

Ha Noi, June 18th, 2026



No.: 5558/UBCK-GSĐC
Regarding the failure to satisfy the conditions for a
public company

To: Saigon Water Infrastructure Corporation



Pursuant to the Securities Law No. 54/2019/QH14 dated 26 November 2019, as amended and supplemented under Article 1 of Law No. 56/2024/QH15 dated 29 November 2024 on amending and supplementing a number of articles of the Securities Law, the Law on Accounting, the Law on Independent Auditing, the Law on State Budget, the Law on Management and Use of Public Assets, the Law on Tax Administration, the Law on Personal Income Tax, the Law on National Reserves, and the Law on Administrative Violations; (Law No. 56/2024/QH15);

Pursuant to Circular No. 19/2025/TT-BTC dated 05 May 2025, which provides for the registration of public companies, revocation of public company status, and reporting on charter capital actually contributed (to be audited);

Pursuant to the Company's submission to the State Securities Commission (SSC) (Saigon Water Infrastructure Corporation ("the Company")) in Documents No. 177/2026/SGW-CV dated 14 May 2026 and No. 35/2026/SGW dated 19 January 2026 regarding its failure to satisfy the conditions for a public company, the SSC has the following opinion:

The Company is requested to continue monitoring its satisfaction of the conditions for a public company after one (01) year from 15 January 2026:

- If the Company continues to fail to satisfy the conditions for a public company as stipulated in Point a, Clause 1, Article 32 of the Securities Law No. 54/2019/QH14, as amended and supplemented at Point a, Clause 11, Article 1 of Law No. 56/2024/QH15, the Company is requested to submit a dossier for revocation of public company status to the SSC in accordance with Clause 2, Article 8 of Circular No. 19/2025/TT-BTC for the implementation of the relevant procedures for revocation of public company status.
- If the Company continues to satisfy all conditions for a public company, the Company is responsible for reporting to the SSC and fully complying with its information disclosure obligations in accordance with the law.

The Company is responsible for fully complying with all regulations applicable to public companies until the time the SSC notifies the revocation of the Company's public company status in accordance with Point a, Clause 1, Article 8 of Circular No. 19/2025/TT-BTC.

The Board of Directors and the Company's Legal Representative shall bear full legal responsibility for the legality, accuracy, honesty, and completeness of the dossier provided to the SSC in accordance with Clause 4, Article 1 of Law No. 56/2024/QH15.

In addition, the Company has not complied with the requirement at Point a, Clause 1, Article 8 of Circular No. 19/2025/TT-BTC regarding the obligation to disclose extraordinary information relating to the Company's failure to meet one of the conditions for a public company.

The State Securities Commission hereby notifies the Company for its attention and implementation.



Address to:

- *As above*
- *President (for report)*
- *Archived*

**ON BEHALF OF THE CHAIRMAN
HEAD OF SUPERVISORY PUBLIC COMPANY**

(SIGNED)

TRẦN TIẾN DŨNG