

**DECISION**

Regarding the amendments to the Decision approving the establishment of a branch of a securities company

CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14 amended and supplemented by Law No. 56/2024/QH15;

Pursuant to the Government Decree No. 155/2020/ND-CP dated 31 December 2020, detailing the implementation of a number of articles of the Law on Securities;

Pursuant to Decision No. 686/QD-BTC dated 28 February 2025 of the Minister of Finance, which stipulates the functions, tasks, powers, and organizational structure of the State Securities Commission;

Pursuant to Establishment and Operation License No. 116/GP-UBCK dated 09 December 2013, issued by the Chairman of the State Securities Commission to the MB Securities Joint Stock Company (JSC.);

Pursuant to Decision No.819/QD-UBCK on approving the establishment of a securities company branch dated 09 December 2013, issued by the Chairman of the State Securities Commission, approving MB Securities JSC., to establish Hanoi Branch;

Based on the application for changing the location of Hanoi Branch of MB Securities JSC.;

At the request of the Head of the Securities Business Management Department.

DECISION:

Article 1. Amending the Decision No.819/QD-UBCK on approving the establishment of a securities company branch dated 09 December 2013, issued by the Chairman of the State Securities Commission, approving MB Securities JSC., to establish Hanoi Branch with the content as follows:

Branch address: Floor 5-6-7, Thang Long Tower, No.98 Nguy Nhu Kon Tum Street, Thanh Xuan Ward, Hanoi.

Article 2. This amended decision is effective from the date of signing and is an integral part of the Establishment and Operation License No.116/GP-UBCK dated 09 December 2013, issued by the Chairman of the State Securities Commission to MB Securities Joint Stock Company and the Decision No.819/QD-UBCK on approving the establishment of a securities company branch dated 09 December 2013, issued by the Chairman of the State Securities Commission, approving MB Securities JSC., to establish Hanoi Branch.

Article 3. The Chief of the Office, the Head of the Securities Business Management Department, the General Director of the Vietnam Stock Exchange, the General Director of

the Ho Chi Minh City Stock Exchange, the General Director of the Hanoi Stock Exchange, the General Director of the Vietnam Securities Depository and Clearing Corporation, MB Securities Joint Stock Company, and other relevant parties are responsible for implementing this Decision.

ON BEHALF OF THE CHAIRMAN

VICE CHAIRMAN

(Signed and sealed)

LÊ THỊ VIỆT ANGA