

No: 02/BCQT-HDQT

Da Nang, 20 January 2026

REPORT ON CORPORATE GOVERNANCE

(Year 2025)

To: - State Securities Commission;
- Stock Exchange;

- Name of public company: 40 Investment and Construction Joint Stock Company
- Head office address: 201/58 Nguyen Xi, Binh Thanh Ward, Ho Chi Minh City
- Telephone: (028) 3899 0099 Fax: (028) 3511 7533
- Email: ir.l40.vn@gmail.com
- Charter capital: 108,000,000,000 VND
- Stock code: L40
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Director.
- Regarding the implementation of the internal audit function: Implemented.

I. Activities of the General Meeting of Shareholders

In 2025, the Company held four (04) meetings: the 2025 Annual General Meeting of Shareholders and three (03) Extraordinary General Meetings of Shareholders, as follows:

| No. | Resolution/ Decision Number Decision | Date | Content |
|-----|---|------------|---|
| 01 | 001/2025/NQ- DHDCD-BT | 07/03/2025 | <p>Article 1: Approval of Submission No. 001/TTr/DHDCD-BT: Dismissal of a Member of the Board of Directors for the 2021–2025 term.</p> <p>Article 2: Approval of Submission No. 002/TTr/DHDCD-BT: Election of Members of the Board of Directors for the 2025–2029 term.</p> <p>Article 3: Approval of Submission No. 003/TTr/DHDCD-BT: Approval of the investment plan to purchase shares of Ha My Complex Joint Stock Company.</p> <p>Article 4: Approval of the change of the legal representative on the Enterprise Registration Certificate.</p> <p>Article 5: Results of the additional election of Members of the Board of Directors for the 2021–2025 term:</p> <ol style="list-style-type: none"> 1. Mr. Do Tan Cuong 2. Mr. Tran Viet Thang |

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| | | | <p>3. Mr. Do Tan Vu 4. Mr. Bui Do Linh 5. Mr. Dinh Van Xuan</p> |
| 2 | 28042025/NQ-ĐHĐCD/L40 | 28/04/2025 | <p>Article 1: Approval of the Board of Directors' Report for 2024.</p> <p>Article 2: Approval of the Supervisory Board's Report.</p> <p>Article 3: Approval of the 2024 business performance report and the 2025 business plan.</p> <p>Article 4: Approval of the Submission on the audited financial statements for 2024.</p> <p>Article 5: Approval of the Submission on the results of production and business activities for 2024 and the distribution of profits for 2024.</p> <p>Article 6: Approval of the Submission on the selection of the audit firm for the 2025 financial statements.</p> <p>Article 7: Approval of the Submission on remuneration of the Board of Directors and the Supervisory Board.</p> <p>Article 8: Approval of the Submission on supplementation and adjustment of certain business lines.</p> <p>Article 9: Approval of the Submission on change of the head office address.</p> <p>Article 10: Approval of the Submission on dismissal of Members of the Board of Directors for the 2021–2025 term.</p> <p>Article 11: Approval of the Submission on additional election of Members of the Board of Directors for the 2021–2025 term.</p> <p>Article 12: Approval of the Submission on dismissal of Members of the Supervisory Board for the 2021–2025 term.</p> <p>Article 13: Approval of the Submission on additional election of Members of the Supervisory Board for the 2021–2025 term.</p> <p>Article 14: Approval of the Submission on the share issuance plan to increase charter capital from owners' equity.</p> <p>Article 15: Approval of the Submission on the private placement share offering plan.</p> <p>ELECTION RESULTS:</p> <p>Results of the additional election of Members of the Board of Directors for the 2021–2025 term:</p> <ol style="list-style-type: none"> 1. Mr. Phan Anh <p>Results of the additional election of Members of the Supervisory Board for the 2021–2025 term:</p> <ol style="list-style-type: none"> 1. Mr. Phan Thanh Hung 2. Mr. Tran Van Hung 3. Mr. Nguyen Le Duy |

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| 3 | 30072025/NQ-ĐHĐCD/L40 | 30/07/2025 | <p>Article 1: Approval of the cancellation of the Submission on the private placement share offering plan to increase charter capital No. 2604/TTr-HĐQT/PHRL dated April 26, 2025, in accordance with the Resolution of the General Meeting of Shareholders No. 28042025/NQ-ĐHĐCD/L40 dated April 28, 2025.</p> <p>Article 2: Approval of the Submission on the private placement share offering plan to increase charter capital No. 0407/TTr-HĐQT/PHRL dated July 4, 2025.</p> <p>Article 3: Approval of the Submission on the investment plan to purchase shares of Cong Kin Construction Investment Joint Stock Company No. 0407.1/TTr-HĐQT dated July 4, 2025.</p> |
| 4 | 15102025.1/NQ-ĐHĐCD/L40 | 15/10/2025 | <p>Article 1: Cancellation of the implementation of the private placement share offering in accordance with the capital increase plan under Submission No. 3007.2/TTr-HĐQT/PHRL, which was approved by the Extraordinary General Meeting of Shareholders in 2025 pursuant to Resolution No. 30072025/NQ-ĐHĐCD/L40 dated July 30, 2025.</p> <p>Article 2: Approval of Submission No. 2509.1/TTr/ĐHĐCD-BT3 dated September 25, 2025 regarding the reduction of L40 Company's ownership ratio in Ha My Complex Joint Stock Company.</p> <p>Article 3: Approval of Submission No. 2509.2/TTr/ĐHĐCD-BT3 dated September 25, 2025 regarding the investment in the purchase of shares of Cong Kin Construction Investment Joint Stock Company.</p> <p>Article 4: Approval of the advance payment of cash dividends for 2025 at a rate of 5%.</p> <p>Article 5: Approval of Submission No. 2509.3/TTr-PHTCT/ĐHĐCD-BT3 dated September 25, 2025 regarding the advance payment of dividends in shares at a ratio of 1:1.</p> <p>Article 6: Approval of Submission No. 2509.4/TTr/ĐHĐCD-BT3 dated September 25, 2025 regarding the change of the Company's head office.</p> <p>Article 7: Approval of Submission No. 0310.1/TTr/ĐHĐCD-BT3 dated October 3, 2025 regarding the dismissal of a Member of the Board of Directors for the 2021–2025 term.</p> <p>Article 8: Approval of Submission No. 0310.2/TTr/ĐHĐCD-BT3 dated October 3, 2025 regarding the additional election of Members of the Board of Directors for the 2021–2025 term.</p> <p>Article 9: Approval of Submission No. 0310.3/TTr/ĐHĐCD-BT3 dated October 3, 2025 regarding</p> |

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| | | <p>the dismissal of a Member of the Supervisory Board for the 2021–2025 term.</p> <p>Article 10: Approval of Submission No. 0310.4/TTr/DHĐCD-BT3 dated October 3, 2025 regarding the additional election of Members of the Supervisory Board for the 2021–2025 term.</p> <p>Article 11: Approval of Submission No. 0310.5/TTr/DHĐCD-BT3 dated October 3, 2025 regarding the authorization for the Board of Directors to select the auditor for the financial statements for the third quarter of 2025.</p> <p>Article 12: Approval of Submission No. 0310.6/TTr/DHĐCD-BT3 dated October 3, 2025 regarding the approval of the business cooperation contract with An Duong Construction, Trading and Services Company Limited.</p> <p>ELECTION RESULTS:</p> <p>Results of the additional election of Members of the Board of Directors for the 2021–2025 term:</p> <ol style="list-style-type: none"> 1. Mr. Nguyen Van Tuan 2. Mr. Pham Huu Tai <p>Results of the additional election of Members of the Supervisory Board for the 2021–2025 term:</p> <ol style="list-style-type: none"> 1. Mr. Tran Cong Hau |
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II. Board of Directors:

1. Information on members of the Board of Directors (BOD)

| No. | Member of the Board of Directors | Position | Start Date/End Date as Member/Independent Member, Board of Directors | |
|-----|----------------------------------|------------------------------------|--|-------------------|
| | | | Date of Appointment | Date of Dismissal |
| 1 | Mr. Le Dinh Hien | Chairman of the Board of Directors | 22/12/2015 | 07/03/2025 |
| 2 | Mr. Ha Huy Khanh | Member of the Board of Directors | May 2012 | 07/03/2025 |
| 3 | Mr. Tran Bac Viet | Member of the Board of Directors | 28/01/2022 | 07/03/2025 |
| 4 | Mr. Anselm Wong Siem Shen | Member of the Board of Directors | 26/11/2024 | 07/03/2025 |

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|----|---------------------|---|------------|------------|
| 5 | Mr. Ngoi Gia Tien | Member of the Board of Directors | 26/11/2024 | 07/03/2025 |
| 6 | Mr. Do Tan Cuong | Non-Executive Chairman of the Board of Directors | 07/03/2025 | |
| 7 | Mr. Bui Do Linh | Non-Executive Member of the Board of Directors | 07/03/2025 | 15/10/2025 |
| 8 | Mr. Tran Viet Thang | Independent Member of the Board of Directors | 07/03/2025 | 15/10/2025 |
| 9 | Mr. Do Tan Vu | Member of the Board of Directors | 07/03/2025 | 28/04/2025 |
| 10 | Mr. Dinh Van Xuan | Member of the Board of Directors cum General Director | 07/03/2025 | |
| 11 | Mr. Phan Anh | Independent Member of the Board of Directors | 28/04/2025 | |
| 12 | Mr. Nguyen Van Tuan | Independent Member of the Board of Directors | 15/10/2025 | |
| 13 | Mr. Pham Huu Tai | Member of the Board of Directors | 15/10/2025 | |

2. BOD Meetings: In 2025, the Board of Directors held 33 meetings.

| No. | Member BOD | Number of Board Meetings Attended | Meeting Attendance Rate | Reason for Absence from the meeting |
|-----|---------------------------|-----------------------------------|-------------------------|---|
| 1 | Mr. Le Dinh Hien | 4/4 | 100% | |
| 2 | Mr. Ha Huy Khanh | 4/4 | 100% | |
| 3 | Mr. Tran Bac Viet | 4/4 | 100% | |
| 4 | Mr. Anselm Wong Siem Shen | 0/4 | 0% | (dismissed by the General Meeting of Shareholders as from 07/03/2025) |

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|----|---------------------|-------|------|---|
| 5 | Mr. Ngoi Gia Tien | 0/4 | 0% | |
| 6 | Mr. Do Tan Cuong | 29/29 | 100% | |
| 7 | Mr. Bui Do Linh | 23/23 | 100% | (dismissed by the General Meeting of Shareholders as from 15/10/2025) |
| 8 | Mr. Tran Viet Thang | 0/23 | 0% | |
| 9 | Mr. Do Tan Vu | 6/6 | 100% | (dismissed by the General Meeting of Shareholders as from 28/04/2025) |
| 10 | Mr. Dinh Van Xuan | 29/29 | 100% | |
| 11 | Mr. Phan Anh | 24/24 | 100% | (appointed by the General Meeting of Shareholders as from 28/04/2025) |
| 12 | Mr. Nguyen Van Tuan | 06/06 | 100% | (appointed by the General Meeting of Shareholders as from 15/10/2025) |
| 13 | Mr. Pham Huu Tai | 06/06 | 100% | |

3. BOD's supervision of the General Director's Board:

The Board of Directors (“BOD”) has proactively performed its role in supervising, inspecting, and overseeing the implementation of tasks by the General Director, departments, and affiliated units, in order to ensure that all of the Company’s operations are carried out in accordance with the Resolutions of the General Meeting of Shareholders and the Resolutions of the Board of Directors, in compliance with applicable laws and the Company’s Charter, while ensuring a balance of interests between shareholders and the Company.

The BOD periodically holds meetings with the General Director and invites representatives of the Supervisory Board to attend in order to update the business and production situation, receive management reports, and promptly propose solutions to ensure the progress of the approved plans. In 2025, in order to better align with the Company’s overall development orientation, the BOD carried out adjustments to the senior management structure. The BOD expects that these changes will contribute to the Company’s stable and sustainable development.

Under the close direction and supervision of the BOD, the General Director has diligently implemented specific tasks assigned to each member, while fully and timely reporting on the implementation results, arising difficulties, and proposed solutions for the BOD’s consideration and adjustment within its authority.

4. Activities of sub-committees of the Board of Directors (if any):

The Board of Directors established the Internal Audit Committee under the Board of Directors pursuant to Resolution No. 1812/2025/NQ-HĐQT/L40 dated 18 December 2025, and assigned Mr. Do Tan Hung carry out the internal audit for the year 2025.

5. Resolutions/Decisions of the Board of Directors:

| No. | Resolution/Decision Number | Date | Content | Approval Rate |
|-----|----------------------------|------------|---|---------------|
| 1 | 01/2025/NQ-HDQT/L40 | 15/01/2025 | <p>Article 1: The Board of Directors unanimously approved the report on business and production results for the fourth quarter and the year 2024.</p> <p>Article 2: The Board of Directors discussed and unanimously (100%) approved the business and production plan for 2025, which shall be submitted to the 2025 Annual General Meeting of Shareholders for approval.</p> <p>Article 3: The Board of Directors discussed and unanimously (100%) approved the 13th-month salary and additional salary for 2024.</p> <p>Article 4: The Board of Directors discussed and unanimously (100%) approved the cancellation of the record date for exercising the right to attend the Extraordinary General Meeting of Shareholders, previously scheduled for January 20, 2025.</p> <p>Reason for cancellation: As the Lunar New Year was approaching, departments were busy with year-end reporting and were unable to adequately prepare the meeting documents.</p> <p>Article 5: In the event of any discrepancies between this Resolution and previous resolutions on related matters, the provisions of this Resolution shall prevail and replace previous provisions.</p> | 100% |
| 2 | 01A/2025/NQ-HDQT/L40 | 15/01/2025 | <p>Article 1: The Board of Directors discussed and unanimously approved the convening of the First Extraordinary General Meeting of Shareholders in 2025 as follows:</p> <ul style="list-style-type: none"> Record date for shareholders to exercise the right to attend the meeting: February 5, 2025; Proposed agenda: <ul style="list-style-type: none"> Consolidation of the Board of Directors' personnel structure; Other matters within the authority of the General Meeting of Shareholders. Expected time of the Extraordinary General Meeting of Shareholders: March 2025 (specific details to be stated in the meeting invitation). Meeting venue: To be specified in the meeting invitation. The Board of Directors authorizes the Chairman of the Board of Directors to approve the time, agenda, contents, and documents serving the organization of the First Extraordinary General Meeting of Shareholders in 2025. <p>Article 2: In the event of any discrepancies between this Resolution and previous resolutions on related matters, the provisions of this Resolution shall prevail and replace previous provisions.</p> | 100% |
| 3 | 02/2025/NQ-HDQT/L40 | 10/02/2025 | <p>Article 1: The Board of Directors unanimously approved the notice to convene the First Extraordinary General Meeting of Shareholders in</p> | 100% |

2025 at 1:30 p.m. on March 7, 2025, in accordance with the meeting invitation dated February 10, 2025 (attached).

Article 2: The Board of Directors unanimously approved the establishment and assignment of duties for the Shareholder Eligibility Verification Committee serving the First Extraordinary General Meeting of Shareholders in 2025 as follows:

- Mr. Pham Van Hoan – Deputy General Director – Head of the Committee
- Mr. Nguyen Van Thang – Deputy Head of the Planning-Technical Department – Member
- Ms. Le Thi Thuy – Head of the Finance-Administration Department – Member

Article 3: The Board of Directors unanimously approved the documents to be submitted to the First Extraordinary General Meeting of Shareholders in 2025, including:

3.1. Draft assignment of duties for the Vote Counting Committee and the Secretariat as follows:

- Vote Counting Committee:
 - Mr. Pham Van Hoan – Head of the Committee
 - Mr. Nguyen Van Thang – Member
 - Ms. Tran Thi Hieu – Member
- Secretariat:
 - Ms. Le Thi Thuy

3.2. Draft agenda, draft working regulations of the First Extraordinary General Meeting of Shareholders in 2025, draft regulations on nomination, self-nomination, and additional election of members of the Board of Directors for the 2021–2025 term, and other draft documents serving the Meeting.

3.3. Approval of the contents of submissions to be presented to the Extraordinary General Meeting of Shareholders, including:

- Submission No. 001/TTr/ĐHĐCĐ-BT: Dismissal of members of the Board of Directors for the 2021–2025 term;
- Submission No. 002/TTr/ĐHĐCĐ-BT: Additional election of members of the Board of Directors for the 2021–2025 term;
- Submission No. 003/TTr/ĐHĐCĐ-BT: Investment plan for the purchase of shares of Ha My Complex Joint Stock Company;
- Other matters within the authority of the General Meeting of Shareholders (if any).

Article 1: The Board of Directors approved the list of candidates for the additional election of members of the Board of Directors at the First Extraordinary General Meeting of Shareholders of 40 Investment and Construction Joint Stock Company, including:

- Mr. Tran Viet Thang
- Mr. Do Tan Cuong

Article 2: The Board of Directors approved the adjustment and update of the documents for the First Extraordinary General Meeting of

03/2025/NQ-
HĐQT/L40

28/02/2025

100%

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| | | Shareholders in the Submission on the additional election of members of the Board of Directors for the 2021–2025 term. | |
| ; | 04/2025/NQ-HDQT/L40 | 07/03/2025 Article 1: The Board of Directors unanimously approved the withdrawal of Submission No. 002/TTr/DHDCD-BT dated February 10, 2025, and the adjustment and resubmission of Submission No. 002A/TTr/DHDCD-BT to be presented to the First Extraordinary General Meeting of Shareholders in 2025 (submission attached). | 100% |
| ; | 0703/2025/NQ-HDQT/L40 | 07/03/2025 Article 1: The Board of Directors (“BOD”) unanimously elected Mr. Do Tan Cuong – Member of the Board of Directors for the 2021–2025 term – to hold the position of Chairman of the Board of Directors and to act as the legal representative of 40 Investment and Construction Joint Stock Company with effect from March 7, 2025 until the BOD issues another notice of change. Article 2: The BOD unanimously approved the dismissal of Mr. Nguyen Van Son from the position of General Director of 40 Investment and Construction Joint Stock Company with effect from March 7, 2025. Article 3: The BOD unanimously approved the appointment of Mr. Dinh Van Xuan to the position of General Director and legal representative of 40 Investment and Construction Joint Stock Company. The term of appointment shall be effective from March 7, 2025 until the BOD issues another notice of change. Article 4: The BOD unanimously approved the plan to organize the 2025 Annual General Meeting of Shareholders (“AGM”) of 40 Investment and Construction Joint Stock Company as follows: <ul style="list-style-type: none">• Record date for finalizing the list of shareholders entitled to attend the AGM: March 28, 2025;• Expected time of organization: April 2024. The specific date shall be announced in the meeting invitation;• Expected venue: To be specified in the meeting invitation;• Proposed agenda and contents: Approval of matters within the authority of the General Meeting of Shareholders. Detailed contents shall be announced in the meeting invitation. The BOD authorizes the Chairman of the BOD to approve the time, agenda, contents, and documents serving the organization of the 2025 Annual General Meeting of Shareholders of 40 Investment and Construction Joint Stock Company. | 100% |
| ; | 1303/2025/NQ-HDQT/L40 | 13/03/2025 Article 1: The Board of Directors (“BOD”) unanimously approved the implementation of a transaction for the transfer of assets being land use rights and assets attached to land, specifically as follows: Land plot No.: 60 Map sheet No.: 71 Area: 167.4 m ² Land Use Right Certificate No.: BS699593 dated August 12, 2014 Assets attached to land: An office building constructed under Construction Permits No. 1142/GPXD, No. 382/XN-UBND-QLĐT, | 100% |

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| | | No. 1061/GPXD, and No. 826/XN-UBND-QLĐT. Transferee: 40.10 Investment and Construction Joint Stock Company Representative: Mr. Ngo Van Minh Title: Chairman of the Board of Directors, legal representative Citizen Identification No.: 001086011527 Address: No. 17, Group 2, Giap Nhat, Nhan Chinh Ward, Thanh Xuan District, Hanoi. Article 2: The BOD unanimously authorized the Chairman of the BOD, Mr. Do Tan Cuong, to carry out all procedures related to the above-mentioned asset transfer. | |
| 3 | 1703/2025/NQ-HĐQT/L40 | 17/03/2025 | Article 1: The Board of Directors ("BOD") decided to appoint Mr. Bui Thanh Tuan to replace Mr. Nguyen Thanh Trung as Chief Accountant, effective from March 17, 2025 to March 17, 2030. Article 2: The Board of Directors ("BOD") decided to appoint Mr. Nguyen Minh Sang to replace Ms. Le Thi Thuy as the Person in charge of corporate governance, effective from March 17, 2025 to March 17, 2030. Article 3: The Board of Directors ("BOD") decided to appoint Mr. Nguyen Minh Sang to replace Ms. Le Thi Thuy as Company Secretary, effective from March 17, 2025 to March 17, 2030. Article 4: The Board of Directors ("BOD") decided to appoint Mr. Nguyen Van Son to the position of Deputy General Director, effective from March 17, 2025 to March 17, 2030. |
| , | 1703B/2025/NQ-HĐQT/L40 | 17/03/2025 | Article 1: The Board of Directors decided to appoint Mr. Nguyen Van Son: <ul style="list-style-type: none">• Citizen Identification No.: 04270013606, issued on July 10, 2021 by the Police Department for Administrative Management of Social Order;• Address: 649/24B Dien Bien Phu Street, Ward 25, Binh Thanh District, Ho Chi Minh City, to hold the position of Deputy Director of 40 Investment and Construction Joint Stock Company. |
| 0 | 010804/2025/NQ-HĐQT/L40 | 08/04/2025 | Article 1: The Board of Directors unanimously approved the notice to convene the 2025 Annual General Meeting of Shareholders at 08:00 a.m. on April 28, 2025. Article 2: The Board of Directors unanimously approved the documents to be submitted to the 2025 Annual General Meeting of Shareholders. |
| 1 | 2604/2025/NQ-HĐQT/L40 | 26/04/2025 | Article 1: The Board of Directors unanimously approved the adjustment of the documents for the 2025 Annual General Meeting of Shareholders. Article 2: The Board of Directors unanimously approved the list of nominees for membership of the Board of Directors, namely Mr. Phan Anh . Article 3: The Board of Directors unanimously approved the list of |

| | | <p>nominees for membership of the Supervisory Board, including:</p> <ol style="list-style-type: none"> 1. Mr. Phan Thanh Hung 2. Mr. Tran Van Hung 3. Mr. Nguyen Le Duy | | | | | | | | | | | | | | | | |
|-----|---------------------------------|---|-----|-------------------|--------------|---|---------------------------------|----------------|---|---------------|----------------|---|-----------------------------|---------------|--|--------------|-----------------------|------|
| | | <p>Article 1: Approval of the detailed implementation of the plan to issue shares to increase share capital from owners' equity.</p> <p>The Board of Directors of 40 Investment and Construction Joint Stock Company unanimously approved the implementation of the share issuance plan to increase share capital from owners' equity as approved by the General Meeting of Shareholders under Resolution No. 28042025/NQ-ĐHĐCĐ/L40 dated April 28, 2025, with the following details:</p> <p>Number of shares: Shares of 40 Investment and Construction Joint Stock Company</p> <p>Type of shares: Ordinary shares</p> <p>Stock code: L40</p> <p>Par value: VND 10,000/share</p> <p>Number of issued shares: 3,600,000 shares</p> <p>Number of outstanding shares: 0 shares</p> <p>Number of shares to be issued: 3,600,000 shares</p> <p>Expected issuance value at par: VND 72,000,000,000</p> <p>Ratio (expected issued shares/outstanding shares): 200%</p> <p>Dividend ratio: 1:2</p> <p>Issuance method: Issuance to existing shareholders shall be distributed through the exercise of rights at a ratio of 1:2. On the record date, each shareholder holding 01 share will receive 01 right, and each right entitles the holder to receive 02 newly issued shares.)</p> <p>Purpose of issuance: To increase share capital from owners' equity.</p> <p>Source of capital: Undistributed after-tax profits, share premium, and Development Investment Fund as stated in the Company's audited financial statements for 2024, specifically:</p> | | | | | | | | | | | | | | | | |
| 2 | 0305/NQ-HĐQT/PHNVCsh/L40 | <table border="1"> <thead> <tr> <th>No.</th> <th>Source of capital</th> <th>Amount (VND)</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Undistributed after-tax profits</td> <td>51,658,000,000</td> </tr> <tr> <td>2</td> <td>Share premium</td> <td>11,616,000,000</td> </tr> <tr> <td>3</td> <td>Development Investment Fund</td> <td>8,726,000,000</td> </tr> <tr> <td></td> <td>Total</td> <td>72,000,000,000</td> </tr> </tbody> </table> <p>14. Expected issuance time: In 2025, after receipt of written notification from the State Securities Commission of Vietnam ("SSC") confirming receipt of the share issuance documents.</p> <p>15. Eligible participants: Existing shareholders whose names appear on the shareholder list on the record date provided by the Vietnam Securities Depository and Clearing Corporation ("VSDC").</p> <p>16. Issuance method: Issuance to existing shareholders through the</p> | No. | Source of capital | Amount (VND) | 1 | Undistributed after-tax profits | 51,658,000,000 | 2 | Share premium | 11,616,000,000 | 3 | Development Investment Fund | 8,726,000,000 | | Total | 72,000,000,000 | 100% |
| No. | Source of capital | Amount (VND) | | | | | | | | | | | | | | | | |
| 1 | Undistributed after-tax profits | 51,658,000,000 | | | | | | | | | | | | | | | | |
| 2 | Share premium | 11,616,000,000 | | | | | | | | | | | | | | | | |
| 3 | Development Investment Fund | 8,726,000,000 | | | | | | | | | | | | | | | | |
| | Total | 72,000,000,000 | | | | | | | | | | | | | | | | |

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| | <p>exercise of rights. Treasury shares are not entitled to receive rights. Rights are non-transferable.</p> <p>17. Transfer restriction: Newly issued shares are not subject to transfer restrictions.</p> <p>18. Rounding principles and handling of fractional shares (if any): The number of shares issued to existing shareholders shall be rounded down to whole units. Any fractional shares arising from rounding shall be cancelled. As shares are issued at a ratio of 1:2 (doubling the number of shares held), no fractional shares will arise.</p> <p><i>Example:</i> A shareholder holding 100 shares on the record date will receive:</p> $100 \text{ shares} \times 200\% = 200 \text{ additional shares.}$ <p>19. Distribution method:</p> <p>Shares shall be distributed directly to existing shareholders whose names appear on the shareholder list on the record date.</p> <ul style="list-style-type: none"> • For deposited securities: shareholders shall receive additional shares through their securities depository members. • For non-deposited securities: shareholders shall receive additional shares at the head office of 40 Investment and Construction Joint Stock Company (Address: No. 201/58 Nguyen Xi Street, Ward 26, Binh Thanh District, Ho Chi Minh City). <p>Article 2: Approval of the registration for depository and additional trading of all newly issued shares at the Vietnam Securities Depository and Clearing Corporation (VSDC) and the Hanoi Stock Exchange (HNX).</p> <p>Article 3: Approval of the dossier for the share issuance to increase share capital from owners' equity, including:</p> <ul style="list-style-type: none"> • Report on the share issuance to increase share capital from owners' equity; • Resolution of the General Meeting of Shareholders approving the issuance plan; • Resolution of the Board of Directors approving the implementation of the issuance plan; • Audited financial statements for 2024; • Copy of the Enterprise Registration Certificate; • Copy of the Company Charter; • Written confirmation from the SSC regarding the maximum foreign ownership ratio of the Company. <p>Article 4: Authorization to the Chairman of the Board of Directors: The Board of Directors authorizes the Chairman of the Board of Directors to direct the General Director and relevant departments to implement necessary procedures in accordance with regulations, including:</p> <ul style="list-style-type: none"> • Finalizing and submitting the share issuance report dossier to the SSC; |
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| | | | <ul style="list-style-type: none"> • Carrying out issuance procedures after receipt of the SSC's notification confirming receipt of the issuance documents; • Amending provisions on charter capital in the Company Charter and completing procedures for amending the Enterprise Registration Certificate in accordance with the actual issuance results; • Implementing necessary procedures for depository registration and additional listing of the issued shares at VSDC and HNX in accordance with applicable laws. | |
| 3 | 2005/2025/NQ-HĐQT/L40 | 20/05/2025 | Article 1: Approval of the record date for finalizing the list of shareholders entitled to receive shares issued under the capital increase plan from owners' equity as approved by the 2025 Annual General Meeting of Shareholders under Resolution No. 28042025/NQ-DHĐCD/L40 dated April 28, 2025. | 100% |
| 4 | 2005.03/NQ-HĐQT/L40 | 20/05/2025 | <p>Article 1: The Board of Directors ("BOD") decided to appoint Ms. Nguyen Hai Anh to replace Mr. Nguyen Minh Sang as the Person in charge of corporate governance, effective from May 20, 2025 until a replacement decision is issued.</p> <p>Article 2: The Board of Directors ("BOD") decided to appoint Ms. Nguyen Hai Anh to replace Mr. Nguyen Minh Sang as Company Secretary, effective from May 20, 2025 until a replacement decision is issued.</p> | 100% |
| 5 | 0206A/2025/NQ-HĐQT/L40 | 02/06/2025 | <p>Article 1: The Board of Directors ("BOD") decided to dismiss Mr. Nguyen Duc Hung from the position of Deputy General Director, effective from June 2, 2025.</p> <p>Article 2: The Board of Directors ("BOD") decided to dismiss Mr. Tran Ung Hoe from the position of Deputy General Director, effective from June 2, 2025.</p> <p>Article 3: The Board of Directors ("BOD") decided to dismiss Mr. Tran Dang Thang from the position of Deputy General Director, effective from June 2, 2025.</p> <p>Article 4: The Board of Directors ("BOD") decided to dismiss Mr. Sai Van Nhue from the position of Deputy General Director, effective from June 2, 2025.</p> <p>Article 5: The Board of Directors ("BOD") decided to dismiss Mr. Pham Van Hoan from the position of Deputy General Director, effective from June 2, 2025.</p> <p>Article 6: The Board of Directors ("BOD") decided to dismiss Mr. Nguyen Van Thang from the position of Member of the Internal Audit Committee, effective from June 2, 2025.</p> <p>Article 7: The Board of Directors ("BOD") decided to dismiss Mr. Pham Que Thanh from the position of Member of the Internal Audit Committee, effective from June 2, 2025.</p> | 100% |
| 6 | 0506/2025/NQ-HĐQT/L40 | 05/06/2025 | <p>Article 1: Approval of the Results of the Share Issuance to Increase Charter Capital from Equity</p> <ol style="list-style-type: none"> 1. Planned number of shares to be issued: 7,200,000 shares. | 100% |

| | | | |
|---|-------------------------|--|------|
| | | <p>2. Total number of shares distributed: 7,200,000 shares, of which:</p> <ul style="list-style-type: none"> • The number of shares distributed to shareholders to increase charter capital from equity at the ratio of 1:2 is 7,200,000 shares allocated to 293 shareholders; • The number of fractional shares and cancelled shares: 0 shares. <p>3. Closing date of the issuance: June 03, 2025.</p> <p>4. Total number of shares after the issuance (as of June 03, 2025): 10,800,000 shares, of which:</p> <ul style="list-style-type: none"> • Outstanding shares: 10,800,000 shares; • Treasury shares: 0 shares; • Charter capital after the issuance: VND 108,000,000,000. <p>Article 2. Implementation Responsibilities</p> <p>The Board of Directors assigns the General Director of the Company and relevant departments to report the issuance results to the competent state authorities; carry out procedures for amending the Company Charter; adjust the Enterprise Registration Certificate and operating license conditions; and register additional securities and additional listing in order to supplement the entire number of newly issued shares increasing the charter capital in accordance with the new charter capital.</p> | |
| 7 | 1206/2025/NQ-HĐQT/L40 | <p>Article 1: Approval of the Plan for Organizing the Extraordinary General Meeting of Shareholders</p> <p>The Board of Directors approves the plan for organizing the Extraordinary General Meeting of Shareholders of 40 Investment and Construction Joint Stock Company as follows:</p> <ul style="list-style-type: none"> • Final registration date for determining the list of shareholders entitled to attend the Extraordinary General Meeting of Shareholders: July 03, 2025; • Expected time of organization: July 2025. The specific date shall be announced in the meeting invitation; • Expected venue: To be specifically notified in the meeting invitation; • Agenda and contents: Approval of matters falling under the authority of the General Meeting of Shareholders. Detailed contents shall be notified in the meeting invitation; • The Board of Directors authorizes the Chairman of the Board of Directors to approve the time, agenda, contents and documents serving the organization of the Extraordinary General Meeting of Shareholders of the Company. | 100% |
| 8 | 0807.1/2025/NQ-HĐQT/L40 | <p>Article 1. Approval of the Convocation of the Extraordinary General Meeting of Shareholders</p> <p>The Board of Directors approves the convocation of the Extraordinary General Meeting of Shareholders at 14:00 on July 30, 2025.</p> <p>Article 2. Approval of Documents to be Submitted to the Extraordinary General Meeting of Shareholders</p> <p>The Board of Directors approves the documents to be submitted to the</p> | 100% |

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|---|-----------------------|------------|--|------|
| | | | <p>Extraordinary General Meeting of Shareholders, including:</p> <ul style="list-style-type: none"> Submission No. 0407/TTr-HDQT/PHRL dated July 04, 2025 regarding the private placement of shares to increase charter capital; Other matters falling under the authority of the General Meeting of Shareholders (if any) | |
| 9 | 0508/NQ-HDQT/PHRL/L40 | 05/08/2025 | <p>Article 1: Approval of the Implementation of the Private Placement of Shares to Increase Charter Capital</p> <p>Approval is granted for the implementation of the private placement of shares to increase charter capital (the “Share Offering Plan”) in accordance with Resolution of the General Meeting of Shareholders No. 30072025/NQ-ĐHĐCD/L40 dated July 30, 2025, with the following details:</p> <p>Pursuant to the authorization of the General Meeting of Shareholders (“GMS”), the Board of Directors approves the implementation of the share issuance plan as follows:</p> <ol style="list-style-type: none"> 1. Issuing organization: 40 Investment and Construction Joint Stock Company 2. Name of shares: Shares of 40 Investment and Construction Joint Stock Company 3. Stock code: L40 4. Type of shares: Ordinary shares 5. Par value: VND 10,000 per share 6. Current charter capital: VND 108,000,000,000 7. Number of outstanding shares: 10,800,000 shares 8. Number of treasury shares: 0 shares 9. Planned number of offered shares: 15,000,000 shares 10. Total issuance value at par: VND 150,000,000,000 11. Offering price and price range: <p>In accordance with the pricing principles approved under Resolution of the General Meeting of Shareholders No. 30072025/NQ-ĐHĐCD/L40 dated July 30, 2025, the Board of Directors determines the expected offering price range based on the following grounds:</p> <ul style="list-style-type: none"> Based on the reviewed financial statements for the first six months of 2025, the book value per share of the Company is VND 10,374; Not lower than 70% of the average closing price of L40 shares over the last 30 trading sessions prior to the date on which the State Securities Commission announces receipt of complete private placement registration documents of the Company. <p>Accordingly, the minimum offering price is VND 10,374 per share. The Board of Directors shall determine the maximum offering price based on 70% of the average closing price of L40 shares over the last 30 trading sessions prior to the date on which the State Securities Commission announces receipt of complete private placement</p> | 100% |

registration documents of the Company, but not lower than VND 10,374 per share.

At the time of the offering, depending on market conditions, the Board of Directors shall determine the offering price for investors in compliance with applicable laws, the pricing principles approved by the General Meeting of Shareholders, and ensuring the highest interests of the Company and its shareholders.

12. Offering method: Private placement to professional securities investors

13. Expected implementation time: In 2025

14. Eligible investors:

- Selection criteria: Professional securities investors meeting the criteria stipulated in Clause 1, Article 11 of the Law on Securities, satisfying one of the following conditions:
 - Commercial banks, foreign bank branches, finance companies, insurance business organizations, securities companies, fund management companies, securities investment companies, securities investment funds, international financial institutions, off-budget state financial funds, and state financial institutions permitted to purchase securities in accordance with relevant laws;
 - Companies with paid-in charter capital exceeding VND 100 billion or listed organizations or organizations registered for trading;
 - Individuals holding securities practicing certificates;
 - Individuals holding a portfolio of listed or registered-for-trading securities with a minimum value of VND 2 billion, as certified by a securities company at the time such individual is determined as a professional securities investor;
 - Individuals having taxable income in the most recent year of at least VND 1 billion at the time such individual is determined as a professional securities investor, based on tax declarations submitted to tax authorities or tax withholding certificates issued by income-paying organizations or individuals.
- Number of investors: Fewer than 100 investors
- List of investors participating in the subscription:

| No. | Investor Name | ID Card / Passport No. | Investor Category | | Shares Held Before the Offering (as of July 31, 2025) (shares) | Number of Shares to be Allocated (shares) | Expected Ownership Ratio After the Offering |
|-----|---------------|------------------------|---|--|--|---|---|
| | | | Strategic investor / Professional securities investor | Foreign investor / Economic organization with foreign investors holding more than 50% of charter capital / Domestic investor | | | |
| | | | | | | | |

| | | | | | | | |
|---|---------------|--------------|----------------------------------|-------------------|---------|------------|--------|
| 1 | HO TAN THINH | 049089006453 | Professional securities investor | Domestic investor | 600 | 5,000,000 | 19.38% |
| 2 | BUI DO LINH | 049097015374 | Professional securities investor | Domestic investor | 102,000 | 5,000,000 | 19.78% |
| 3 | TRAN MINH HOI | 049089017448 | Professional securities investor | Domestic investor | 3,100 | 5,000,000 | 19.39% |
| | Total | | | | 105,700 | 15,000,000 | 58.55% |

The above investors are not related parties to one another.

15. Handling of unsubscribed shares:

- In the event that investors have registered to purchase shares but, at the time of payment notification, do not purchase and/or do not purchase all registered shares, the Board of Directors shall decide to continue offering the unsubscribed shares to other domestic professional securities investors meeting the criteria approved by the General Meeting of Shareholders. The offering price for such investors shall be the same as the offering price determined by the Board of Directors in accordance with the pricing principles approved by the General Meeting of Shareholders.
- In the event that the offering period expires in accordance with regulations or at another time determined by the Board of Directors prior to such expiry, if investors do not purchase all the shares registered for issuance by the Company, the Board of Directors shall, based on actual issuance results, decide the actual number of issued shares and the actual increase in charter capital.

Article 2. Approval of the Plan for Use of Proceeds from the Offering
 Pursuant to the authorization of the General Meeting of Shareholders and based on actual conditions, the Board of Directors approves the plan for use of proceeds from the offering, whereby the entire expected proceeds from the offering shall be used as follows:

| No. | Use of proceeds | Amount (VND) | Expected time of use |
|-----|--|--|----------------------|
| 1 | Capital investment to acquire shares of CMr. Kin Construction Investment Joint Stock Company (via transfer of shares from existing shareholders of CMr. Kin Construction Investment Joint Stock Company) | 270,000,000,000 | Q4/2025 and Q1/2026 |
| 2 | Supplement of working capital (for repayment of bank loans and due payables of the Company) | The difference in case the proceeds from the offering exceed the amount used to acquire shares of CMr. Kin Construction Investment Joint Stock Company | Q4/2025 and Q1/2026 |

Detailed information on the investment in Cong Kin Construction Investment Joint Stock Company

- Company name: Cong Kin Construction Investment Joint Stock

Company

- Enterprise Registration Certificate No.: 4001190953, initially issued on October 08, 2019 and amended for the first time on July 22, 2025 by the Department of Finance of Da Nang City – Business Registration Division
- Head office address: Group 12, Ha My Trung residential quarter, Dien Ban Dong Ward, Da Nang City, Vietnam
- Current charter capital: VND 100,000,000,000
- Number of outstanding shares: 10,000,000 shares
- Number and ownership ratio of shares currently held by L40: 0 shares, equivalent to 0%
- Number of shares to be acquired from existing shareholders: 9,000,000 shares, equivalent to 90% of charter capital
- Number and ownership ratio of shares held by L40 after acquisition: 9,000,000 shares, equivalent to 90% of charter capital
- Purchase price: VND 30,000 per share
- Total purchase value: VND 270,000,000,000

List of shareholders of Cong Kin Construction Investment Joint Stock Company transferring shares to L40:

| No. | Shareholder of Cong Kin Construction Investment JSC | ID Card No. | Relationship with L40 / Insider of L40 | Number of shares sold | Transfer price (VND) | Purchase value (VND) |
|--------------|---|---|--|-----------------------|----------------------|------------------------|
| 1 | Do Tan Vu | 049084 015927 issued by the Ministry of Public Security on 31/7/2024 | Younger brother of Mr. Do Tan Cuong – Chairman of the Board of Directors | 3,600,000 | 30,000 | 108,000,000,000 |
| 2 | Hoang Trong Duc | 049077 006442 issued by the DAM of Social Order on 27/06/2021 | None | 4,500,000 | 30,000 | 135,000,000,000 |
| 3 | Nguyen Van Huy | 049083 012114 issued by the DAM of Social Order on 07/02/2024 | None | 900,000 | 30,000 | 27,000,000,000 |
| Total | | | | 9,000,000,000 | | 270,000,000,000 |

At the same time, the Board of Directors approves the draft Share Transfer Agreement of CMr. Kin Construction Investment Joint Stock Company between the above individuals and 40 Investment and Construction Joint Stock Company (attached). The Board of Directors authorizes the General Director of the Company to proactively negotiate with the above investors and adjust the contents of the Agreement (if necessary), except for the transfer price, ensuring the

| | | | |
|------------------------------|------------|---|------|
| | | <p>interests of the Company.</p> <p>Article 3. Approval of the Plan to Ensure Compliance with the Maximum Foreign Ownership Ratio</p> <p>Pursuant to Official Letter No. 3274/UBCK-PTTT dated May 31, 2022 of the State Securities Commission, the maximum foreign ownership ratio of the Company is 49%.</p> <p>In this offering, in order to ensure compliance with regulations on foreign ownership limits, the Board of Directors approves that all participating investors are domestic professional securities investors. In the event that investors have registered to purchase shares but, at the time of payment notification, do not purchase and/or do not purchase all registered shares, the Board of Directors shall continue offering the unsubscribed shares to other domestic professional securities investors meeting the criteria approved by the General Meeting of Shareholders. In the event that the offering period expires in accordance with regulations or at another time determined by the Board of Directors prior to such expiry, any shares not subscribed for or not paid for by investors shall be cancelled.</p> <p>Accordingly, this private placement will not increase foreign ownership in the Company and ensures full compliance with applicable laws.</p> | |
| 0 0708.2/NQ-HĐQT/PHRL/L40 | 07/08/2025 | <p>Article 1. Approval of the implementation of the plan for private placement of shares to increase charter capital (the “Share Offering Plan”) in accordance with the Resolution of the General Meeting of Shareholders No. 30072025/NQ-ĐHĐCD/L40 dated 30 July 2025, specifically as follows:</p> <p>Pursuant to the authorization of the General Meeting of Shareholders (“GMS”), the Board of Directors approves the implementation of the share issuance plan, with details as follows:</p> <ul style="list-style-type: none"> • Issuing organization: 40 Investment and Construction Joint Stock Company • Name of shares: Shares of 40 Investment and Construction Joint Stock Company • Ticker symbol: L40 • Type of shares: Ordinary shares • Par value: VND 10,000/share • Current charter capital: VND 108,000,000,000 • Number of outstanding shares: 10,800,000 shares • Number of treasury shares: 0 share • Expected number of shares offered: 15,000,000 shares • Total issuance value at par value: VND 150,000,000,000 • Offering price and price range: In accordance with the pricing principles approved under the GMS Resolution No. 30072025/NQ-ĐHĐCD/L40 dated 30 July 2025, the Board of Directors has determined the expected offering price range based on the following grounds: • According to the reviewed financial statements for the first six | 100% |

months of 2025, the book value per share of the Company is VND 10,374.

- Not lower than 70% of the average closing price of L40 shares over the most recent 30 trading sessions prior to the date on which the State Securities Commission announces receipt of the complete registration dossier for the Company's private share offering.

Accordingly, the minimum offering price is VND 10,374/share. The Board of Directors shall determine the maximum offering price based on 70% of the average closing price of L40 shares over the most recent 30 trading sessions prior to the date on which the State Securities Commission announces receipt of the complete registration dossier for the private placement, but not lower than VND 10,374/share. At the time of offering, depending on market conditions, the Board of Directors shall determine the offering price to investors in compliance with applicable laws and the pricing principles approved by the GMS, ensuring the highest interests of the Company and its shareholders.

- Offering method: Private placement of shares to professional securities investors.
- Expected implementation period: In 2025.
- Offerees:
- Selection criteria: Professional securities investors meeting the criteria prescribed in Clause 1, Article 11 of the Law on Securities. Investors meeting one of the following criteria:
 - Commercial banks, foreign bank branches, finance companies, insurance business organizations, securities companies, fund management companies, securities investment companies, securities investment funds, international financial institutions, off-budget state financial funds, and state financial institutions permitted to purchase securities in accordance with relevant laws;
 - Companies with paid-in charter capital exceeding VND 100 billion, or listed or registered trading organizations;
 - Individuals holding securities practicing certificates;
 - Individuals holding a portfolio of listed or registered securities with a minimum value of VND 2 billion as certified by a securities company at the time such individual is determined to be a professional securities investor;
 - Individuals having taxable income of at least VND 1 billion in the most recent year as of the time of determination of professional investor status, based on tax declarations submitted to tax authorities or tax withholding documents.
- Number of investors: Fewer than 100 investors.
- List of investors participating in the share purchase:

| No. | Investor Name | ID Card / Passport No. | Investor Category | | Shares Held Before the Offering (as of July 31, 2025) (shares) | Number of Shares to be Allocated (shares) | Expected Ownership Ratio After the Offering |
|--------------|---------------|------------------------|---|--|--|---|---|
| | | | Strategic investor / Professional securities investor | Foreign investor / Economic organization with foreign investors holding more than 50% of charter capital / Domestic investor | | | |
| 1 | HO TAN THINH | 049089006453 | Professional securities investor | Domestic investor | 600 | 5,000,000 | 19.38% |
| 2 | BUI DO LINH | 049097015374 | Professional securities investor | Domestic investor | 102,000 | 5,000,000 | 19.78% |
| 3 | TRAN MINH HOI | 049089017448 | Professional securities investor | Domestic investor | 3,100 | 5,000,000 | 19.39% |
| Total | | | | | 105,700 | 15,000,000 | 58.55% |

The above investors are not related people of one another.

- Handling of unsubscribed shares:
- In the event that an investor has registered to purchase shares but, at the time of payment notification, does not purchase and/or does not purchase all registered shares, the Board of Directors shall decide to continue offering the unsubscribed shares to other domestic professional securities investors meeting the criteria approved by the GMS. The offering price for such investors shall be the same as the offering price determined by the Board of Directors in accordance with the pricing principles approved by the GMS.
- In the event that, upon expiry of the offering period as prescribed or at another time determined by the Board of Directors prior to such expiry, investors do not fully subscribe to the registered number of shares, the Board of Directors shall, based on actual offering results, decide on the actual number of shares issued and the actual increase in charter capital.

Article 2. Approval of the plan for use of proceeds from the offering

Pursuant to the authorization of the GMS and based on actual conditions, the Board of Directors approves the plan for use of proceeds from the offering. The entire expected proceeds shall be used as follows:

| No. | Use of proceeds | Amount (VND) | Expected time of use |
|-----|--|--|----------------------|
| 1 | Capital investment to acquire shares of CMr. Kin Construction Investment Joint Stock Company (via transfer of shares from existing shareholders of CMr. Kin Construction Investment Joint Stock Company) | 270,000,000,000 | Q4/2025 and Q1/2026 |
| 2 | Supplement of working capital (for repayment of bank loans and due payables of the Company) | The difference in case the proceeds from the offering exceed the amount used to acquire shares of CMr. | Q4/2025 and Q1/2026 |

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|--|--|---|--|
| | | Kin Construction Investment Joint Stock Company | |
|--|--|---|--|

Detailed information on the investment in the acquisition of shares of Cong Kin Construction Investment Joint Stock Company:

- Company name: Cong Kin Construction Investment Joint Stock Company
- Enterprise Registration Certificate No.: 4001190953, initially issued on 08 October 2019 and amended for the first time on 22 July 2025 by the Department of Finance of Da Nang City – Business and Enterprise Registration Division
- Head office address: Group 12, Ha My Trung Residential Area, Dien Ban Dong Ward, Da Nang City, Vietnam
- Current charter capital: VND 100,000,000,000
- Number of outstanding shares: 10,000,000 shares
- Number and percentage of shares currently held by L40 in Cong Kin Construction Investment JSC: 0 shares, equivalent to 0%
- Number of shares to be acquired from existing shareholders of Cong Kin Construction Investment JSC: 9,000,000 shares, equivalent to 90% of charter capital
- Number and percentage of shares held by L40 in Cong Kin Construction Investment JSC after the acquisition: 9,000,000 shares, equivalent to 90% of charter capital
- Purchase price: VND 30,000 per share
- Total purchase value: VND 270,000,000,000
- List of shareholders of Cong Kin Construction Investment JSC selling shares to L40:

| No. | Shareholder of Cong Kin Construction Investment JSC | ID Card No. | Relationship with L40 / Insider of L40 | Number of shares sold | Transfer price (VND) | Purchase value (VND) |
|--------------|---|--|--|-----------------------|----------------------|------------------------|
| 1 | Do Tan Vu | 049084 015927 issued by the Ministry of Public Security on 31/7/2024 | Younger brother of Mr. Do Tan Cuong – Chairman of the Board of Directors | 2,100,000 | 30,000 | 63,000,000,000 |
| 2 | Hoang Trong Duc | 049077 006442 issued by the DAM of Social Order on 27/06/2021 | None | 6,000,000 | 30,000 | 180,000,000,000 |
| 3 | Nguyen Van Huy | 049083 012114 issued by the DAM of Social Order on 07/02/2024 | None | 900,000 | 30,000 | 27,000,000,000 |
| Total | | | | 9,000,000,000 | | 270,000,000,000 |

| | | | | |
|---|------------------------|--|--|------|
| | | <p>At the same time, the Board of Directors approves the draft Share Transfer Agreement of Cong Kin Construction Investment Joint Stock Company between the above-mentioned individuals and 40 Investment and Construction Joint Stock Company (attached). The Board of Directors authorizes the General Director of the Company to proactively negotiate with the above-mentioned investors and to amend the contents of the Agreement (if necessary), except for the transfer price, ensuring the best interests of the Company.</p> <p>Article 3: Approval of the plan to ensure that the private placement of shares complies with regulations on the maximum foreign ownership ratio of the Company</p> <p>Pursuant to Official Letter No. 3274/UBCK-PTTT dated 31 May 2022 of the State Securities Commission, the maximum foreign ownership ratio in the Company is 49%.</p> <p>In this issuance, in order to ensure that the share issuance complies with the prescribed foreign ownership ratio, the Board of Directors approves that all investors on the list are domestic professional securities investors. In the event that an investor has registered to purchase shares but, at the time of the payment notice, does not purchase and/or does not subscribe for the full number of registered shares, the Board of Directors shall continue to offer the unsubscribed shares to other domestic professional securities investors that meet the criteria approved by the General Meeting of Shareholders. In the event that the offering period expires as prescribed or at another time determined by the Board of Directors prior to such deadline, and investors do not purchase all the shares registered for issuance by the Company, the number of shares not subscribed for or not paid for by investors shall be cancelled. Accordingly, this private placement will not increase the foreign ownership ratio in the Company, ensuring compliance with applicable laws and regulations.</p> | | |
| 1 | 0808A/NQ-HĐQT/PHRL/L40 | 08/08/2025 | <p>Article 1: Approval of the dossier for registration of the private placement of shares of 40 Investment and Construction Joint Stock Company</p> <p>The dossier for registration of the private placement of shares includes:</p> <ol style="list-style-type: none"> 1. Application for registration of the private placement of shares No. 0808/ĐKCBCPRL/L40 dated 08 August 2025 of 40 Investment and Construction Joint Stock Company; 2. Enterprise Registration Certificate No. 1800421390 initially issued by the Ho Chi Minh City Department of Finance on 02 April 2008, with the 15th amendment dated 23 July 2025; 3. Resolution No. 30072025/NQ-ĐHĐCĐ/L40 dated 30 July 2025 of the General Meeting of Shareholders of the Company; Submission No. 0407/TTr-HĐQT/PHRL dated 04 July 2025; and Submission No. 0407.1/TTr-HĐQT dated 04 July 2025; 4. Resolution No. 0708.2/NQ-HĐQT/PHRL/L40 dated 07 August 2025 of the Board of Directors approving the implementation of the | 100% |

| | | | |
|---|-----------------------|--|------|
| | | <p>private placement plan (together with the Meeting Minutes);</p> <p>5. Commitment Letter of the issuing organization regarding non-violation of regulations on cross-ownership No. 0808C/CK-SHC/L40 dated 08 August 2025 of 40 Investment and Construction Joint Stock Company;</p> <p>6. Confirmation Letter of National Citizen Commercial Joint Stock Bank – Hanoi Branch No. 0708.2025/CVNCFB dated 07 August 2025 confirming the blocked account;</p> <p>7. Official Letter No. 3274/UBCK-PTTT dated 31 May 2022 of the State Securities Commission regarding the dossier for notification of the maximum foreign ownership ratio of 40 Investment and Construction Joint Stock Company;</p> <p>8. Documents related to the use of proceeds from the offering, including:</p> <ul style="list-style-type: none"> ○ Enterprise Registration Certificate No. 4001190953 initially issued by the Da Nang City Department of Finance, Business and Business Registration Division on 08 October 2019, with the 1st amendment dated 22 July 2025, of Cong Kin Construction Investment Joint Stock Company; ○ Audited financial statements for the year 2024 of Cong Kin Construction Investment Joint Stock Company; ○ Share Transfer Agreements of Cong Kin Construction Investment Joint Stock Company between the shareholders of Cong Kin Construction Investment Joint Stock Company and 40 Investment and Construction Joint Stock Company; <p>9. Documents providing information on the offering to investors: none.</p> | |
| 2 | 2708/2025/NQ-HDQT/L40 | <p>Article 1: The Board of Directors unanimously approves the plan to organize the Extraordinary General Meeting of Shareholders (“EGM”) of 40 Investment and Construction Joint Stock Company as follows:</p> <ul style="list-style-type: none"> • Record date for finalizing the list of shareholders entitled to attend the EGM: 19 September 2025; • Expected time of organization: October 2025. The specific date will be notified in the meeting invitation; • Expected venue: to be specifically notified in the meeting invitation; • Proposed agenda and contents of the Meeting: approval of matters within the authority of the General Meeting of Shareholders. Detailed contents will be notified in the meeting invitation; • The Board of Directors authorizes the Chairman of the Board of Directors to approve the time, agenda, contents, and documents serving the organization of the Extraordinary General Meeting of Shareholders of 40 Investment and Construction Joint Stock Company. | 100% |

| | | | |
|---|-----------------------|--|------|
| 3 | 0809/2025/NQ-HĐQT/L40 | <p>Article 1: To withdraw the dossier for registration of the private placement of shares under the capital increase plan approved by the 2025 Extraordinary General Meeting of Shareholders pursuant to Resolution No. 30072025/NQ-ĐHĐCD/L40 dated 30 July 2025.</p> <p>Based on:</p> <ul style="list-style-type: none"> • The Company's capital needs and operational situation; • Developments in the share price on the trading market resulting in the determined offering price no longer being appropriate to the approved plan; • Changes in the transaction conditions of strategic shareholders, which require further discussions and negotiations with the shareholders; <p>Therefore, the Board of Directors resolves to withdraw the dossier for registration of the private placement of shares under the share offering plan to increase charter capital approved by the 2025 Extraordinary General Meeting of Shareholders pursuant to Resolution No. 30072025/NQ-ĐHĐCD/L40 dated 30 July 2025.</p> <p>The Board of Directors undertakes to report the progress/results of the offering under the above-mentioned plan at the nearest General Meeting of Shareholders.</p> | 100% |
| 4 | 1509/2025/NQ-HĐQT/L40 | <p>Article 1: To approve the execution of Business Cooperation Contract No. 15092025/HTKD/ADL40, with the following details:</p> <ul style="list-style-type: none"> • Partner: An Duong Construction, Trading and Services Company Limited • Total contract value: VND 50,000,000,000 (in words: Fifty billion Vietnamese dong only) | 100% |
| 5 | 2409/2025/NQ-HĐQT/L40 | <p>Article 1: The Board of Directors unanimously approves the notice convening the Third Extraordinary General Meeting of Shareholders, to be held at 08:30 a.m. on 15 October 2025. Details are provided in the meeting invitation (attached).</p> <p>Article 2: The Board of Directors unanimously approves the documents to be submitted to the Third Extraordinary General Meeting of Shareholders, including:</p> <ul style="list-style-type: none"> • Proposal for approval of the reduction of L40's ownership ratio in Ha My Complex Joint Stock Company. • Proposal on the investment in the acquisition of shares of Cong Kin Construction Investment Joint Stock Company. • Proposal on the advance payment of dividends in shares at a ratio of 1:1. • Proposal on the change of the head office address of 40 Investment and Construction Joint Stock Company. • Proposal on the dismissal of a member of the Board of Directors for the 2021–2025 term. • Proposal on the election of an additional member of the Board of Directors for the 2021–2025 term. • Other matters falling within the authority of the General Meeting of | 100% |

| | | | |
|---|-------------------------|------------------------|---|
| | | Shareholders (if any). | |
| 6 | 0309/2025/NQ-HĐQT/L40 | 25/09/2025 | <p>Article 1: Approves the execution of the Share Transfer Agreement of Ha My Complex Joint Stock Company, with the following details:</p> <ul style="list-style-type: none"> • Purchaser: Mr. Tran Minh Hoi • Name of shares offered: Shares of Ha My Complex Joint Stock Company • Number of shares transferred: 900,000 shares • Total value: VND 54,000,000,000 (in words: Fifty-four billion Vietnamese dong only). |
| 7 | 0809B/2025/NQ-HĐQT/L40 | 26/09/2025 | <p>Article 1: Approves the execution of the Share Transfer Agreement of Ha My Complex Joint Stock Company, with the following details:</p> <ul style="list-style-type: none"> • Purchaser: Ms. Huynh Thi Tu Oanh • Name of shares offered: Shares of Ha My Complex Joint Stock Company • Number of shares transferred: 910,000 shares • Total value: VND 54,600,000,000 (in words: Fifty-four billion six hundred million Vietnamese dong only). |
| 8 | 1809/2025/NQ-HĐQT/L40 | 26/09/2025 | <p>Article 1: Approves the execution of the Share Transfer Agreement of Ha My Complex Joint Stock Company, with the following details:</p> <ul style="list-style-type: none"> • Purchaser: Mr. Tran Minh Hoi • Name of shares offered: Shares of Ha My Complex Joint Stock Company • Number of shares transferred: 800,000 shares • Total value: VND 49,600,000,000 (in words: Forty-nine billion six hundred million Vietnamese dong only). |
| 9 | 0310/2025/NQ-HĐQT/L40 | 03/10/2025 | <p>Article 1: The Board of Directors unanimously approves the revision of the documents for the 3rd Extraordinary General Meeting of Shareholders.</p> <p>Article 2: The Board of Directors unanimously approves the list of nominees for members of the Board of Directors, including:</p> <ol style="list-style-type: none"> 1. Mr. Nguyen Van Tuan (curriculum vitae attached); 2. Mr. Pham Huu Tai (curriculum vitae attached). <p>Article 3: The Board of Directors unanimously approves the list of nominees for members of the Board of Supervisors, including Mr. Tran Cong Hau (curriculum vitae attached).</p> |
| 0 | 0710.2/2025/NQ-HĐQT/L40 | 07/10/2025 | <p>Article 1: Approval of the execution of the Construction Work Contract No. 07.10/2025/HĐXL, with the following details:</p> <ul style="list-style-type: none"> • Counterparty: Viet Thinh Construction Investment Company Limited • Project: Phu Thinh Urban Area, Dien Ban Dong Ward, Da Nang City • Total contract value: VND 44,692,981,000 (in words: Forty-four billion six hundred ninety-two million nine hundred eighty-one thousand Vietnamese dong). |

| | | | | |
|---|--------------------------|------------|---|------|
| 1 | 0810.2/2025/NQ-HĐQT/L40 | 08/10/2025 | <p>Article 1: Approval of the execution of the Construction Work Contract No. 08.10/2025/HĐXL, with the following details:</p> <ul style="list-style-type: none"> • Counterparty: Viet Thinh Construction Investment Company Limited • Project: An Phu Urban Area, Dien Duong Ward, Dien Ban Town • Total contract value: VND 65,922,120,000 (in words: Sixty-five billion nine hundred twenty-two million one hundred twenty thousand Vietnamese dong). | 100% |
| 2 | 1610.1/NQ-HĐQT/PHTCT/L40 | 16/10/2025 | <p>Article 1: Approval of the detailed implementation of the share issuance plan for dividend payment.</p> <p>The Board of Directors of 40 Investment and Construction Joint Stock Company unanimously approves the implementation of the share issuance plan for dividend payment as approved by the General Meeting of Shareholders under Resolution No. 15102025.1/NQ-ĐHĐCĐ/L40 dated 15 October 2025, with the following details:</p> <ol style="list-style-type: none"> 1. Name of shares: Shares of 40 Investment and Construction Joint Stock Company 2. Type of shares: Ordinary shares 3. Ticker symbol: L40 4. Par value: VND 10,000/share 5. Number of issued shares: 10,800,000 shares 6. Treasury shares: 0 shares 7. Outstanding shares: 10,800,000 shares 8. Number of shares to be issued: 10,800,000 shares 9. Total issuance value at par: VND 108,000,000,000 10. Issuance ratio (shares to be issued/outstanding shares): 100% 11. Entitlement ratio: 1:1 (Shares issued to existing shareholders shall be distributed through rights exercise at a ratio of 1:1. On the record date, a shareholder owning 01 share shall receive 01 right, and each right entitles the shareholder to receive 01 additional share.) 12. Purpose of issuance: Issuance of shares for dividend payment 13. Source: Retained after-tax profits accumulated up to 30 September 2025 according to the audited Q3 2025 Financial Statements of 40 Investment and Construction Joint Stock Company 14. Expected issuance time: In 2025, after receiving the notification from the State Securities Commission of Vietnam regarding receipt of the dossier for share issuance for dividend payment 15. Eligible shareholders: Existing shareholders whose names are on the shareholder list on the last registration date (record date) for exercising the right to receive additional shares, as provided by the Vietnam Securities Depository and Clearing Corporation (VSDC) 16. Issuance method: Issuance to existing shareholders through rights exercise; treasury shares are not entitled to receive additional shares. The right to receive shares is non-transferable 17. Transfer restriction: Shares issued for dividend payment are not subject to transfer restrictions | 100% |

18. Rounding principles and treatment of fractional shares (if any):
19. The number of shares issued to existing shareholders shall be rounded down to whole units. Any fractional shares arising from rounding (if any) shall be cancelled. As the issuance ratio is 1:1, no fractional shares shall arise.

Example: Shareholder A owns 100 shares on the record date. With an issuance ratio of 100%, Shareholder A shall receive additional shares calculated as follows:

$$100 \text{ shares} \times 100\% = 100 \text{ shares.}$$

20. Distribution method: Shares shall be distributed directly to existing shareholders whose names appear on the shareholder list on the record date:

- For deposited securities: Shareholders shall receive the issued shares through the depository members where their securities accounts are opened.
- For non-deposited securities: Shareholders shall carry out procedures to receive shares at the head office of 40 Investment and Construction Joint Stock Company (address: 41–43 Nguyen Phuoc Lan Street, Hoa Xuan Ward, Da Nang City).

Article 2: Approval of the registration for depository and additional trading registration for all newly issued shares at the Vietnam Securities Depository and Clearing Corporation (VSDC) and the Hanoi Stock Exchange (HNX).

Article 3: Approval of the dossier for share issuance for dividend payment.

The issuance dossier includes:

- Report on share issuance for dividend payment
- Resolution of the General Meeting of Shareholders approving the share issuance plan
- Proposal on the share issuance plan for dividend payment
- Resolution of the Board of Directors approving the implementation of the share issuance plan for dividend payment
- Audited Financial Statements for the year 2024
- Audited Financial Statements for Q3 2025
- Copy of the Enterprise Registration Certificate
- Copy of the Company Charter
- Document issued by the State Securities Commission of Vietnam on the maximum foreign ownership ratio of the Company

Article 4: Authorization of the Chairman of the Board of Directors.

The Board of Directors authorizes and assigns the Chairman of the Board of Directors to direct the Board of Management and relevant departments to carry out necessary procedures in accordance with regulations, including:

- Finalizing the dossier for the Report on share issuance for dividend payment and submitting it to the State Securities Commission of Vietnam as prescribed;

| | | | | |
|---|-------------------------|------------|--|------|
| | | | <ul style="list-style-type: none"> • Carrying out share issuance procedures in accordance with regulations after receiving the notification from the State Securities Commission of Vietnam confirming receipt of the Company's share issuance dossier; • Amending provisions on charter capital in the Company Charter and completing procedures for amendment of the Enterprise Registration Certificate in connection with the change in charter capital based on the actual issuance results with competent State authorities; • Implementing necessary procedures for registration, depository, and additional listing of the issued shares at the Vietnam Securities Depository and Clearing Corporation and the Hanoi Stock Exchange in accordance with applicable laws. | |
| 3 | 1710.2/2025/NQ-HĐQT/L40 | 17/10/2025 | <p>Article 1: Approval of the record date for shareholders entitled to receive cash dividends as approved under the Resolution of the 3rd Extraordinary General Meeting of Shareholders No. 15102025.1/NQĐHĐCD/L40 dated 15 October 2025, with the following details:</p> <ol style="list-style-type: none"> 1. Record date: 20 November 2025 2. Dividend payment ratio: 5% (VND 500 per share) 3. Payment date: 02 December 2025 4. Place of implementation: <ul style="list-style-type: none"> • For deposited securities: Shareholders shall receive cash dividends through the depository members where their securities accounts are opened. • For non-deposited securities: Shareholders shall receive cash dividends at 41–43 Nguyen Phuoc Lan Street, Hoa Xuan Ward, Da Nang City (on working days) starting from 02 December 2025, upon presentation of their Citizen Identification Card/ID Card. | 100% |
| 4 | 2410.2/NQ_HĐQT/L40 | 24/10/2025 | <p>Article 1: Cancellation of the contract for the transfer of land use rights and assets attached to land at No. 292 Cach Mang Thang 8 Street, Binh Thuy Ward, Can Tho City with Mr. Bui Trung Thu, at the request of Mr. Bui Trung Thu.</p> <p>Article 2: Approval of the transfer of all assets attached to land located at No. 292 Cach Mang Thang 8 Street, Binh Thuy Ward, Can Tho City (pursuant to the Certificate of Land Use Rights and Ownership of Assets Attached to Land No. AA 02334750 – Certificate issuance register No. VP 9944, issued by the Can Tho City Land Registration Office on 18 September 2025), with the following details:</p> <ul style="list-style-type: none"> • Information on assets attached to land: <ul style="list-style-type: none"> ◦ Office building ◦ Canteen ◦ Guesthouse • Minimum transfer price: VND 3,500,000,000 (In words: Three billion five hundred million Vietnamese dong only). <p>The above price is exclusive of VAT.</p> | 100% |

| | | <p>Article 3: The Board of Directors approves the appointment of Mr. Nguyen Van Son – Deputy General Director, the Company's legal representative, Citizen Identification No. 042070013606, as the Company's authorized representative to negotiate and execute all dossiers, documents, and contracts related to the transfer of the above-mentioned assets attached to land.</p> <p>Mr. Nguyen Van Son is fully authorized to decide on the transaction contents and to use the Company's seal in connection with such transaction.</p> | | | | | | | | | |
|-----|-----------------------|--|---|-----|----------------|--------------------------|---------|---|----|---------|--|
| 5 | 1612/2025/NQ-HĐQT/L40 | 16/12/2025 | <p>Article 1: Approval of participation in the auction of Sand Stockpile B4 for the Company's business purposes, with the following details:</p> <p>Auctioned asset: Backfill sand material after dredging of the <i>Emergency dredging, flood drainage and saltwater intrusion prevention project of the Co Co River, Hoi An City</i>, which has been dredged and stockpiled at the storage yard pursuant to Decision No. 1187/QĐ-UBND dated 06 May 2025 of the Quang Nam Provincial People's Committee approving the detailed auction plan for backfill sand material after dredging of the above-mentioned project</p> <table border="1"> <thead> <tr> <th>No.</th><th>Stockpile name</th><th>Volume (m³)</th><th>Remarks</th></tr> </thead> <tbody> <tr> <td>1</td><td>B4</td><td>415.187</td><td></td></tr> </tbody> </table> <p>Owner of the auctioned asset: Quang Nam Provincial Transportation Works Construction Investment Project Management Board (Address: No. 32 Hung Vuong Street, Ban Thach Ward, Da Nang City & No. 48 Vo An Ninh Street, Hoa Xuan Ward, Da Nang City).</p> | No. | Stockpile name | Volume (m ³) | Remarks | 1 | B4 | 415.187 | |
| No. | Stockpile name | Volume (m ³) | Remarks | | | | | | | | |
| 1 | B4 | 415.187 | | | | | | | | | |
| 6 | 1812/2025/NQ-HĐQT/L40 | 18/12/2025 | <p>Article 1: Establishment of the Internal Audit Committee under the Board of Directors, comprising the following individuals appointed to perform internal audit activities:</p> <ul style="list-style-type: none"> Mr. Do Tan Hung, born in 1988. <p>Article 2: Promulgation of the Internal Audit Regulations of 40 Investment and Construction Joint Stock Company, enclosed with this Resolution.</p> <p>Article 3: The Board of Directors authorizes the person performing internal audit activities to develop and promulgate internal audit procedures and to organize the implementation of relevant procedures in accordance with applicable laws and the Company's internal regulations.</p> | | | | | | | | |
| 7 | 3012/2025/NQ-HĐQT/L40 | 30/12/2025 | <p>Article 1: Approval of Asset Auction Sale and Purchase Contract No. 130/2025/HĐ-MBTS, with the following details:</p> <ul style="list-style-type: none"> Name of auctioned asset: Filling sand materials after dredging from the emergency dredging, flood drainage and saltwater intrusion prevention project of the Co Co River, Hoi An City, which have been dredged and stockpiled at the storage yard (Storage Yard B4). Quantity of auctioned assets: Total volume: 415,187 m³ (as per the site location diagram of the project). Total winning bid value: VND 59,890,000,000 (In words: <i>Fifty-</i> | | | | | | | | |

nine billion eight hundred and ninety million Vietnamese dong only).

* The above price is the selling price of state-owned assets (VAT not applicable – ordinary invoice); inclusive of natural resource tax, mining right license fees, and environmental protection fees in accordance with regulations; exclusive of fees, charges, and taxes related to the purchase, receipt of ownership rights to the assets (if any), transportation, loading and unloading, site handover, etc., in accordance with regulations. The winning bidder shall bear all such fees, charges, and taxes.

III. Board of Supervisors (BOS)

1. Information on Members of the Board of Supervisors (BOS):

| No. | BOS Member | Position | Date of Appointment / Dismissal as BOS Member | Professional Qualifications |
|-----|---------------------|-------------|---|-------------------------------------|
| 1 | Ms. Nguyen Thi Hoa | Head of BOS | Appointed on 11/04/2020 | Bachelor of Economics |
| | | | Dismissed on 28/04/2025 | |
| 2 | Ms. Le Thi Thuy | BOS Member | Appointed on 11/04/2020 | Bachelor of Finance and Accounting |
| | | | Dismissed on 28/04/2025 | |
| 3 | Mr. Duong Van Vang | BOS Member | Appointed on March 2016 | Hydraulic Engineer |
| | | | Dismissed on 28/04/2025 | |
| 4 | Mr. Phan Thanh Hung | BOS Member | Appointed on 28/04/2025 | Engineer |
| | | | Dismissed on 15/10/2025 | |
| 5 | Mr. Tran Van Hung | Head of BOS | Appointed on 28/04/2025 | Bachelor of Business Administration |
| 6 | Mr. Nguyen Le Duy | BOS Member | Appointed on 28/04/2025 | Engineer |
| 7 | Mr. Tran Cong Hau | BOS Member | Appointed on 15/10/2025 | Engineer |

2. Meetings of the Board of Supervisors:

| No. | BOS Member | Number of Meetings Attended | Attendance Rate | Voting Rate | Reason for Non-attendance |
|-----|------------|-----------------------------|-----------------|-------------|---------------------------|
| | | | | | |

| | | | | | |
|---|---------------------|-----|------|-----|--|
| 1 | Ms. Nguyen Thi Hoa | 0/1 | 0% | 0/0 | Dismissed by the GMS effective from 28/04/2025 |
| 2 | Ms. Le Thi Thuy | 0/1 | 0% | 0/0 | |
| 3 | Mr. Duong Van Vang | 0/1 | 0% | 0/0 | |
| 4 | Mr. Phan Thanh Hung | 1/2 | 100% | 1/2 | Appointed by the GMS effective from 28/04/2025 |
| 5 | Mr. Tran Van Hung | 2/2 | 100% | 2/2 | |
| 6 | Mr. Nguyen Le Duy | 2/2 | 100% | 2/2 | |
| 7 | Mr. Tran Cong Hau | 1/2 | 0% | 1/2 | Appointed by the GMS effective from 15/10/2025 |

3. Supervisory activities of the Board of Supervisors (BOS) over the Board of Directors, the Executive Management and shareholders:

The Board of Supervisors (BOS) regularly conducted inspections and supervision over the activities of the Board of Directors (BOD) and the Board of Management/General Director to ensure compliance with applicable laws, the Company's Charter, internal regulations and resolutions of the General Meeting of Shareholders (GMS).

The Board of Directors duly convened periodic meetings and properly performed its assigned duties and powers. Members of the Board of Directors possessed adequate capacity, integrity and professional qualifications. Resolutions and decisions of the Board of Directors were adopted in accordance with proper procedures and authority, with a high level of consensus.

The Board of Management/General Director managed and operated the Company's activities in accordance with its functions and duties, in compliance with the Law on Enterprises and the Company's regulations on organization and operation. Decisions of the Board of Management/General Director were issued within its authority and in a timely manner.

4. Coordination between the Board of Supervisors and the Board of Directors, Executive Management and other management personnel:

The coordination between the Board of Supervisors and the Board of Directors, the Board of Management/General Director and other management personnel ensured compliance with legal regulations and the Company's internal rules. In 2025, the Board of Supervisors received sufficient information and documents relating to the Company's business performance and other operational activities. The Board of Supervisors appointed representatives to attend meetings of the Board of Directors and the Board of Management/General Director and provided opinions and recommendations to the Board of Directors and the Board of Management/General Director regarding the Company's business operations.

IV. Executive Management

| No. | Executive Management Member | Position | Professional Qualification | Appointment Date | Dismissal Date |
|-----|-----------------------------|------------------|----------------------------|------------------|----------------|
| 1 | Mr. Nguyen Van Son | General Director | Master's Degree in | 15/11/2021 | 07/03/2025 |

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|---|-------------------|-------------------------|------------------------------------|------------|------------|
| | | Deputy General Director | Hydraulic Construction Engineering | 17/03/2025 | |
| 2 | Mr. Dinh Van Xuan | General Director | Bachelor's Degree | 07/03/2025 | |
| 2 | Mr. Pham Van Hoan | Deputy General Director | Hydraulic Engineering Engineer | 15/11/2021 | 02/06/2025 |

V. Chief Accountant

| Full name | Date of birth | Professional qualification | Appointment date |
|------------------------|---------------|---------------------------------|--|
| Mr. Nguyen Thanh Trung | 08/10/1992 | Bachelor's Degree in Accounting | Appointed from 01/09/2022 Dismissed from 17/03/2025 |
| Mr. Bui Thanh Tuan | 28/02/1977 | Bachelor's Degree in Economics | Appointed from 17/03/2025 |

VI. Corporate Governance Training:

Corporate governance training courses attended by members of the Board of Directors, members of the Board of Supervisors, the General Director/Executive Management, other management personnel and the Company Secretary in accordance with corporate governance regulations: **None**.

VII. List of Related People of the Listed Company and Transactions between Related People and the Company.

- List of related people of the Company** (*Presented in Appendix 01 enclosed with this Report*).
- Transactions between the Company and its related people; or between the Company and major shareholders, internal people, and related people of internal people:**

| No. | Name of organization/ individual | Relationship with the Company | ID No.*, date of issue, place of issue | Head office / Contact address | Transaction date with the Company | Resolution/ Decision of the GMS/ BOD approving the transaction (if any, specify date of issuance) | Description, quantity, total transaction value | No |
|-----|----------------------------------|----------------------------------|--|-------------------------------|-----------------------------------|---|--|----|
| 1 | Mr. Tran Viet Thang | Member of the Board of Directors | Citizen ID No.: 027073000522; Issued on: | 497 Gia Phu, Ward 3, | 30/06/2025 | | Description: Loan from Mr. Tran Viet | |

| | | | | | | | |
|---|-------------------|--|--|--|------------|--|--|
| | | (Dismissed as of 15 October 2025) | 28/05/2023; Issuing authority: Department of Administrative Management of Social Order | District 6, Ho Chi Minh City | | | Thang to the Company in the amount of VND 3,000,000,000 |
| 2 | Mr. Dinh Van Xuan | Member of the Board of Directors, General Director | Citizen ID No.: 049083015546 Issued on: 02/01/2023 Issuing authority: Department of Administrative Management of Social Order | Quang Lang B, Dien Nam Trung Ward, Dien Ban Town, Quang Nam Province | 31/12/2025 | | Description: Loan from Mr. Dinh Van Xuan in the amount of VND 10,469,280,000 |

Note: ID No. refers to the ID card/Passport number (for individuals) or the Enterprise Registration Certificate number, Operating License, or equivalent legal documents (for organizations)

- Mr. Tran Viet Thang is the Vice Chairman of the Com Member of the Board of Directors (*Dismissed as of 15 October 2025*). In 2025, Mr. Tran Viet Thang provided a loan to the Company in the amount of VND 3,000,000,000, with an interest rate equivalent to bank savings deposit interest rates. Details are as follows: opening balance: VND 0; total deposits during the period: VND 0; total withdrawals during the period: VND 0; outstanding loan balance of Mr. Tran Viet Thang as at 30/06/2025: VND 3,000,000,000.

- Mr. Dinh Van Xuan, Member of the Board of Directors cum General Director of the Company. In 2025, Mr. Dinh Van Xuan provided the Company with a loan of VND 10,469,280,000 at an interest rate of 0%. Details are as follows: opening balance: VND 0; total deposits arising during the period: VND 0; total withdrawals during the period: VND 0; outstanding loan balance of Mr. Dinh Van Xuan as at 31/12/2025: VND 10,469,280,000.

3. Transactions between the Company's internal people, related people of internal people, and the Company:

3.1 In 2025, the Company incurred transactions involving the sale of goods and services with related companies as follows:

| | | | | | | | | |
|---|---|------------|---|---|-----------|---------------|---|--|
| | | | | | | specify date) | | |
| 1 | 40.10 Investment and Construction Joint Stock Company | Same group | ERC No. 0318456411; Issued on: 15/05/2024; Issuing authority: Department of Planning and Investment of Ho Chi Minh City | 201/58 Nguyen Xi Street, Ward 26, Binh Thanh District, Ho Chi Minh City | 23/1/2025 | | Content: Office lease for January 2025; Value: VND 3,300,000 | |
| 2 | 40.10 Investment and Construction Joint Stock Company | Same group | ERC No. 0318456411; Issued on: 15/05/2024; Issuing authority: Department of Planning and Investment of Ho Chi Minh City | 201/58 Nguyen Xi Street, Ward 26, Binh Thanh District, Ho Chi Minh City | 20/2/2025 | | Content: Office lease for February 2025; Value: VND 3,300,000 | |

- Sale of goods and services to 40.10 Investment and Construction Joint Stock Company (a company in the same group) with a total transaction value of VND 6,600,000. Closing balance: VND 6,600,000.

3.2 In 2025, the Company incurred transactions involving the purchase of goods and services from related companies as follows:

| No. | Name of organization/individual | Relationship with the Company | NSH* No., date of issue, place of issue | Head office address / Contact address | Transaction date | Resolution/Decision of the GMS/ BOD approving the transaction (if any, specify date) | Transaction details, quantity, total value | Note |
|-----|--|-------------------------------|--|---------------------------------------|------------------|--|--|------|
| 1 | Lam Dong Minerals and Construction Materials | Same group | Erc No. 5800391633; Issued On: 09/06/2003; | No. 87 Phu Dong Thien | 20/02/2025 | | Content: Purchase of bentonite; Value: VND | |

| | | | | | | | | |
|---|--|------------|--|--|------------|--|--|--|
| | Joint Stock Company | | Issuing Authority: Department Of Planning And Investment Of Lam Dong Province | Vuong Street, Ward 8, Da Lat City, Lam Dong Province | | | 287,945,000 | |
| 2 | Lam Dong Minerals and Construction Materials Joint Stock Company | Same group | Erc No. 5800391633; Issued On: 09/06/2003; Issuing Authority: Department Of Planning And Investment Of Lam Dong Province | No. 87 Phu Dong Thien Vuong Street, Ward 8, Da Lat City, Lam Dong Province | 01/03/2025 | | Content: Purchase of concrete; Value: VND 18,125,000 | |
| 3 | Lam Dong Minerals and Construction Materials Joint Stock Company | Same group | Erc No. 5800391633; Issued On: 09/06/2003; Issuing Authority: Department Of Planning And Investment Of Lam Dong Province | No. 87 Phu Dong Thien Vuong Street, Ward 8, Da Lat City, Lam Dong Province | 28/03/2025 | | Content: Purchase of concrete; Value: VND 237,250,0000 | |
| 4 | 40.10 Investment and Construction Joint Stock Company | Same group | Erc No. 0318456411; Issued On: 15/05/2024; Issuing Authority: Department Of Planning And Investment | 201/58 Nguyen Xi Street, Ward 26, Binh Thanh District, Ho Chi Minh | 18/01/2025 | | Content: Purchase of solar power electricity; Value: VND 106,434,756 | |

| | | | | | | | | |
|---|--|------------|--|---|------------|--|--|--|
| | | | Of Ho Chi Minh City | City | | | | |
| 5 | 40.10 Investment and Construction Joint Stock Company | Same group | Erc No. 0318456411; Issued On: 15/05/2024; Issuing Authority: Department Of Planning And Investment Of Ho Chi Minh City | 201/58 Nguyen Xi Street, Ward 26, Binh Thanh District, Ho Chi Minh City | 11/02/2025 | | Content: Rental of motorcycles and construction equipment; Value: VND 153,900,000 | |
| 6 | 40.10 Investment and Construction Joint Stock Company | Same group | Erc No. 0318456411; Issued On: 15/05/2024; Issuing Authority: Department Of Planning And Investment Of Ho Chi Minh City | 201/58 Nguyen Xi Street, Ward 26, Binh Thanh District, Ho Chi Minh City | 20/02/2025 | | Content: Purchase of solar power electricity; Value: VND 138,290,934 | |
| 7 | 40.10 Investment and Construction Joint Stock Company | Same group | Erc No. 0318456411; Issued On: 15/05/2024; Issuing Authority: Department Of Planning And Investment Of Ho Chi Minh City | 201/58 Nguyen Xi Street, Ward 26, Binh Thanh District, Ho Chi Minh City | 27/02/2025 | | Content: Rental of machinery and construction equipment; Value: VND 185,760,000 | |
| 8 | 40.10 Investment and Construction Joint Stock Company | Same group | Erc No. 0318456411; Issued On: 15/05/2024; Issuing Authority: Department | 201/58 Nguyen Xi Street, Ward 26, Binh Thanh | 27/02/2025 | | Content: Construction acceptance; Value: VND 545,000,000 | |

| | | | | | | | |
|--|--|--|-------------------------------------|--|--|--|--|
| | | Of Planning And Investment Of Ho Chi Minh City | District, Ho Chi Minh City | | | | |
|--|--|--|-------------------------------------|--|--|--|--|

(*): NSH refers to the ID number: Citizen Identification Card/Passport (for individuals) or Enterprise Registration Certificate, Operating License, or equivalent legal document.

- Purchase of goods and services from Lam Dong Minerals and Construction Materials Joint Stock Company (a company in the same group) with a total transaction value of VND 543,320,000. Closing balance: VND 543,320,000.

- Purchase of goods and services from 40.10 Investment and Construction Joint Stock Company (a company in the same group) with a total transaction value of VND 1,129,385,690. Closing balance: VND 1,129,385,690.

4. Transactions between the Company and other entities:

4.1. Transactions between the Company and companies in which members of the Board of Directors, members of the Supervisory Board, or the Chief Executive Officer have been or are founding members, members of the Board of Directors, or Chief Executive Officer within the last three (03) years: **None**.

4.2. Transactions between the Company and companies in which related people of members of the Board of Directors, members of the Supervisory Board, or the Chief Executive Officer are members of the Board of Directors or Chief Executive Officer: *(Presented in Appendix 02 attached to this Report)*.

4.3. Other transactions of the Company that may bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, or the Chief Executive Officer: **None**.

VIII. Share transactions of insiders and related people of insiders:

1. List of insiders and related people of insiders: *(Presented in Appendix 3 attached to this Report)*.
2. Transactions of insiders and related people with respect to the Company's shares: *(Presented in Appendix 4 attached to this Report)*.

IX. Other matters requiring attention: None

CHAIRMAN OF THE BOARD OF DIRECTORS

(Signature, full name, and company seal)



APPENDIX 01: LIST OF RELATED PEOPLE OF THE COMPANY

| | | | | | | | | |
|-----|---|------------|---------------------|--|------------|------------|------------------------------|--|
| 6 | Do Tan Cuong | | Chairman of the BOD | | 07/03/2025 | | Elected by GMS | |
| 6.1 | And related people of Mr. Do Tan Cuong as stated in Section VIII.1 of this Report. | | | | | | | |
| 7 | Bui Do Linh | | Member of the BOD | | 07/03/2025 | 15/10/2025 | Elected and dismissed by GMS | |
| 7.1 | And related people of Mr. Bui Do Linh as stated in Section VIII.1 of this Report <i>(No longer a related person of the Company)</i> | | | | | | | |
| 8 | Tran Viet Thang | | Member of the BOD | | 07/03/2025 | 15/10/2025 | Elected and dismissed by GMS | |
| 8.1 | And related people of Mr. Tran Viet Thang as stated in Section VIII.1 of this Report <i>(No longer a related person of the Company)</i> | | | | | | | |
| 9 | Do Tan Vu | 091C104549 | Member of the BOD | | 07/03/2025 | 28/04/2025 | Elected and dismissed by GMS | |

| | | | | | | | |
|------|--|--|--|--|------------|--|----------------|
| 9.1 | And related people of Mr. Do Tan Vu as stated in Section VIII.1 of this Report. <i>(No longer a related person of the Company)</i> | | | | | | |
| 10 | Dinh Van Xuan | | Member of the BOD cum General Director | | 07/03/2025 | | Elected by GMS |
| 10.1 | And related people of Mr. Dinh Van Xuan as stated in Section VIII.1 of this Report. | | | | | | |
| 11 | Phan Anh | | Member of the BOD | | 07/03/2025 | | Elected by GMS |
| 11.1 | And related people of Mr. Phan Anh as stated in Section VIII.1 of this Report. | | | | | | |
| 12 | Nguyen Van Tuan | | Member of the BOD | | 15/10/2025 | | Elected by GMS |
| 12.1 | And related people of Mr. Nguyen Van Tuan as stated in Section VIII.1 of this Report. | | | | | | |
| 13 | Pham Huu Tai | | Member of the BOD | | 15/10/2025 | | Elected by GMS |

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13.1 And related people of Mr. Pham Huu Tai as stated in Section VIII.1 of this Report.

II. BOARD OF SUPERVISORS

| | | | |
|----|----------------|--|-------------|
| 14 | Nguyen Thi Hoa | | Head of BOS |
|----|----------------|--|-------------|

| | | | |
|------------|------------|------------------|--|
| 11/04/2020 | 28/04/2025 | Dismissed by GMS | |
|------------|------------|------------------|--|

14.1 And related people of Ms. Nguyen Thi Hoa as stated in Section VIII.1 of this Report. *(No longer a related person of the Company)*

| | | | |
|----|----------------|--|---------------|
| 15 | Duong Van Vang | | Member of BOS |
|----|----------------|--|---------------|

| | | | |
|------------|------------|------------------|--|
| March 2016 | 28/04/2025 | Dismissed by GMS | |
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15.1 And related people of Mr. Duong Van Vang as stated in Section VIII.1 of this Report. *(No longer a related person of the Company)*

| | | | |
|----|-------------|--|--|
| 16 | Le Thi Thuy | Member of BOS | |
| | | Person in charge of corporate governance | |
| | | Company Secretary | |

| | | | |
|------------|------------|------------------------------|--|
| 11/04/2020 | 28/04/2025 | Dismissed by GMS | |
| | 17/03/2025 | Resignation letter submitted | |

16.1 And related people of Ms. Le Thi Thuy as stated in Section VIII.1 of this Report. *(No longer a related person of the Company)*

| | | | |
|----|---------------|--|-------------|
| 17 | Tran Van Hung | | Head of BOS |
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|------------|--|----------------|--|
| 28/04/2025 | | Elected by GMS | |
|------------|--|----------------|--|

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| | | | | | | | |
| 17.1 | And related people of Mr. Tran Van Hung as stated in Section VIII.1 of this Report. | | | | | | |
| 18 | Phan Thanh Hung | | Member of BOS | | 28/04/2025 | 15/10/2025 | Elected and dismissed by GMS |
| 18.1 | And related people of Mr. Phan Thanh Hung as stated in Section VIII.1 of this Report. (No longer a related person of the Company) | | | | | | |
| 19 | Nguyen Le Duy | | Member of BOS | | 28/04/2025 | | Elected by GMS |
| 19.1 | And related people of Mr. Nguyen Le Duy as stated in Section VIII.1 of this Report. | | | | | | |
| 20 | Tran Cong Hau | | Member of BOS | | 15/10/2025 | | Elected by GMS |
| 20.1 | And related people of Mr. Tran Cong Hau as stated in Section VIII.1 of this Report. | | | | | | |
| III. BOARD OF MANAGEMENT | | | | | | | |
| 21 | Nguyen Van Son | 058C629611 | General Director | | 15/11/2021 | 17/03/2021 | Reassigned by BOD |

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|-------------|---|-------------------|---|--|--|--|--|-------------------|-----------------------------------|--------------------------|--|
| | | | Deputy General Director | | | | | 17/03/2025 | | | |
| 21.1 | And related people of Mr. Nguyen Van Son as stated in Section VIII.1 of this Report. | | | | | | | | | | |
| 22 | Pham Van Hoan | 058C629665 | Deputy General Director | | | | | 15/11/2021 | 02/06/2025 | Dismissed by BOD | |
| 22.1 | And related people of Mr. Pham Van Hoan as stated in Section VIII.1 of this Report. <i>(No longer a related person of the Company)</i> | | | | | | | | | | |
| 23 | Nguyen Thanh Trung | 058C628905 | Chief Accountant | | | | | 01/09/2022 | 17/03/2027 | Dismissed by BOD | |
| 23.1 | And related people of Mr. Nguyen Thanh Trung as stated in Section VIII.1 of this Report. <i>(No longer a related person of the Company)</i> | | | | | | | | | | |
| 24 | Bui Thanh Tuan | | Chief Accountant | | | | | | | Appointed by BOD | |
| 24 | And related people of Mr. Bui Thanh Tuan as stated in Section VIII.1 of this Report. | | | | | | | | | | |
| 25 | Nguyen Minh Sang | | Person in charge of corporate governance | | | | | 20/05/2025 | | Reassigned by BOD | |
| | | | Company Secretary | | | | | | | | |
| 25.1 | And related people of Mr. Nguyen Minh Sang as stated in Section VIII.1 of this Report. <i>(No longer a related person of the Company)</i> | | | | | | | | | | |
| 26 | Nguyen Hai Anh | | Authorized person for information | | | | | | Authorized for information | | |

| | | | disclosure | | | | | | n disclosure | |
|--|--|--|--|------------|------------|---|---|------------|------------------|------------------|
| | | | Company Secretary | | | | | | Appointed by BOD | |
| | | | Person in charge of corporate governance | | | | | | | |
| 26.1 | And related people of Ms. Nguyen Hai Anh as stated in Section VIII.1 of this Report. | | | | | | | | | |
| IV. INTERNAL AUDIT | | | | | | | | | | |
| 27 | Do Tan Hung | | Internal audit officer | | | | | 18/12/2025 | | Appointed by BOD |
| 27.1 | And related people of Mr. Do Tan Hung as stated in Section VIII.1 of this Report. | | | | | | | | | |
| V. PARENT COMPANY, SUBSIDIARIES | | | | | | | | | | |
| 28 | Lam Dong Irrigation Investment and Construction Joint Stock Company | | | 5800000424 | 25/11/2024 | Department of Planning and Investment of Lam Dong Province | 87 Phu Dong Thien Vuong, Ward 8, Da Lat City, Lam Dong | | 07/03/2025 | Divested |
| 29 | Ha My Complex Joint Stock Company | | | 4001211498 | 05/02/2021 | Department of Planning and Investment of Quang Nam Province | Group 12, Ha My Trung Quarter, Dien Ban Dong Ward, Da Nang City, Viet Nam | 15/09/2025 | | Subsidiary |

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|------|------------------|------------|--|--|--|--|--|---|
| 29.1 | Do Tan Vu | 091C104549 | | | | | | Chairman of the Board of Directors, Legal Representative , General Director of the Subsidiary |
| 29.2 | Do Thi Bich Lieu | | | | | | | Chief Accountant of the Subsidiary |

APPENDIX 2: TRANSACTIONS BETWEEN THE COMPANY AND ENTITIES RELATED TO MEMBERS OF THE BOARD OF DIRECTORS, MEMBERS OF THE BOARD OF SUPERVISORS, AND THE CHIEF EXECUTIVE OFFICER

| No. | Name of organization / individual | Business Registration No.*, date & place of issuance | Head office / Contact address | Time of transaction | Time of transaction | Resolution / Decision of the GMS / BOD approving the transaction (if any, specify date) | Nature, volume and total value of transaction | Notes |
|-----|--|--|-------------------------------|--|---------------------|---|---|-------|
| 1 | Phuoc Nguyen General Services One Member Co., Ltd. | The younger brother of Mr. Do Tan Cuong- the Chairman of the Board of Directors serves as the General Director | 4001071821 | Group 12, Ha My Trung Hamlet, Dien Duong Ward, Dien Ban Town, Quang Nam Province | Q2/2025 | | Main/sub-contractor for project construction. Total transaction value: VND 11,320,804,080 | |
| 2 | Viet Thinh Construction Investment Co., Ltd. | Wife of Mr. Phan Thanh Hung – former member of the Board of Supervisors – is a member of the Board of Directors (Mr. Phan Thanh Hung was dismissed on 15 October 2025) | 4001154017 | 96 Hung Vuong Street, Ai Nghia Town, Dai Loc District, Quang Nam Province | Q2/2025 | | Main/sub-contractor for project construction. Total transaction value: VND 1,000,188,000 | |

C.I.C
Minh

APPENDIX 3: LIST OF INSIDERS AND RELATED PEOPLE OF INSIDERS

| No. | Full name (*) | Securities trading account (if any) | Position at the Company (if any) | Relationship with the insider | Identification document (*) (ID card/Passport/Business Registration Certificate) | | | Head office address / Contact address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes (regarding absence of ID number and other remarks) |
|-----|----------------------|-------------------------------------|------------------------------------|-----------------------------------|--|------------------|-------------------|---------------------------------------|--|---|--|
| | | | | | ID No. (*) | Date of issuance | Place of issuance | | | | |
| 1 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 1 | Le Dinh Hien | 008C631024 | Chairman of the Board of Directors | | | | | | 0 | 0% | Dismissed |
| 1.1 | Le Dinh Phuong | | | Father of Mr. Le Dinh Hien | | | | | 0 | 0% | |
| 1.2 | Pham Quang Trien | | | Father-in-law of Mr. Le Dinh Hien | | | | | 0 | 0% | |
| 1.3 | Pham Thi Thanh Huong | 008C237877 | | Wife of Mr. Le Dinh Hien | | | | | 0 | 0% | |

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|-----|--------------------|------------|--|------------------------------|--|--|---|----|-------|
| 1.4 | Le Huong Giang | 008C630696 | | Child of Mr. Le Dinh Hien | | | 0 | 0% | |
| 1.5 | Dinh Quoc Khanh | 008C021721 | | Child of Mr. Le Dinh Hien | | | 0 | 0% | |
| 1.6 | Le Anh Duong | | | Child of Mr. Le Dinh Hien | | | 0 | 0% | |
| 1.7 | Le Uyen Nhi | | | Child of Mr. Le Dinh Hien | | | 0 | 0% | |
| 1.8 | Le Uyen Vy | | | Child of Mr. Le Dinh Hien | | | 0 | 0% | Minor |

| | | | | | | | | |
|------|----------------------|--|--|---|--|---|----|--|
| | | | | | | | | |
| 1.9 | Le Thi Dung | | | Sister of Mr. Le Dinh Hien | | 0 | 0% | |
| 1.1 | Nguyen Xuan Chien | | | Brother-in-law of Mr. Le Dinh Hien | | 0 | 0% | |
| 1.11 | Le Thi Hanh | | | Sister of Mr. Le Dinh Hien | | 0 | 0% | |
| 1.12 | Pham Van Thanh | | | Brother-in-law of Mr. Le Dinh Hien | | 0 | 0% | |
| 1.13 | Le Dinh Tuan | | | Younger brother of Mr. Le Dinh Hien | | 0 | 0% | |

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|------|---------------|------------|--|--|--|---|----|
| | | | | | | | |
| 1.14 | Nguyen Thi Ly | | | Sister-in-law of Mr. Le Dinh Hien | | 0 | 0% |
| 1.15 | Le Thi Nam | | | Younger sister of Mr. Le Dinh Hien | | 0 | 0% |
| 1.16 | Ngo Viet Tu | | | Brother-in-law of Mr. Le Dinh Hien | | 0 | 0% |
| 1.17 | Le Thi Thuy | | | Younger sister of Mr. Le Dinh Hien | | 0 | 0% |
| 1.18 | Dinh Van Dien | 008C630813 | | Brother-in-law of Mr. Le Dinh Hien | | 0 | 0% |

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| 1.19 | Lam Dong Irrigation Investment and Construction Joint Stock Company | | | Mr. Le Dinh Hien is Chairman of the Board of Directors | | 0 | 0% | |
| 1.20 | Lam Dong Minerals and Construction Materials Joint Stock Company | | | Mr. Le Dinh Hien is Chairman of the Board of Directors | | 0 | 0% | |
| 1.21 | Phuoc Hoa Hydropower Joint Stock Company | | | Mr. Le Dinh Hien is a Member of the Board of Directors | | 0 | 0% | |
| 2 | Ha Huy Khanh | | Member of the BOD | | | 0 | 0% | Dismissed |

| | | | | | | | | |
|-----|-----------------------|--|--|------------------------------------|--|---|----|--|
| 2.1 | Ha Thi Dat | | | Wife of Mr. Ha Huy Khanh | | 0 | 0% | |
| 2.2 | Ha Thi Thu Hien | | | Daughter of Mr. Ha Huy Khanh | | 0 | 0% | |
| 2.3 | Ha Thi Phuong Thao | | | Daughter of Mr. Ha Huy Khanh | | 0 | 0% | |
| 2.4 | Ha Thi Van | | | Sister of Mr. Ha Huy Khanh | | 0 | 0% | |
| 2.5 | Ha Van Du | | | Brother of Mr. Ha Huy Khanh | | 0 | 0% | |

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|-----|---------------|--|--|---|--|---|----|
| | | | | | | | |
| 2.6 | Le Thi Hoa | | | Sister-in-law of Mr. Ha Huy Khanh | | 0 | 0% |
| 2.7 | Ha Van Duong | | | Brother of Mr. Ha Huy Khanh | | 0 | 0% |
| 2.8 | Nguyen Thi Mo | | | Sister-in-law of Mr. Ha Huy Khanh | | 0 | 0% |
| 2.9 | Ha Van Cuong | | | Brother of Mr. Ha Huy Khanh | | 0 | 0% |

| | | | | | | | | | |
|------|-------------------------|--|------------------------------|---|--|--|---|----|------------------|
| 2.10 | Tang Thi Thanh Tuyen | | | Sister-in-law of Mr. Ha Huy Khanh | | | 0 | 0% | |
| 2.11 | Ha Van Nong | | | Brother of Mr. Ha Huy Khanh | | | 0 | 0% | |
| 2.12 | Tran Thi Lan | | | Sister-in-law of Mr. Ha Huy Khanh | | | 0 | 0% | |
| 3 | Tran Bac Viet | | Member of the BOD | | | | 0 | 0% | Dismissed |
| 3.1 | Tran Thi Thuy | | | Daughter of Mr. Tran Bac Viet | | | 0 | 0% | |

| | | | | | | | | |
|-----|------------------------|--|--|--|--|---|----|--|
| | | | | | | | | |
| 3.2 | Tran Huu Chung | | | Son of Mr. Tran Bac Viet | | 0 | 0% | |
| 3.3 | Nguyen Thi Kieu Anh | | | Wife of Mr. Tran Bac Viet | | 0 | 0% | |
| 3.4 | Tran Quang Loi | | | Brother of Mr. Tran Bac Viet | | 0 | 0% | |
| 3.5 | Doan Thi Tan | | | Sister-in-law of Mr. Tran Bac Viet | | 0 | 0% | |

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|-----|-------------------------------|--|------------------------------|--|--|---|---------------------|
| | | | | | | | |
| 3.6 | Tran Quang Thuan | | | Brother of Mr. Tran Bac Viet | | 0 | 0% |
| 3.7 | Nguyen Thi Lanh | | | Sister-in-law of Mr. Tran Bac Viet | | 0 | 0% |
| 4 | Anselm Wong Siew Shen, J.P | | Member of the BOD | | | 0 | 0% Dismissed |
| 4.1 | Wong Ling Kii | | | Father of Mr. Anselm Wong Siew Shen, J.P | | 0 | 0% |
| 4.2 | Si Kui Khim | | | Mother of Mr. Anselm Wong | | 0 | 0% |

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|-----|--|--|----------------------|---|
| | | | | Siew Shen, J.P |
| 4.3 | Josiah Wong Siew Kai | | | Brother of Mr. Anselm Wong Siew Shen, J.P |
| 4.4 | Akustu Kaori | | | Wife of Mr. Anselm Wong Siew Shen, J.P |
| 4.5 | Akutsu Hannah | | | Daughter of Mr. Anselm Wong Siew Shen, J.P |
| 4.6 | Asia Development Capital Co., Ltd. | | | CEO |
| 4.7 | Asia Investment Fund Co., Ltd. | | | CEO |
| 4.8 | Nippon Incubation Co., Ltd. | | | CEO |
| 5 | Ngo Gia Tien | | Member of the BOD | |
| 5.1 | Ngo Hoang Thanh | | | Father of Mr. Ngo Gia Tien |
| 5.2 | Ngo Tuyet Phuong | | | Mother of Mr. Ngo Gia Tien |
| 5.3 | Ngo Ngoc Xuan | | | Sister of Mr. Ngo Gia Tien |

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|--|---|----|-----------|
| | | | |
| | 0 | 0% | |
| | 0 | 0% | |
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| | 0 | 0% | Dismissed |
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| 5.4 | Ngo Ty | | | Brother of Mr. Ngo Gia Tien | | | 0 | 0% | |
| 5.5 | Ngo Que Linh | | | Younger sibling of Mr. Ngo Gia Tien | | | 0 | 0% | |
| 6 | Do Tan Vu | 091C104549 | Member of the BOD | | | | 431,700 | 4% | Dismissed |
| 6.1 | Huynh Thi Canh | | | Mother of Mr. Do Tan Vu | | | 0 | 0% | |
| 6.2 | Do Tan Cuong | 091C178511 | Chairman of the Board of Directors | Brother of Mr. Do Tan Vu | | | 2,160,000 | 20% | |
| 6.3 | Do Thi Hoa | | | Younger sister of Mr. Do Tan Vu | | | 300 | Under 1% | |
| 6.4 | Do Thi Hiep | | | Younger sister of Mr. Do Tan Vu | | | 300 | Under 1% | |

| | | | | | | | | |
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| | | | | Vu | | | | |
| 6.5 | Le Thi Ngoc Linh | | | Wife of Mr. Do Tan Vu | | 0 | 0% | |
| 6.6 | Do Ngoc Bao Han | | | Daughter of Mr. Do Tan Vu | | 0 | 0% | |
| 6.7 | Do Le Duc Phu | | | Son of Mr. Do Tan Vu | | 0 | 0% | |
| 7 | Do Tan Cuong | 091C178511 | Chairman of the Board of Directors | | | 2,160,000 | 20% | |
| 7.1 | Huynh Thi Canh | | | Biological mother of Mr. Do Tan Cuong - Chairman of the BOD | | 0 | 0% | |
| 7.2 | Do Tan Vu | 091C104549 | | Younger brother of Mr. Do Tan Cuong - Chairman of the BOD | | 431,700 | 4% | |
| 7.3 | Do Thi Hoa | | | Younger sister of Mr. Do Tan Cuong - Chairman of the BOD | | 300 | Under 1% | |

| | | | | | | | | |
|-----|--------------------------------------|--|--------------------------|---|--|-----|----------|-----------|
| 7.4 | Do Thi Hiep | | | Younger sister of Mr. Do Tan Cuong - Chairman of the BOD | | 300 | Under 1% | |
| 7.5 | Le Thi Huong | | | Wife of Mr. Do Tan Cuong - Chairman of the BOD | | 0 | 0% | |
| 7.6 | Do Le Quynh Na | | | Biological daughter of Mr. Do Tan Cuong - Chairman of the BOD | | 0 | 0% | Minor |
| 7.7 | Do Van Minh Dat | | | Biological son of Mr. Do Tan Cuong - Chairman of the BOD | | 0 | 0% | Minor |
| 7.8 | AD Capital Group Joint Stock Company | | | Mr. Do Tan Cuong holds the position of Director | | 0 | 0% | |
| 8 | Tran Viet Thang | | Member of the BOD | | | 0 | 0% | Dismissed |

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| | | | | | | | |
| 8.1 | Nguyen Thi Mai Lan | | | Mother của Mr. Tran Viet Thang – Member of the BOD | | 0 | 0% |
| 8.2 | Tran Viet Khoai | | | Ba ruột của Mr. Tran Viet Thang – Member of the BOD | | 0 | 0% |
| 8.3 | Tran Ngoc Hue | | | Younger sister of Mr. Tran Viet Thang – Member of the BOD | | 0 | 0% |
| 8.4 | Tran Si Thai | | | Brother of Mr. Tran Viet Thang – Member of the BOD | | 0 | 0% |
| 8.5 | Pham Thi Thuy | | | Wife of Mr. Tran Viet Thang – Member of the BOD | | 0 | 0% |
| 8.6 | Tran Bao Binh | | | Child of Mr. Tran Viet Thang – Member of the BOD | | 0 | 0% Minor |

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|------|--|--|--|--|--|--|---|----|-------|
| 8.7 | Tran Viet Thien | | | Child of Mr. Tran Viet Thang – Member of the BOD | | | 0 | 0% | Minor |
| 8.8 | Pham Van Bao | | | Father-in-law of Mr. Tran Viet Thang – Member of the BOD | | | 0 | 0% | |
| 8.9 | Nguyen Thi Hai Yen | | | Sister-in-law of Mr. Tran Viet Thang – Member of the BOD | | | 0 | 0% | |
| 8.10 | Long An School Books and Equipment Joint Stock Company | | | Mr. Tran Viet Thang holds the position of Vice Chairman of the Board of Directors | | | 0 | 0% | |
| 8.11 | Anphatek Joint Stock Company | | | Mr. Tran Viet Thang holds the position of Chairman of the Board of Directors | | | 0 | 0% | |
| 8.12 | Lam Dong Irrigation Investment and Construction Joint Stock Company | | | Mr. Tran Viet Thang holds the position of Vice Chairman of the Board of Directors | | | 0 | 0% | |

| | | | | | | | | |
|-----|------------------------|--|-------------------|---|--|-----|----------|---|
| 9 | Phan Anh | | Member of the BOD | | | 300 | Under 1% | |
| 9.1 | Ly Thi Thuy Tien | | | Wife of Mr. Wife of Mr. Phan Anh – Member of the BOD | | 0 | 0% | |
| 9.2 | Phan Ngoc | | | Child of Mr. Wife of Mr. Phan Anh – Member of the BOD | | 0 | 0% | |
| 9.3 | Phan Thi Thanh Hien | | | Child of Mr. Wife of Mr. Phan Anh – Member of the BOD | | 0 | 0% | |
| 9.4 | Nguyen Dang Cat Vu | | | Con dâu of Mr. Wife of Mr. Phan Anh – Member of the BOD | | 0 | 0% | |
| 9.5 | Tran Thi Xu | | | Mother of Mr. Wife of Mr. Phan Anh – Member of the BOD | | 0 | 0% | Information not provided by the related person |

| | | | | | | | | | | | |
|------|---------------|------------|---|---|--|--|--|--|-----------|-----|--|
| 9.6 | Ly Ngoc Pham | | | Father- in- law of Mr. Wife of Mr. Phan Anh – Member of the BOD | | | | | 0 | 0% | Information not provided by the related person |
| 9.7 | Phan Nam | | | Brother of Mr. Wife of Mr. Phan Anh – Member of the BOD | | | | | 0 | 0% | Information not provided by the related person |
| 10 | Dinh Van Xuan | 091C105502 | Member of the BOD, Tổng giám đốc | | | | | | 1,123,000 | 10% | |
| 10.1 | Dinh Van Nam | | | Father of Mr. Dinh Van Xuan – Member of the BOD, General Director | | | | | 0 | 0% | |
| 10.2 | Vo Thi Thiet | | | Mother of Mr. Dinh Van Xuan – Member of the BOD, General Director | | | | | 0 | 0% | |

| | | | | | | | | |
|------|--------------------|--|--|--|--|-----|----------|-------|
| 10.3 | Dinh Van Ha | | | Younger brother of Mr. Dinh Van Xuan – Member of the BOD, General Director | | 300 | Under 1% | |
| 10.4 | Dinh Thi Hong | | | Younger sister of Mr. Dinh Van Xuan – Member of the BOD, General Director | | 0 | 0% | |
| 10.5 | Kieu Thi Bich Tram | | | Wife of Mr. Dinh Van Xuan – Member of the BOD, General Director | | 0 | 0% | |
| 10.6 | Dinh Kieu Anh Thu | | | Child of Mr. Dinh Van Xuan – Member of the BOD, General Director | | 0 | 0% | |
| 10.7 | Dinh Kieu An Nhien | | | Child of Mr. Dinh Van Xuan – Member of the BOD, General Director | | 0 | 0% | Minor |

| | | | | | | | | | |
|------|---------------------|------------|--------------------------|--|--|--|---------|----------|------------------|
| 10.8 | Dinh Kieu Ngoc Bich | | | Child of Mr. Dinh Van Xuan – Member of the BOD, General Director | | | 0 | 0% | Minor |
| 11 | Bui Do Linh | 091C152597 | Member of the BOD | | | | 102,000 | 1% | Dismissed |
| 11.1 | Bui Van Chin | | | Father of Mr. Bui Do Linh – Member of the BOD | | | 300 | Under 1% | |
| 11.2 | Do Thi Thuy Van | | | Mother of Mr. Bui Do Linh – Member of the BOD | | | 300 | Under 1% | |
| 11.3 | Bui Do Sang | | | Brother of Mr. Bui Do Linh – Member of the BOD | | | 300 | Under 1% | |
| 12 | Nguyen Van Tuan | 069C036016 | Member of the BOD | | | | 100 | Under 1% | |

| | | | | | | | |
|------|------------------------|--|--|--|--|---|----|
| | | | | | | | |
| 12.1 | Phan Thi Le Tham | | | Wife of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% |
| 12.2 | Nguyen Phuoc Minh Toan | | | Child of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% |
| 12.3 | Nguyen Phuoc Minh Tri | | | Child of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% |
| 12.4 | Nguyen Thuan | | | Father of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% |
| 12.5 | Le Thi Hoa | | | Mother of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% |
| 12.6 | Phan Phung Thoi | | | Father- in- law of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% |



| | | | | | | | | |
|------|-------------------------|------------|------------------------------|---|--|---------|-------|--|
| 12.7 | Phan Thi Lan | | | Mother- in- law of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% | |
| 12.8 | Nguyen Van Tu | | | Brother of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% | |
| 12.9 | Phan Thi Tuyet Nhung | | | Younger sister- in- law of Mr. Nguyen Van Tuan – Member of the BOD | | 0 | 0% | |
| 13 | Pham Huu Tai | 069C036017 | Member of the BOD | | | 107,000 | 0.99% | |
| 13.1 | Than Thi Tu | | | Mother of Mr. Pham Huu Tai – Member of the BOD | | 0 | 0% | |
| 13.2 | Pham Ngoc Phat | | | Brother of Mr. Pham Huu Tai – Member of the BOD | | 0 | 0% | |

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|------|-------------------------|--|--|---|--|-----|----------|
| | | | | | | | |
| 13.3 | Pham Thi Tuyet Nhung | | | Sister of Mr. Pham Huu Tai – Member of the BOD | | 0 | 0% |
| 13.4 | Pham Huu Duc | | | Brother of Mr. Pham Huu Tai – Member of the BOD | | 0 | 0% |
| 13.5 | Doan Thi My Lien | | | Wife of Mr. Pham Huu Tai – Member of the BOD | | 300 | Under 1% |
| 13.6 | Pham Khanh Ngan | | | Child of Mr. Pham Huu Tai – Member of the BOD | | 0 | 0% |
| 13.7 | Pham Khanh Ngoc | | | Child of Mr. Pham Huu Tai – Member of the BOD | | 0 | 0% |
| 13.8 | Nguyen Thi Huong | | | Sister-in-law of Mr. Pham Huu Tai – Member of the BOD | | 0 | 0% |

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|-------|-----------------------|--|--------------------------------|---|--|--|--|--|---|----|--|
| 13.9 | Ha Sy Lam | | | Brother- in-law of Mr. Pham Huu Tai – Member of the BOD | | | | | 0 | 0% | |
| 13.10 | Huynh Thi Anh | | | Sister-in-law of Mr. Pham Huu Tai – Member of the BOD | | | | | 0 | 0% | |
| 13.11 | Pham Thi Hoa | | | Mother- in-law of Mr. Pham Huu Tai – Member of the BOD | | | | | 0 | 0% | |
| 14 | Nguyen Van Son | | Deputy General Director | | | | | | 0 | 0% | |
| 14.1 | Nguyen Thi Vien | | | Sister of Mr. Nguyen Van Son – Deputy General Director | | | | | 0 | 0% | |
| 14.2 | Nguyen Van Khuyen | | | Brother of Mr. Nguyen Van Son – Deputy General Director | | | | | 0 | 0% | |

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|------|-------------------|--|--|---|--|--|---|----|--|
| 14.3 | Nguyen Van Tri | | | Brother of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |
| 14.4 | Nguyen Van Thuy | | | Brother of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |
| 14.5 | Dang Thi Xuyen | | | Sister-in-law of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |
| 14.6 | Nguyen Thi Thuy | | | Sister-in-law of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |
| 14.7 | Truong Thi Nguyet | | | Sister-in-law of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |
| 14.8 | Nguyen Thi Oanh | | | Wife of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |

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|-------|---|------------|--------------------------------|--|--|--|---|----|------------------|
| 14.9 | Nguyen Tran Quoc | | | Child of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |
| 14.10 | Nguyen Quoc Hung | | | Child of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |
| 14.11 | Nguyen Bao Tran | | | Child of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | Minor |
| 14.12 | 40.10 Investment and Construction Joint Stock Company | | | Related organization of Mr. Nguyen Van Son – Deputy General Director | | | 0 | 0% | |
| 15 | Pham Van Hoan | 058C629665 | Deputy General Director | | | | 0 | 0% | Dismissed |
| 15.1 | Pham Van Huan | | | Father of Mr. Pham Van | | | 0 | 0% | |

| | | | | | | | | |
|------|----------------------|--|--|---|--|---|----|-------|
| | | | | Hoan | | | | |
| 15.2 | Tran Thi Thoa | | | Mother of Mr. Pham Van Hoan | | 0 | 0% | |
| 15.3 | Duong Thanh Chung | | | Father- in- law of Mr. Pham Van Hoan | | 0 | 0% | |
| 15.4 | Phung Thi Di | | | Mother- in- law of Mr. Pham Van Hoan | | 0 | 0% | |
| 15.5 | Duong Thi Tien | | | Wife of Mr. Pham Van Hoan | | 0 | 0% | |
| 15.6 | Pham Duong Ha Anh | | | Child of Mr. Pham Van Hoan | | 0 | 0% | Minor |
| 15.7 | Pham Dinh Khai | | | Child of Mr. Pham Van Hoan | | 0 | 0% | Minor |

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|-------|-----------------------|------------|---------------------|--|--|---|---------------------|
| | | | | | | | |
| 15.8 | Pham Van Thien | | | Brother of Mr. Pham Van Hoan | | 0 | 0% |
| 15.9 | Pham Thi Thao | | | Younger sister of Mr. Pham Van Hoan | | 0 | 0% |
| 15.10 | Nguyen Huu Dinh | | | Brother-in-law of Mr. Pham Van Hoan | | 0 | 0% |
| 16 | Nguyen Thanh Trung | 058C628905 | Chief Accountant | | | 0 | 0% Dismissed |
| 16.1 | Nguyen Chan | | | Father of Mr. Nguyen Thanh Trung | | 0 | 0% |
| 16.2 | Doan The Tien | | | Father- in- law of Mr. Nguyen Thanh Trung | | 0 | 0% |
| 16.3 | Tran Thi Mui | | | Mother- in- law of Mr. Nguyen Thanh Trung | | 0 | 0% |
| 16.4 | Doan Thi Huong | | | Wife of Mr. Nguyen Thanh | | 0 | 0% |

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|-------|-------------------------|--|--|---|--|---|----|--|
| | | | | Trung | | | | |
| 16.5 | Nguyen Trung Tinh | | | Brother of Mr. Nguyen Thanh Trung | | 0 | 0% | |
| 16.6 | Le Thi Minh Bong | | | Sister-in-law of Mr. Nguyen Thanh Trung | | 0 | 0% | |
| 16.7 | Nguyen Trung Binh | | | Brother of Mr. Nguyen Thanh Trung | | 0 | 0% | |
| 16.8 | Nguyen Thi Xuan Hong | | | Sister of Mr. Nguyen Thanh Trung | | 0 | 0% | |
| 16.9 | Ngo Xuan Huy | | | Brother- in- law of Mr. Nguyen Thanh Trung | | 0 | 0% | |
| 16.10 | Nguyen Thi Xuan Dao | | | Sister of Mr. Nguyen Thanh Trung | | 0 | 0% | |
| 16.11 | Nguyen Duy Phien | | | Brother- in- law of Mr. Nguyen Thanh Trung | | 0 | 0% | |
| 16.12 | Nguyen Chi Dung | | | Brother of Mr. Nguyen Thanh Trung | | 0 | 0% | |

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| 16.13 | Nguyen Thi Xuan Qua | | | Sister of Mr. Nguyen Thanh Trung | | 0 | 0% |
| 16.14 | Phan Duc Toan | | | Brother- in-law of Mr. Nguyen Thanh Trung | | 0 | 0% |
| 17 | Bui Thanh Tuan | | Chief Accountant | | | 0 | 0% |
| 17.1 | Bui Son | | | Father of Mr. Bui Thanh Tuan - Chief Accountant | | 0 | 0% |
| 17.2 | Nguyen Thi Thong | | | Mother of Mr. Bui Thanh Tuan - Chief Accountant | | 0 | 0% |
| 17.3 | Bui Thanh Phuong | | | Brother of Mr. Bui Thanh Tuan - Chief Accountant | | 0 | 0% |

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|-------|---------------------|--|--|---|--|--|---|----|-------|
| 17.4 | Bui Thi Hong Phuong | | | Younger sister of Mr. Bui Thanh Tuan - Chief Accountant | | | 0 | 0% | |
| 17.5 | Bui Thanh Tung | | | Brother of Mr. Bui Thanh Tuan - Chief Accountant | | | 0 | 0% | |
| 17.6 | Bui Thanh Long | | | Brother of Mr. Bui Thanh Tuan - Chief Accountant | | | 0 | 0% | |
| 17.7 | Bui Thi Thuy Hong | | | Younger sister of Mr. Bui Thanh Tuan - Chief Accountant | | | 0 | 0% | |
| 17.8 | Pham Thi Minh Trang | | | Wife of Mr. Bui Thanh Tuan - Chief Accountant | | | 0 | 0% | |
| 17.9 | Bui Tuan Kha | | | Child of Mr. Bui Thanh Tuan - Chief Accountant | | | 0 | 0% | Minor |
| 17.10 | Bui Tuan Khang | | | Child of Mr. Bui Thanh Tuan - Chief Accountant | | | 0 | 0% | Minor |

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|-------|---------------------|------------|--|---|--|--|--------|-------|-----------|
| 17.11 | Bui Tuan Khanh | | | Child of Mr. Bui Thanh Tuan - Chief Accountant | | | 0 | 0% | Minor |
| 18 | Nguyen Thi Hoa | 058C629586 | Head of the Supervisory Board | | | | 24,000 | 0.22% | Dismissed |
| 18.1 | Vuong Thi Su | | | Mother of Ms. Nguyen Thi Hoa | | | 0 | 0% | |
| 18.2 | Nguyen Van Hung | | | Husband of Ms. Nguyen Thi Hoa | | | 0 | 0% | |
| 18.3 | Nguyen Tien Dung | | | Child of Ms. Nguyen Thi Hoa | | | 0 | 0% | |




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| | | | | | | | | |
| 18.4 | Nguyen Tran Mi Phuong | | | Daughter-in-law of Ms. Nguyen Thi Hoa | | 0 | 0% | |
| 18.5 | Nguyen Tien Thanh Dat | | | Child of Ms. Nguyen Thi Hoa | | 0 | 0% | |
| 19 | Duong Van Vang | 058C629646 | Member of the BOS | | | 0 | 0% | Dismissed |
| 19.1 | Nguyen Ha Chi My | | | Wife of Mr. Duong Van Vang | | 0 | 0% | |

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|------|----------------|--|--|-------------------------------------|--|---|----|--|
| | | | | | | | | |
| 19.2 | Duong Hai My | | | Child of Mr. Duong Van Vang | | 0 | 0% | |
| 19.3 | Duong Quoc Bao | | | Child of Mr. Duong Van Vang | | 0 | 0% | |
| 19.4 | Duong Van Vinh | | | Brother of Mr. Duong Van Vang | | 0 | 0% | |
| 19.5 | Duong Van Oanh | | | Brother of Mr. Duong Van Vang | | 0 | 0% | |

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|------|--------------------|------------|----------------------|-------------------------------------|--|---|----|-----------|
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| 19.6 | Duong Duc Khoan | | | Brother of Mr. Duong Van Vang | | 0 | 0% | |
| 19.7 | Duong Van Ve | | | Brother of Mr. Duong Van Vang | | 0 | 0% | |
| 20 | Le Thi Thuy | 058C629604 | Member of the BOS | | | 0 | 0% | Dismissed |
| 20.1 | Le Van Hai | | | Father of Ms. Le Thi Thuy | | 0 | 0% | |

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| | | | | | | | |
| 20.2 | Nguyen Thi Vinh | | | Mother of Ms. Le Thi Thuy | | 0 | 0% |
| 20.3 | Hoang Trong Manh | | | Father- in- law of Ms. Le Thi Thuy | | 0 | 0% |
| 20.4 | Dao Thi Hoa | | | Mother- in- law of Ms. Le Thi Thuy | | 0 | 0% |
| 20.5 | Hoang Trong Man | | | Husband of Ms. Le Thi Thuy | | 0 | 0% |

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|------|-----------------|------------|--------------------|---------------------------------------|--|--|--|---------|----|-------|
| 20.6 | Hoang Trong Phu | | | Child of Ms. Le Thi Thuy | | | | 0 | 0% | Minor |
| 20.7 | Le Duc Quan | | | Brother of Ms. Le Thi Thuy | | | | 0 | 0% | |
| 20.8 | Nguyen Thi Thu | | | Sister-in-law of bà Le Thi Thuy | | | | 0 | 0% | |
| 21 | Tran Van Hung | 091C092897 | Head of the BOS | | | | | 426,000 | 4% | |

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|------|---------------|--|--|--|--|--|---|----|-------|
| 21.1 | Tran Van Dung | | | Father of Mr. Tran Van Hung - Head of the BOS | | | 0 | 0% | |
| 21.2 | Do Thi Hanh | | | Mother of Mr. Tran Van Hung - Head of the BOS | | | 0 | 0% | |
| 21.3 | Vo Thi Anh | | | Wife of Mr. Tran Van Hung - Head of the BOS | | | 0 | 0% | |
| 21.4 | Tran Gia Han | | | Child of Mr. Tran Van Hung - Head of the BOS | | | 0 | 0% | Minor |

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|------|-----------------------|--|--|--|--|--|-----|----------|-----------|
| 21.5 | Tran Van Cuong | | | Brother of Mr. Tran Van Hung - Head of the BOS | | | 300 | Under 1% | |
| 21.6 | Tran Thi Du | | | Mother- in- law of Mr. Tran Van Hung - Head of the BOS | | | 0 | 0% | |
| 22 | Phan Thanh Hung | | | Member of the BOS | | | 300 | Under 1% | Dismissed |
| 22.1 | Le Thi Huyen Trang | | | Wife of Mr. Phan Thanh Hung - Member of the BOS | | | 0 | 0% | |

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|------|----------------------|--|--|--|--------|---|----|--|
| 22.2 | Phan Thanh Bach | | | Child of Mr. Phan Thanh Hung - Member of the BOS | Dalica | 0 | 0% | |
| 22.3 | Phan Thanh Quynh Anh | | | Child of Mr. Phan Thanh Hung - Member of the BOS | | 0 | 0% | Minor |
| 22.4 | Phan Dinh Khai | | | Father of Mr. Phan Thanh Hung - Member of the BOS | | 0 | 0% | Information not provided by the related person |
| 22.5 | Nguyen Thi Hue | | | Mother of Mr. Phan Thanh Hung - Member of the BOS | | 0 | 0% | Information not provided by the related person |
| 22.6 | Phan Thanh Tuyen | | | Brother of Mr. Phan Thanh Hung - Member of the BOS | | 0 | 0% | Information not provided by the related person |
| 22.7 | Phan Thanh Lam | | | Brother of Mr. Phan Thanh Hung - Member of the BOS | | 0 | 0% | Information not provided by the related |

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| | | | | | | | | | | person |
| 22.8 | Phan Thi Thanh Suong | | | Sister of Mr. Phan Thanh Hung - Member of the BOS | | | 0 | 0% | 0% | Information not provided by the related person |
| 22.9 | Le Van Thuong | | | Father- in-law of Mr. Phan Thanh Hung - Member of the BOS | | | 0 | 0% | 0% | Information not provided by the related person |
| 22.10 | Nguyen Thi Dao | | | Mother- in- law of Mr. Phan Thanh Hung - Member of the BOS | | | 0 | 0% | 0% | Information not provided by the related person |
| 22.11 | Le Thi Thanh Loan | | | Sister-in-law of Mr. Phan Thanh Hung - Member of the BOS | | | 0 | 0% | 0% | Information not provided by the related person |
| 22.12 | Le Van Thanh | | | Brother-in-law of Mr. Phan Thanh Hung - Member of the BOS | | | 0 | 0% | 0% | Information not provided by the related person |

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|------|------------------|--|--|---|--|
| 23 | Nguyen Le Duy | | | Member of the BOS | |
| 23.1 | Nguyen Ngoc Toan | | | Father of Mr. Nguyen Le Duy - Member of the BOS | |
| 23.2 | Le Son Ca | | | Mother of Mr. Nguyen Le Duy - Member of the BOS | |
| 23.3 | Nguyen Ha Doan | | | Sister of Mr. Nguyen Le Duy - Member of the BOS | |
| 23.4 | Nguyen Ha Uyen | | | Sister of Mr. Nguyen Le Duy - Member of the BOS | |

| | | |
|---|----|--|
| 0 | 0% | |
| 0 | 0% | Information not provided by the related person |
| 0 | 0% | Information not provided by the related person |
| 0 | 0% | |
| 0 | 0% | |

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|------|---------------------|--|-------------------|---|--|---|----|--|
| 24 | Tran Cong Hau | | Member of the BOS | | | 0 | 0% | |
| 24.1 | Pham Thi Thuy Trang | | | Wife of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |
| 24.2 | Tran Cong Gia Huy | | | Child of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |
| 24.3 | Tran Cong Gia Hung | | | Child of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |
| 24.4 | Tran Hung | | | Father of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |
| 24.5 | Bui Thi Nhu Y | | | Mother of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |
| 24.6 | Pham Van Tam | | | Father-in- law of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |

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| 24.7 | Le Thi Luc | | | Mother- in- law of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |
| 24.8 | Tran Cong Hoang | | | Brother of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |
| 24.9 | Pham Thi Van Anh | | | Sister- in- law of Mr. Tran Cong Hau - Member of the BOS | | 0 | 0% | |
| 25 | Nguyen Hai Anh | | Authorized Information Disclosure Officer; Company Secretary; Person in charge of Corporate Governance | | | 0 | 0% | |
| 25.1 | Nguyen Van Hoa | | | Father of Ms. Nguyen Hai Anh - Authorized Information Disclosure Officer; Company Secretary; | | 0 | 0% | |

| | | | | Person in charge of Corporate Governance | | | | | | | |
|------|------------------|--|------------------------------|--|--|--|--|--|---------|-------|-----------|
| 25.2 | Vu Thi Chien | | | Mother of Ms. Nguyen Hai Anh - Authorized Information Disclosure Officer; Company Secretary; Person in charge of Corporate Governance | | | | | 0 | 0% | |
| 25.3 | Nguyen Quoc Viet | | | Brother of Ms. Nguyen Hai Anh - Authorized Information Disclosure Officer; Company Secretary; Person in charge of Corporate Governance | | | | | 0 | 0% | |
| 26 | Nguyen Minh Sang | | Company Secretary; Person in | | | | | | 468,100 | 4.33% | Dismissed |

| | | | charge of Corporate Governance | | | | | |
|------|----------------------|------------|---|--|--|---------|-------|--|
| 26.1 | Truong Thi Dep | | | Mother of Mr. Nguyen Minh Sang | | 0 | 0% | |
| 26.2 | Nguyen Thi Thu Thao | 004C074343 | | Sister of Mr. Nguyen Minh Sang | | 490,500 | 4.54% | |
| 26.3 | Tran Thi Thanh Huyen | 004C079199 | | Wife of Mr. Nguyen Minh Sang | | 179,400 | 1.66% | |
| 27 | Do Tan Hung | | Person in charge of Internal Audit | | | 0 | 0% | |
| 27.1 | Do Tan Thanh | | | Father of Mr. Do Tan Hung - Person in charge of Internal Audit | | 0 | 0% | |
| 27.2 | Nguyen Thi Tuyen | | | Mother of Mr. Do Tan Hung - Person in charge of Internal Audit | | 0 | 0% | |

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| 27.3 | Do Thanh Long | | | Brother of Mr. Do Tan Hung - Person in charge of Internal Audit |
| 27.4 | Do Tan Hau | | | Younger sibling of Mr. Do Tan Hung - Person in charge of Internal Audit |
| 27.5 | Tran Thi Thu My | | | Sister-in-law of Mr. Do Tan Hung - Person in charge of Internal Audit |
| 27.6 | Tran Thi Huynh Thao | | | Sister- in- law of Mr. Do Tan Hung - Person in charge of Internal Audit |

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| | | |
| 4,500 | 0.04% | |
| 0 | 0% | |
| 3,200 | 0.03% | |
| 0 | 0% | |

APPENDIX 4: TRANSACTIONS OF INSIDERS AND RELATED PEOPLE IN THE COMPANY'S SHARES

| No. | Person Conducting the Transaction | Relationship with Insider | Opening Balance | | Closing Balance | | Reason for Change (Buy, Sell, conversion, bonus, etc.) |
|-----|-----------------------------------|---------------------------|-----------------|---------------|-----------------|---------------|--|
| | | | Shares | Ownership (%) | Shares | Ownership (%) | |
| 1 | Do Tan Cuong | Insider | 0 | 0% | 2,160,000 | 20% | Buy |
| 2 | Do Tan Vu | Brother Mr. Do Tan Cuong | 0 | 0% | 431,700 | 4% | Buy |
| 3 | Do Thi Hoa | Sister Mr. Do Tan Cuong | 0 | 0% | 300 | Under 1% | Buy |
| 4 | Do Thi Hiep | Sister Mr. Do Tan Cuong | 0 | 0% | 300 | Under 1% | Buy |
| 6 | Bui Do Linh | Insider | 0 | 0% | 102,000 | 1% | Buy |
| 7 | Do Thi Thuy Van | Mother Mr. Bui Do Linh | 0 | 0% | 300 | Under 1% | Buy |
| 8 | Bui Van Chin | Father Mr. Bui Do Linh | 0 | 0% | 300 | Under 1% | Buy |
| 9 | Bui Do Sang | Brother Mr. Bui Do Linh | 0 | 0% | 300 | Under 1% | Buy |
| 10 | Dinh Van Xuan | Insider | 0 | 0% | 1,123,000 | 10% | Buy |
| 11 | Dinh Van Ha | Brother Mr. Dinh Van Xuan | 0 | 0% | 300 | Under 1% | Buy |
| 12 | Phan Anh | Insider | 0 | 0% | 300 | Under 1% | Buy |
| 13 | Tran Van Hung | Insider | 0 | 0% | 426,000 | 4% | Buy |
| 14 | Tran Van Cuong | Brother Mr. Tran Van Hung | 0 | 0% | 300 | Under 1% | Buy |

| | | | | | | | |
|----|---|----------------------------------|-----------|-------|---------|----------|------|
| 16 | Phan Thanh Hung | Insider | 0 | 0% | 300 | Under 1% | Buy |
| 17 | Nguyen Van Tuan | Insider | 0 | 0% | 100 | Under 1% | Buy |
| 18 | Pham Huu Tai | Insider | 0 | 0% | 107,000 | 1% | Buy |
| 19 | Doan Thi My Lien | Wife of Mr. Pham Huu Tai | 0 | 0% | 300 | Under 1% | Buy |
| 20 | Do Thanh Long | Brother of Mr. Do Tan Hung | 0 | 0% | 4,500 | 0.04% | Buy |
| 21 | Tran Thi Thu My | Sister-in-law of Mr. Do Tan Hung | 0 | 0% | 3,200 | 0.03% | Buy |
| 22 | Nguyen Van Son | Insider | 180,500 | 5.01% | 0 | 0% | Sell |
| 23 | Le Dinh Hien | Insider | 17,710 | 0.48% | 0 | 0% | Sell |
| 24 | Pham Thi Thanh Huong | Wife Mr. Le Dinh Hien | 2,000 | 0.05% | 0 | 0% | Sell |
| 25 | Le Huong Giang | Child Mr. Le Dinh Hien | 1,000 | 0.03% | 0 | 0% | Sell |
| 26 | Lam Dong Irrigation Investment and Construction Joint Stock Company | Major shareholder | 1,836,000 | 51.0% | 0 | 0% | Sell |
| 27 | Ha Huy Khanh | Insider | 15,000 | 0.42% | 0 | 0% | Sell |
| 28 | Ha Van Duong | Brother Mr. Ha Huy Khanh | 6,000 | 0.16% | 0 | 0% | Sell |
| 29 | Tran Bac Viet | Insider | 35,000 | 0.97% | 0 | 0% | Sell |

| | | | | | | | |
|----|-----------------------|---------------------------------------|--------|-------|--------|-------|------|
| 30 | Nguyen Thi Hoa | Insider | 58,000 | 1.61% | 20,000 | 0.19% | Sell |
| 31 | Nguyen Van Hung | Husband of Ms. Nguyen Thi Hoa | 12,000 | 0.33% | 0 | 0% | Sell |
| 32 | Nguyen Tien Dung | Child of Ms. Nguyen Thi Hoa | 3,000 | 0.08% | 0 | 0% | Sell |
| 33 | Nguyen Tran Mi Phuong | Daughter-in-law of Ms. Nguyen Thi Hoa | 2,000 | 0.06% | 0 | 0% | Sell |
| 34 | Nguyen Tien Thanh Dat | Child of Ms. Nguyen Thi Hoa | 2,000 | 0.06% | 0 | 0% | Sell |
| 35 | Duong Van Vang | Insider | 38,300 | 1.06% | 0 | 0% | Sell |
| 36 | Le Thi Thuy | Insider | 30,000 | 0.83% | 0 | 0% | Sell |
| 37 | Hoang Trong Man | Husband of Ms. Le Thi Thuy | 4,400 | 0.12% | 0 | 0% | Sell |
| 38 | Pham Van Hoan | Insider | 73,500 | 2.04% | 0 | 0% | Sell |

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