Tuan (Biological Father)
9.2	Nguyen Thi		40	0	0,000%	Affiliated

	Nga					person to Mr. Nguyen Ngoc Tuan (Biological mother)
9.3	Dao Cam Van			0	0,000%	Affiliated person to Mr. Nguyen Ngoc Tuan (Wife)
9.4	Nguyen Thi Ngoc Tu			0	0,000%	Affiliated person to Mr. Nguyen Ngoc Tuan (Biological younger sister)
9.5	Nguyen Ngoc Trang Ngan			0	0,000%	Affiliated person to Mr. Nguyen Ngoc Tuan (Biological daughter)
9.6	Nguyen Ngoc Ngan Giang			0	0,000%	Affiliated person to Mr. Nguyen Ngoc Tuan (Biological daughter)
9.7	Dao Quang Tuan			0	0,000%	Affiliated person to Mr. Nguyen Ngoc Tuan (Father-in- law)
9.8	Vu Thi Hoa			0	0,000%	Affiliated person to Mr. Nguyen Ngoc Tuan (Mother- in-law)
9.9	Dao Minh Tuan			0	0,000%	Affiliated person to Mr. Nguyen Ngoc Tuan (Brother- in-law)
10	Nguyen Thi Luong Thanh	Member Board of Supervis	of	0	0,000%	Internal persons
10.1	Du Ngoc Binh	2.57.1.00		0	0,000%	Affiliated person to Mrs. Nguyen Thi Luong Thanh (Husband)
10.2	Du Nguyen Ngoc Minh		41	0	0,000%	Affiliated person to Ms. Nguyen Thi Luong Thanh (Biological

							daughter/son)
							Affiliated
	Du Nhat						person to Ms.
10.3	Thanh				0	0,000%	Nguyen Thi
	1 1141111						Luong Thanh
							(Biological son)
							Affiliated
							person to Mrs.
10.4	Nguyen				0	0,000%	Nguyen Thi
	Dac Dien					-,	Luong Thanh
							(Biological Father)
							Affiliated
							person to Mrs.
	Luong Thi						Nguyen Thi
10.5	Thanh Thuy				0	0,000%	Luong Thanh
	Tham Thay						(Biological
							mother)
							Affiliated
	Dong Th:						person to Mrs.
10.6	Dang Thi Nu				0	0,000%	Nguyen Thi
	Nu						Luong Thanh
							(Mother-in-law)
							Affiliated
	<b>&gt;</b> T						person to Ms.
10.7	Nguyen				0	0,000%	Nguyen Thi
	Luong Duc					,	Luong Thanh
							(Biological Brother)
							Affiliated
	State						person to Ms.
	Capital						Nguyen Thi
10.8	Investment				2938140	23,310%	Luong Thanh
	Corporation						(investment
							specialist 2)
	State						Affiliated
	Capital						person to Ms.
10.9	Investment				2938140	23,310%	Nguyen Thi
10.5	Corporation				2730140	25,51070	Luong Thanh
	- LLC						(investment
							specialist 2)
11	Nguyen Huu Trong		TV BKS		100	0,001%	Internal persons
							Affiliated
							person to Mr.
11.2	Tran Thi				0	0,000%	Nguyen Huu
11.2	Thuong					0,000/0	Trong
							(Biological
							mother)
							Affiliated
							person to Mr.
11.2	Nguyen					0.0000/	Nguyen Huu
11.3	Huu Tung				0	0,000%	Trong (Biological
							Younger
1							Brother)
							Affiliated
11.4	Ma Thi				0	0,000%	person to Mr.
	Huong					0,00070	Nguyen Huu
	1	· · · · · · · · · · · · · · · · · · ·		12			

						Trong (Wife)
11.5	Nguyen Huu Ky Anh			0	0,000%	Affiliated person to Mr. Nguyen Huu Trong (Biological daughter)
11.6	Nguyen Thuy Chi			0	0,000%	Affiliated person to Mr. Nguyen Huu Trong (Biological daughter)
11.7	Tao Thi Tra My			0	0,000%	Affiliated person to Mr. Nguyen Huu Trong (Sister- in-law)
12	Nguyen	Deputy		600	0,004%	Internal persons
12.1	Trieu Thi Hoa	Director		0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Stepmother of wife)
12.2	Phan Thi Nga			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Wife)
12.3	Nguyen Hong Ngoc			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Biological daughter)
12.4	Nguyen Thuy Linh			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Biological daughter)
12.5	Tran Minh Tien			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Son-in- law)
12.6	Nguyen Van Tuan			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Biological Brother)
12.7	Nguyen Thi Sinh			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Biological Sister)
12.8	Nguyen Thi Son		43	0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Biological

						Sister)
12.9	Nguyen Van Tuyen			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Biological Brother)
12.10	Nguyen Van Soan			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Biological younger brother)
12.11	Nguyen Van Ha			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Brother- in-law)
12.12	Nguyen Van Tinh			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Brother- in-law)
12.13	Nguyen Thi Chi			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Sister-in- law)
12.14	Hoang Thi Tinh			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Sister-in- law)
12.15	Tran Thi Uyen			0	0,000%	Affiliated person to Mr. Nguyen Van Bien (Sister-in- law)
13	Vu Thang Binh	Deputy Director		0	0,000%	Internal persons
13.1	Vu Thang Van			0	0,000%	Affiliated person to Mr. Vu Thang Binh (Biological Father)
13.2	Phan Thi Suu			0	0,000%	Affiliated person to Mr. Vu Thang Binh (Biological mother)
13.3	Tong Phuc Kinh			0	0,000%	Affiliated person to Mr. Vu Thang Binh (Father-in-law)
13.4	Do Thi Thanh Hao			0	0,000%	Affiliated person to Mr. Vu Thang Binh (Mother-in-law)
13.5	Tong Thuy Linh		44	0	0,000%	Affiliated person to Mr.

						Vu Thang Binh (Wife)
13.6	Vu Dinh Nguyen			0	0,000%	Affiliated person to Mr. Vu Thang Binh (Biological son)
13.7	Vu Hao Anh			0	0,000%	Affiliated person to Mr. Vu Thang Binh (Biological son)
13.8	Vu Thi Thuy Minh			0	0,000%	Affiliated person to Mr. Vu Thang Binh (Biological Sister)
13.9	Quach Thach Thi			0	0,000%	Affiliated person to Mr. Vu Thang Binh (Brother-in-law)
14	Hoang Le Khanh	Chief Accountant		300	0,002%	Internal persons
14.1	Pham Thi Thin	Accountant		0	0,000%	Affiliated person to Mrs. Hoang Le Khanh (Biological mother)
14.2	Hoang Mai Thu			0	0,000%	Affiliated person to Ms. Hoang Le Khanh (Biological Sister)
14.3	Hoang Thi Thuy			0	0,000%	Affiliated person to Ms. Hoang Le Khanh (Biological Sister)
14.4	Nguyen Duy Quang			0	0,000%	Affiliated person to Mrs. Hoang Le Khanh (Brother- in-law)
14.5	Phan Sy Thoi			0	0,000%	Affiliated person to Mrs. Hoang Le Khanh (Brother- in-law)
14.6	Pham Hoang Gia Linh			0	0,000%	Affiliated person to Ms. Hoang Le Khanh (Biological daughter)
14.7	Pham Hoang Linh Dan		45	0	0,000%	Affiliated person to Ms. Hoang Le Khanh

					(Biological daughter)
15	Dao Minh Tan	Corporate governance officer	0	0,000%	Internal persons
15.1	Dao Xuan Tuat		0	0,000%	Affiliated person to Mr. Dao Minh Tan (Biological Father)
15.2	Phan Thi Hong		0	0,000%	Affiliated person to Mr. Dao Minh Tan (Biological mother)
15.3	Nguyen Phung Hieu		0	0,000%	Affiliated person to Mr. Dao Minh Tan (Father-in-law)
15.4	Nguyen Thi Hop		0	0,000%	Affiliated person to Mr. Dao Minh Tan (Mother-in-law)
15.5	Nguyen Thi My Phuong		0	0,000%	Affiliated person to Mr. Dao Minh Tan (Wife)
15.6	Dao Minh Trang		0	0,000%	Affiliated person to Mr. Dao Minh Tan (Biological younger sister)
15.7	Luu Hoang Phuc		0	0,000%	Affiliated person to Mr. Dao Minh Tan (Brother-in-law)

### 2. Transactions of internal persons and affiliated persons with shares of the company: None

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	N/a	N/a	N/a	N/a	N/a	N/a	N/a

### IX. Other significant issues: None

- Recipient:
   As directed;
   To: Office desk.

CHAIRMAN OF THE BOARD OF DIRECTORS

(Sign, of full name and seal)

CÔNG TY CÔ PHẨN CƠ KHÍ

Pham Thanh Do