

APPENDIX V
APPENDIX V

REPORT ON CORPORATE GOVERNANCE
(Issued in conjunction with Circular No. 96/2020/TT-BTC dated November 16, 2020, of the Minister of Finance)

**HO CHI MINH CITY POWER TRADING
INVESTMENT CORPORATION**

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.: 004/BC-TRADIN-HĐQT.IV

Ho Chi Minh City, January 15, 2025

REPORT ON CORPORATE GOVERNANCE
(Year 2024)

To: - State Securities Commission
- Stock Exchange

- Company Name: Ho Chi Minh City Power Trading Investment Corporation
- Head Office Address: 14A Street No. 85, Quarter 1, Tan Quy Ward, District 7, Ho Chi Minh City
- Telephone: (028) 2211 7898 Fax: (028) 2200 4079
- Email: info@hcmptc-tradincorp.com
- Charter Capital: 236,081,650,000 VND
- Stock Code: HTE
- Corporate Governance Model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Director.
- Regarding the implementation of the internal audit function: The Company is not subject to internal audit requirements under Decree No. 05/2019/ND-CP.

I. Activities of the General Meeting of Shareholders:

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including collect shareholders' written opinions):

No.	Resolution/Decision No.	Date	Content
1	014/2024/NQ-TRADIN-DHĐCĐ	23/4/2024	<p>Resolution of the 2024 Annual General Meeting of Shareholders. Approved contents:</p> <ul style="list-style-type: none"> - Approval of the Board of Directors' Activity Report for 2023 and the Action Plan for 2024.; - Approval of the Supervisory Board's Activity Report on the results of financial supervision and inspection in 2023; - Approval of the General Director's Report on the company's production and business activities in 2023 and the Action Plan for 2024; - Approval of the submitted Consolidated and Separate Financial Statements for 2023, which have been audited; - Approval of the submitted results of production and business activities in 2023; plan for fund allocation and dividend distribution in 2023; - Approval of the submitted Production and Business Plan for 2024; - Approval of the submitted selection of an audit firm for the Consolidated and Separate Financial Statements for 2024; - Approval of the submitted report on the progress of capital utilization for the treasury share sale by private placement in 2023; - Approval the Proposal on the Plan for selling the remaining 970,000 treasury shares through a private placement.

II. Board of Directors (2024 Report):

1. Information on members of the Board of Directors (BOD):

In 2024, there were no changes in the members of the BOD for the 2022-2027 term:

No.	Board Member	Position	Start/End Date as a BOD/Independent BOD Member	
			Appointment Date	Dismissal Date
1	Mr. Nguyen Thanh Nha	Chairman of the Board of Directors	27/04/2023	
2	Mr. Nguyen Anh Vu	Member of the Board of Directors cum General Director	27/4/2023	
3	Ms. Truong Ngo Sen	Non-executive Member of the Board of Directors	19/4/2022	
4	Ms. Nguyen Thi Kim Loan	Non-executive Member of the Board of Directors	19/4/2022	
5	Mr. Chau Thanh Phong	Non-executive Member of the Board of Directors	19/4/2022	

2. BOD Meetings:

No.	Board Member	Number of Board Meetings Attended	Attendance Rate	Reason for Absence
1	Mr. Nguyen Thanh Nha	6/6	100%	
2	Mr. Nguyen Anh Vu	6/6	100%	
3	Ms. Truong Ngo Sen	6/6	100%	
4	Ms. Nguyen Thi Kim Loan	6/6	100%	
5	Mr. Chau Thanh Phong	6/6	100%	

Between Board of Directors meetings, to promptly address matters requiring the Board's opinion related to production, business, and management activities, the Company conducted written ballots of the Board of Directors. These written ballot procedures were carried out in accordance with the Law on Enterprises and relevant guiding documents, the Board of Directors' operating regulations, and under the supervision of the Company's Supervisory Board (all documents submitted for the Board of Directors' opinion were sent to the Supervisory Board in the correct form, content, and timeframe as for the members of the Board of Directors). The total number of written ballots in 2024 was 04.

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3. Supervisory activities of the Board of Directors over the Board of General Directors:

- The Board of Directors exercises its supervisory authority over the General Director and management personnel through regular reporting and the results of implementing assigned tasks according to the Resolutions and Decisions of the Board of Directors.
- In 2024, the Board of Directors directed the Company's Executive Board to implement the Resolutions of the 2024 Annual General Meeting of Shareholders approved at the General Meeting of Shareholders and the Resolutions of the Board of Directors in regular meetings as well as through written ballots.
- The Board of Directors' focus was on directing and supporting the Company's Executive Board in reorienting business lines, restructuring the organizational structure, and developing and issuing internal management regulations.
- Through the written ballots of the Board of Directors, the Board also demonstrated its responsibility in participating in supervising and resolving matters within its authority.
- Supervise the divestment of non-core projects in accordance with the Company's Charter and legal regulations.
- Alongside completed tasks, the divestment of the Wind Power project remains unfinished and will continue into 2025.

4. Activities of sub-committees under the Board of Directors: None.

The Company's Board of Directors does not establish any subordinate committees. Board members perform their duties as assigned by the Board.

5. Resolutions/Decisions of the Board of Directors (2024 Report):

✚ Board of Directors' Resolutions:

No .	Resolution/Decision Number	Date	Content	Approval Rate
1.	004/NQ-TRADIN-HĐQT. IV	5/2/2024	Board Resolution on the Approval of the Plan for the 2024 Annual General Meeting of Shareholders	100%
2.	007/NQ-TRADIN-HĐQT. IV	29/3/2024	Board Resolution on the Audited 2023 Financial Statements	100%
3.	010/NQ-TRADIN-HĐQT. IV	1/4/2024	Board Resolution on the Approval of the Draft Documents for the 2024 Annual General Meeting of Shareholders	100%

4.	011/NQ-TRADIN-HĐQT. IV	1/4/2024	Board Resolution of the April 2024 Meeting	100%
5.	012/NQ-TRADIN-HĐQT. IV	19/4/2024	Board Resolution on the Adjustment of the Draft Documents for the 2024 Annual General Meeting of Shareholders	100%
6.	013/NQ-TRADIN-HĐQT. IV	1/4/2024	Board Resolution on the Adjustment of the General Director's Income	100%
7.	020/NQ-TRADIN-HĐQT. IV	15/07/2024	Resolution of the Board of Directors on selecting a debt settlement plan for IMG Phuoc Dong Joint Stock Company	100%
8.	024/NQ-TRADIN-HĐQT. IV	17/09/2024	Resolution of the Board of Directors on the change of legal representative and structure of the subsidiary – Tradin One Company Limited	100%
9.	027/NQ-TRADIN-HĐQT. IV	23/10/2024	Resolution of the Board of Directors for the 4th Quarter Meeting of 2024	100%

✚ Board of Directors' Decisions: None

III. Supervisory Board (2024 Report):

1. Information on Supervisory Board (SB) members:

No.	Supervisory Board/Audit Committee Member	Position	Start/End Date as a Supervisory Board	Professional Qualification
1	Mr. Nguyen Viet Hung	Head of Supervisory Board	19/4/2022	Master of Finance
2	Mr. Tran Minh Duc	Member of Supervisory Board	27/4/2023	Doctor of Business Administration
3	Ms. Trinh Thi Thanh Thao	Member of Supervisory Board	19/4/2022	Bachelor of Business Administration

2. Meetings of the SB:

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No.	BOD Member	Meetings Attended	Meeting Attendance Rate	Voting Rate	Reason for Absence
1	Mr. Nguyen Viet Hung	4/4	100%	100%	
2	Ms. Trinh Thi Thanh Thao	4/4	100%	100%	
3	Mr. Tran Minh Duc	4/4	100%	100%	

3. Supervisory activities of the SB towards the Board of Directors, the Board of Management, and shareholders:

The Supervisory Board performs its supervisory function over the management and administration of the Board of Directors, the Board of Management, and the Company's production and business activities by attending meetings, contributing opinions at Board of Directors meetings, and supervising the implementation of written resolutions of Board members.

- Supervising the payment of remaining dividends from previous years;
- Approving the audited 2023 separate and consolidated financial statements;
- Approving the quarterly financial statements for Q1, Q2, Q3, and Q4 of 2024;
- Supervising the registration and depository of shares of Ho Chi Minh City Power Trading Investment Corporation at the Viet Nam Securities Depository and Clearing Corporation (VSDC) and the registration for trading of shares on the public company securities trading system at the Hanoi Stock Exchange (Upcom).

4. Coordination of activities between the SB and the Board of Directors, the Board of Management, and other management personnel:

- The Supervisory Board proactively discussed and agreed with the Board of Directors on the contents of inspection and supervision, and coordinated with the Board of Management in the implementation of inspection and supervision.
- The Board of Directors has provided the Supervisory Board with all Resolutions and Decisions of the Board of Directors.
- The Board of Management has facilitated the Supervisory Board in collecting information and documents related to production and business activities.
- The Board of Directors, the Supervisory Board, the Board of Management, and other management personnel of the Company have maintained a close cooperative relationship in the management and administration of production and business activities.

5. Other activities of the SB (if any): None.

IV. Board of Management:

No.	Member of the Executive Board	Date of Birth	Professional Qualification	Appointment/Dismissal Date of Executive Board Member
1	Mr. Nguyen Anh Vu	26/02/1969	Master of Business Administration	15/3/2022
2	Mr. Dang Nguyen Ngoc Nam	23/08/1970	Bachelor of Business Administration	01/05/2017

V. Chief Accountant

No.	Full Name	Date of Birth	Professional Qualification	Appointment/Dismissal Date of Executive Board Member
1	Mr. Nguyen Chanh Truc	03/05/1982	Bachelor of Economics	15/4/2023

VI. Corporate Governance Training:

During the year, Board of Management, the Corporate Secretary, and the Person in charge of Corporate Governance participated in online training courses organized by the Stock Exchange.

VII. List of related parties of the public company in 2024 and transactions between the related parties and the Company itself.

1. List of related parties of the company (as attached).
2. Transactions between the company and its related parties; or between the company and major shareholders, insiders, or related parties of insiders: None.
3. Transactions between company insiders, related persons of insiders with subsidiaries, and companies controlled by the company: None.
4. Transactions between the company and other entities:
 - 4.1 Transactions between the company and companies where members of the Board of Directors, Supervisory Board, General Director, and other managers were and are founding members or members of the Board of Directors, General Director operating within the last three (03) years (calculated at the time of reporting): None.
 - 4.2 Transactions between the company and companies where related persons of members of the Board of Directors, Supervisory Board, General Director, and other managers are members of the Board of Directors, General Director operating: None.



- 4.3 Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, Supervisory Board, General Director, and other managers: None.

VIII. Share transactions of insiders and related persons of insiders in 2024

1. List of insiders and related persons of insiders (as attached).
2. Transactions of insiders and related persons with the company's shares: None.

IX. Other noteworthy issues: None.

The contents of this report are disclosed and reported by Ho Chi Minh City Power Trading Investment Corporation to the State Securities Commission, Stock Exchange, and are also posted on the company's website as prescribed in Circular 96/2020/TT-BTC dated November 16, 2020 of the Ministry of Finance guiding information disclosure in the securities market.

Sincerely./.

Recipient:

- As above;
- Archives: office.

**CHAIRMAN OF
THE BOARD OF DIRECTORS**



Nguyễn Thanh Nha

APPENDIX 01: LIST OF INSIDERS AND RELATED PARTIES (INDIVIDUALS, ORGANIZATIONS) OF INSIDERS

Stock code: **HTE**

Company Name: **HO CHI MINH CITY POWER TRADING INVESTMENT CORPORATION**

Record Date: **31/12/2024**

No.	Stock code	Full Name	Position in the Company	Relationship with Insider	Identification Certificate (ID card/Passport/Business registration certificate)	Identification Document Number	Date of Issue	Time of Appointment as Insider/Becoming Related Party	Notes
1	2	3	4	5	6	7	8	9	10
1	HTE	Nguyen Thanh Nha	Chairman of the Board of Directors					27/04/2023	
1.01	HTE	Nguyen Giang Ha Minh		Wife				27/04/2023	
1.02	HTE	Nguyen Van Tai		Biological Father				27/04/2023	
1.03	HTE	Nguyen Thi Than		Biological Mother				27/04/2023	
1.04	HTE	Nguyen Chinh Quyen		Father-in-law				27/04/2023	
1.05	HTE	Giang Thi My Yen		Mother-in-law				27/04/2023	
1.06	HTE	Nguyen Giang Yen Xuan		Sister-in-law				27/04/2023	
1.07	HTE	Nguyen Minh Khanh Ngoc		Biological Child				27/04/2023	
1.08	HTE	Nguyen Minh Phuong		Biological Child				27/04/2023	
1.09	HTE	Nguyen Thi Phuong Dung		Older Sister				27/04/2023	
1.10	HTE	Nguyen Thi Tuyet Mai		Older Sister				27/04/2023	
1.11	HTE	HoChiMinh City Power Corporation (EVNHCMC)		Mr. Nguyen Thanh Nha serves as Deputy General Director				27/04/2023	
2	HTE	Nguyen Anh Vu	Member of the Board of Directors/General Director					15/03/2022	
2.01	HTE	Tran Thi Thu Thao		Wife				15/03/2022	
2.04	HTE	Nguyen Thi Chi Lan		Older Sister				15/03/2022	
2.06	HTE	Nguyen Thi My Dung		Older Sister				15/03/2022	
2.07	HTE	Nguyen Anh Tuan		Older Brother				15/03/2022	
2.12	HTE	Tran Thi Ren		Mother-in-law				15/03/2022	
2.13	HTE	Nguyen Van Tro		Biological Father				15/03/2022	
2.14	HTE	Nguyen Ngoc Nga		Biological Mother				15/03/2022	
2.15	HTE	HoChiMinh City Power Corporation (EVNHCMC)						15/03/2022	
3	HTE	Chau Thanh Phong	Member of the Board of Directors					19/04/2022	
3.01	HTE	Huynh Doan Thuy Tien		Wife				19/04/2022	
3.02	HTE	Chau Kim Danh		Biological Father				19/04/2022	
3.03	HTE	Quach Kim Phuong		Biological Mother				19/04/2022	



No.	Stock code	Full Name	Position in the Company	Relationship with Insider	Identification Certificate (ID card/Passport/Business registration certificate)	Identification Document Number	Date of Issue	Time of Appointment as Insider/Becoming Related Party	Notes
1	2	3	4	5	6	7	8	9	10
3.04	HTE	Huynh Van Tri		Father-in-law				19/04/2022	
3.05	HTE	Doan Thi Quang Ngoc		Mother-in-law				19/04/2022	
3.06	HTE	Chau Huynh Ngoc Thao		Biological Child				19/04/2022	
3.07	HTE	Chau Phuc Nguyen		Biological Child				19/04/2022	
3.08	HTE	Chau Quach Duy Minh		Older Brother				19/04/2022	
3.09	HTE	Nguyen Thi Kim Thanh		Sister-in-law				19/04/2022	
3.10	HTE	Chau Khanh Toan		Older Brother				19/04/2022	
3.11	HTE	Phan Thi Bich Phung		Sister-in-law				19/04/2022	
3.12	HTE	Chau Thi Bach Ngoc		Older Brother				19/04/2022	
3.13	HTE	Southern Power Corporation (SPC)		Mr. Chau Thanh Phong serves as a Specialist in the General Department.				19/04/2022	
4	HTE	Truong Ngo Sen	Member of the Board of Directors					19/04/2022	
4.01	HTE	Truong Thi Kim Thoa		Younger Sibling				19/04/2022	
4.02	HTE	Ngo Kim Cham		Younger Sibling				19/04/2022	
4.03	HTE	Nguyen Dinh Thanh		Biological Child				19/04/2022	
4.04	HTE	Vass Assurance Corporation		Ms. Truong Ngo Sen serves as a Member of the Board of Directors and Deputy General Director.				19/04/2022	
5	HTE	Nguyen Thi Kim Loan	Member of the Board of Directors					19/04/2022	
5.01	HTE	Le Thi Nghien		Biological Mother				19/04/2022	
5.02	HTE	Le Ngoc Hai		Husband				19/04/2022	
5.03	HTE	Le Duc Khanh		Child				19/04/2022	
5.04	HTE	Le Nguyen Khang		Child				19/04/2022	
5.05	HTE	Sai Gon Real Estate Corporation (RESCO)		Ms. Nguyen Thi Kim Loan serves as Deputy Chief of Office.				19/04/2022	
6	HTE	Nguyen Viet Hung	Head of the Supervisory Board					19/04/2022	
6.01	HTE	Truong Thi Ngan		Wife				19/04/2022	
6.02	HTE	Nguyen Cu		Biological Father				19/04/2022	
6.03	HTE	Tran Thi Hue		Biological Mother				19/04/2022	
6.04	HTE	Truong San		Father-in-law				19/04/2022	

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No.	Stock code	Full Name	Position in the Company	Relationship with Insider	Identification Certificate (ID card/Passport/Business registration certificate)	Identification Document Number	Date of Issue	Time of Appointment as Insider/Becoming Related Party	Notes
1	2	3	4	5	6	7	8	9	10
6.05	HTE	Phan Thi Thu Thuy		Mother-in-law				19/04/2022	
6.06	HTE	Nguyen Thi Kieu Oanh		Younger Sibling				19/04/2022	
6.07	HTE	Truong Thi Phuong Nguyen		Younger Sibling-in-law				19/04/2022	
6.08	HTE	Nguyen Kieu Quynh Han		Biological Child				19/04/2022	
7	HTE	Tran Minh Duc	Member of the Supervisory Board					27/04/2023	
7.01	HTE	Nguyen Thi Thuy		Wife				27/04/2023	
7.02	HTE	Tran Nhat		Biological Father				27/04/2023	
7.03	HTE	Ha Thi Thanh		Biological Mother				27/04/2023	
7.04	HTE	Nguyen Trong Dang		Father-in-law				27/04/2023	
7.05	HTE	Pham Thi Hong		Mother-in-law				27/04/2023	
7.06	HTE	Tran Thi Minh Le		Older Sister				27/04/2023	
7.07	HTE	Nguyen Trong Me		Brother-in-law				27/04/2023	
7.08	HTE	Tran Hoang Nhan		Biological Child				27/04/2023	
7.09	HTE	Tran Thi Ngan Ha		Biological Child				27/04/2023	
7.10	HTE	V-Galaxy MTV Company Limited						27/04/2023	
8	HTE	Trinh Thi Thanh Thao	Member of the Supervisory Board					19/04/2022	
8.01	HTE	Van Hoang Nhan		Husband				19/04/2022	
8.02	HTE	Trinh La		Biological Father				19/04/2022	
8.03	HTE	Nguyen Thi Mot		Biological Mother				19/04/2022	
8.04	HTE	Van Phu Nam		Father-in-law				19/04/2022	
8.05	HTE	Nguyen Thi Muoi		Mother-in-law				19/04/2022	
8.06	HTE	Trinh Thi Xuan		Older Sister				19/04/2022	
8.07	HTE	Trinh Thi Yen		Older Sister				19/04/2022	
8.08	HTE	Trinh Thi Nga		Older Sister				19/04/2022	
8.09	HTE	Trinh Dung		Older Brother				19/04/2022	
8.10	HTE	Trinh Hung		Older Brother				19/04/2022	
8.11	HTE	Trinh Thi Ha Thu		Older Sister				19/04/2022	
8.12	HTE	Van Hoang Ngoc Han		Biological Child				19/04/2022	
8.13	HTE	Van Hoang Khang		Biological Child				19/04/2022	
9	HTE	Dang Nguyen Ngoc Nam	Deputy General Director					26/04/2017	
9.01	HTE	Phan Ngoc Thuy		Wife				26/04/2017	
9.02	HTE	Dang Ngoc Sinh		Biological Father				26/04/2017	
9.03	HTE	Nguyen Thi Tram		Biological Mother				26/04/2017	
9.04	HTE	Phan Van Thieu		Father-in-law				26/04/2017	
9.05	HTE	Tran Thi Chuong		Mother-in-law				26/04/2017	

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No.	Stock code	Full Name	Position in the Company	Relationship with Insider	Identification Certificate (ID card/Passport/Business registration certificate)	Identification Document Number	Date of Issue	Time of Appointment as Insider/Becoming Related Party	Notes
1	2	3	4	5	6	7	8	9	10
9.06	HTE	Dang Ngoc Luong Vy		Younger Sibling				26/04/2017	
9.07	HTE	Le Nguyen Tien Nhan		Younger Brother-in-law				26/04/2017	
9.08	HTE	Dang Ngoc Thanh Van		Younger Sibling				26/04/2017	
9.09	HTE	Vo Tuan Dai		Younger Brother-in-law				26/04/2017	
9.10	HTE	Phan Ngoc Diem		Sister-in-law				26/04/2017	
9.11	HTE	Phan Tan Loi		Brother-in-law				26/04/2017	
9.12	HTE	Dang Pham My Hien		Biological Child				26/04/2017	
9.13	HTE	Dang Pham Khanh Mai		Biological Child				26/04/2017	
10	HTE	Nguyen Chanh Truc	Chief Accountant					15/04/2023	
10.01	HTE	Tran Thi Hong Loan		Wife				15/04/2023	
10.02	HTE	Nguyen Phuc Khang		Child				15/04/2023	
10.03	HTE	Nguyen Phuc Tien		Child				15/04/2023	
10.04	HTE	Nguyen Huu Tri		Biological Father				15/04/2023	
10.05	HTE	Nguyen Thi Ngoc Tho		Biological Mother				15/04/2023	
10.06	HTE	Nguyen Ngoc Bao Tran		Younger Sibling				15/04/2023	
10.07	HTE	Tran Van Nhanh		Father-in-law				15/04/2023	
10.08	HTE	Le Thi Anh Nguyet		Mother-in-law				15/04/2023	
10.09	HTE	Tran Thi Anh Hong		Sister-in-law				15/04/2023	
11	HTE	Le Thi Thu Huong	Authorized Information Disclosure Officer					10/08/2020	
11.01	HTE	Le Van Minh		Older Brother				10/08/2020	
11.02	HTE	Le Thanh Hai		Older Brother				10/08/2020	
11.03	HTE	Cu Van Quan		Husband				10/08/2020	
11.04	HTE	Le Van Viet		Biological Father				10/08/2020	
11.05	HTE	Duong Thi Hang		Biological Mother				10/08/2020	
11.06	HTE	Cu Van Minh		Father-in-law				10/08/2020	
11.07	HTE	Duong Thi Nam		Mother-in-law				10/08/2020	
11.08	HTE	Cu Minh Duc		Biological Child				10/08/2020	
11.09	HTE	Cu Minh Phuc		Biological Child				10/08/2020	

PREPARED BY



Le Thi Thu Huong

REPRESENTATIVE OF THE ORGANIZATION

(Sign, clearly state full name, and affix seal)



Nguyễn Anh Vu

C.T.C.P.H. MINH