



Tien Giang, January 24, 2025

No: 04/2025/EN/BCQT- DTG

## **REPORT ON CORPORATE GOVERNANCE FOR 2024**

To: - The State Securities Commission;  
- The Stock Exchange (HNX).

- Name of company: **TIPHARCO PHARMACEUTICAL JOINT STOCK COMPANY**
- Address of headoffice: Lot 08, 09, Tan My Chanh Small Industrial and Handicraft Cluster, Ward 9, My Tho City, Tien Giang Province, Vietnam
- Telephone: 0273.3872973 – 0913728988      Fax: 0273.3885040      Email: ir@tipharco.vn
- Charter capital: VND 83.525.730.000.
- Stock symbol: DTG
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.
- The implementation of internal audit: Implemented.

### **I. Activities of the General Meeting of Shareholders**

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/Decision No.	Date	Content
1	03/2024/NQ-ĐHĐCĐ-DTG	23/04/2024	Annual general meeting for year 2024

## II. Board of Directors (Annual report):

### 1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position Independent members of the Board of Directors, Non-executive members of the Board of Directors))	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Dang Viet Anh	Chairman	31/03/2022	-
2	Le Thanh Tung	Vice Chairman cum General Director	17/03/2023	-
3	Luu Hoai Nam	Member of the Board cum Deputy General Director	31/03/2022	-
4	Dang Thi Thu Hang	Non-executive member	31/03/2022	-
5	Tran Thi Kieu Tien	Non-executive member	31/03/2022	-
6	Ly Thi Xuan Mai	Non-executive member	31/03/2022	-
7	Thai Van Hung	Independent member of the Board of Directors	31/03/2022	-
8	Nguyen Quoc Khanh	Independent member of the Board of Directors	17/03/2023	-

### 2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Dang Viet Anh	23/23	100%	
2	Le Thanh Tung	23/23	100%	
3	Dang Thi Thu Hang	22/23	96%	On a business trip
4	Tran Thi Kieu Tien	23/23	100%	
5	Luu Hoai Nam	23/23	100%	
6	Ly Thi Xuan Mai	23/23	100%	
7	Thai Van Hung	23/23	100%	
8	Nguyen Quoc Khanh	23/23	100%	

### 3. Supervising the Board of Management by the Board of Directors:

- The Board of Directors closely monitors the activities of the Executive Board, promptly addressing arising issues within its authority to support the Executive Board in effectively fulfilling its operational responsibilities.
- The Executive Board is tasked with strengthening its leadership role and regularly reporting to the Board of Directors on the company's performance through monthly reports and ad hoc reports as needed to ensure efficient governance and operations.

- The Board of Directors has strongly directed the implementation of investment plans, capital mobilization, and capital increase strategies to secure stable financial resources for key projects. Concurrently, the company prioritizes cost optimization, market expansion, and adjustments to business strategies aligned with practical demands, with a focus on core business areas. Strict adherence to the Organizational and Operational Regulations as well as the Financial Management Regulations is regarded as a cornerstone of corporate governance.
- Social and charitable activities are strategically implemented under the direction of the Board of Directors, reflecting the company's commitment to community responsibility and its contribution to sustainable social value creation.

**4. Activities of the Board of Directors' subcommittees: None.**

**5. Resolutions/Decisions of the Board of Directors (annual report):**

No.	Resolution/Decision No.	Date	Content	Approval rate	Fulfillment of Disclosure Obligations
1	01.1/2024/NQ-HĐQT-DTG	11/01/2024	BOD's Resolution on Approval of related transaction	100%	33/TIPHARCO
2	02/2024/NQ-HĐQT-DTG	12/01/2024	BOD's Resolution on Approval of investment in repairing facilities and factories in Q3-4/2023	100%	Not subject to disclosure under Circular 96/2020/TT-BTC
3	03/2024/NQ-HĐQT-DTG	18/01/2024	BOD's Resolution on Approval of the purchase of raw materials for production (Amoxicillin Compacted, Potassium Clavulanate (Avicel) and Potassium Clavulanate (Syloid))	100%	Not subject to disclosure under Circular 96/2020/TT-BTC
4	06/2024/NQ-HĐQT-DTG	30/01/2024	BOD's Resolution on Transfer of all investment capital	100%	72/TIPHARCO

			at Tesla Pharmaceutical JSC		
5	07/2024/NQ-HĐQT-DTG	05/02/2024	BOD's Resolution on Approval of the purchase of raw materials for production (Cefalexin)	100%	Not subject to disclosure under Circular 96/2020/TT-BTC
6	08/2024/NQ-HĐQT-DTG	21/02/2024	BOD's Resolution on Approval of the purchase of raw materials for production (Cefuroxime Axetil (Covalent))	100%	Not subject to disclosure under Circular 96/2020/TT-BTC
7	09/2024/NQ-HĐQT-DTG	29/02/2024	BOD's Resolution on Deploying the organization of the 2024 Annual General Meeting of Shareholders	100%	101/TIPHARCO
8	10/2024/QĐ-HĐQT-DTG	29/02/2024	BOD's Decision on Establishing the Organizing Committee of the 2024 Annual General Meeting of Shareholders		Not subject to disclosure under Circular 96/2020/TT-BTC
9	11/2024/NQ-HĐQT-DTG	04/03/2024	BOD's Resolution on Approval of the purchase of raw materials for production (Cefalexin)	100%	Not subject to disclosure under Circular 96/2020/TT-BTC
10	12/2024/NQ-HĐQT-DTG	11/03/2024	BOD's Resolution on Approval of the purchase of raw materials for	100%	Not subject to disclosure under Circular

			production (Metformin (Abhilash))		96/2020/TT- BTC
11	13/2024/NQ-HĐQT-DTG	01/04/2024	BOD's Resolution on approval of the List of Shareholder Eligibility Verification Committee, and the content of the documents submitted to the General Meeting of Shareholders	100%	173/TIPHARCO
12	14/2024/NQ-HĐQT-DTG	02/04/2024	BOD's Resolution on Approval of the purchase of raw materials for production (Amoxicillin Compacted)	100%	Not subject to disclosure under Circular 96/2020/TT- BTC
13	16/2024/NQ-HĐQT-DTG	08/04/2024	BOD's Resolution on 04/04/2024	100%	195/TIPHARCO
14	17/2024/NQ-HĐQT-DTG	22/04/2024	BOD's Resolution on supplementing and updating documents submitted to the General Meeting of Shareholders	100%	348/TIPHARCO
15	18/2024/QĐ-HĐQT-DTG	23/04/2024	BOD's Decision on Amendment and supplement to the Board of Directors' operating regulations (first time)	100%	351/TIPHARCO

16	19/2024/NQ-HĐQT-DTG	24/04/2024	BOD's Resolution on Liquidation of fixed assets	100%	Not subject to disclosure under Circular 96/2020/TT-BTC
17	20/2024/NQ-HĐQT-DTG	06/05/2024	BOD's Resolution on Implement the plan to issue shares to pay 15% dividend in shares in 2023	100%	365/TIPHARCO
18	21/2024/NQ-HĐQT-DTG	06/06/2024	BOD's Resolution on Last registration date to exercise the right to pay 15% dividend in shares in 2023	100%	476/TIPHARCO
19	22/2024/NQ-HĐQT-DTG	14/06/2024	BOD's Resolution on Choosing an Auditing Firm in 2024	100%	530/TIPHARCO
20	23/2024/NQ-HĐQT-DTG	14/06/2024	BOD's Resolution on Report on the results of divesting all investment capital at Tesla Pharmaceutical Joint Stock Company	100%	532/TIPHARCO
21	24/2024/NQ-HĐQT-DTG	28/06/2024	BOD's Resolution on Summary of results of issuing shares to pay 15% dividend in shares in 2023 and plan to handle odd shares	100%	570/TIPHARCO
22	25/2024/NQ-HĐQT-DTG	09/07/2024	BOD's Resolution on approval of	100%	616/TIPHARCO

			registration of increase in charter capital of the Company and amendment and supplement of the Company Charter		
23	26/2024/NQ-HĐQT-DTG	18/09/2024	BOD's Resolution on Termination of branch operations	100%	718/TIPHARCO
24	27/2024/NQ-HĐQT-DTG	19/09/2024	BOD's Resolution on Approval of relation transaction	100%	719/TIPHARCO
25	28/2024/NQ-HĐQT-DTG	01/10/2024	BOD's Resolution on Approval of cooperation in developing the export market for medicines and functional foods and through related transaction	100%	752/TIPHARCO

### III. Board of Supervisors (annual report):

#### 1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Dong Hai Ha	Head of the Supervisory Board	17/03/2023	- Civil Engineer - Master of Construction Management - Bachelor of Laws
2	Tran Thi Bich Nhi	Deputy Head of the Supervisory Board	31/03/2022	Bachelor of Economics
3	Le Van Son	Member	17/03/2023	Bachelor of Laws

## 2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Reasons for absence
1	Dong Hai Ha	2/2	100%	-
2	Tran Thi Bich Nhi	2/2	100%	-
3	Le Van Son	2/2	100%	-

## 3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

In 2024, the Supervisory Board carried out comprehensive oversight of the Board of Directors and the Executive Board, focusing on four key criteria: rationality, legality, transparency, and prudence in managing and operating the business. Key supervisory activities included:

- Reviewing the Company's financial status and financial statements.
- Monitoring all aspects of the Company's operations, including recruitment and personnel appointments, sales, procurement, financial accounting, legal compliance, information disclosure, risk management, investments, subsidiary governance, annual reporting, loans, and debt repayments.
- Closely observing the organization of the General Meeting of Shareholders and the implementation of its resolutions and meeting minutes.
- Supervising the actions of major and minor shareholders involved in Company operations and addressing shareholder proposals related to the Company's and the Group's activities.
- Managing risks arising from the execution of new investment projects.

Through regular oversight and updates from the Board of Directors and the Executive Board, the Supervisory Board has determined that the activities of the Board of Directors and the Executive Board have adhered closely to the strategies approved by the General Meeting of Shareholders. Additionally, operational decisions have been implemented flexibly and aligned with market conditions within legal boundaries.

The Company's financial statements have been found to be accurate, transparent, and fully compliant with legal requirements and internal regulations. Furthermore, the Company has promptly adapted to new state policies, ensuring compliance with the law while balancing the interests of shareholders, investors, and the approved business strategies.

## 4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:

- Members of the Board of Directors and the Executive Board actively support and provide all necessary conditions for the Supervisory Board to effectively fulfill its assigned roles and responsibilities.
- The Supervisory Board is invited to participate in both regular and extraordinary meetings of the Board of Directors and the Executive Board, with opportunities to contribute opinions on matters within its authority.



- Upon requests from the Supervisory Board regarding the provision of information, documentation, or the convening of meetings, the Board of Directors and the Executive Board consistently coordinate closely and provide all necessary and timely information to ensure the Supervisory Board's oversight functions are carried out effectively.

**5. Other activities of the Board of Supervisors (if any): None**

**IV. Board of Management**

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/ dismissal of members of the Board of Management/
1	Le Thanh Tung	21/10/1990	Ph.D.	01/07/2023
2	Ly Thi Xuan Mai	11/01/1992	Bachelor	18/04/2022

**V. Chief Accountant**

Name	Date of birth	Qualification	Date of appointment/ dismissal
Le Thi My Tien	06/07/1978	Bachelor of Economics	01/08/2012

**VI. Training courses on corporate governance: None**

**VII. The list of affiliated persons of the public company (Semi-annual report/annual report) and transactions of affiliated persons of the Company)**

**1. The list of affiliated persons of the Company**

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No. *, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<b>A</b>	<b>Members of the Board of Directors, Executive Board, Chief Accountant</b>								
1	Dang Viet Anh		Chairman of the Board of Directors			31/03/2022	-	The General Meeting of Shareholders appointed	Insider
2	Le Thanh Tung		- Vice Chairman of the Board of Directors - General Manager			17/03/2023	-	The General Meeting of Shareholders appointed	Insider

3	Luu Hoai Nam		Member of the Board of Directors			31/03/2022	-	The General Meeting of Shareholders appointed	Insider
4	Dang Thi Thu Hang		Member of the Board of Directors			31/03/2022	-	The General Meeting of Shareholders appointed	Insider
5	Tran Thi Kieu Tien		<ul style="list-style-type: none"> <li>- Member of the Board of Directors</li> <li>- Persons authorized to disclose information</li> </ul>			31/03/2022	-	The General Meeting of Shareholders appointed	Insider
6	Ly Thi Xuan Mai		<ul style="list-style-type: none"> <li>- Member of the Board of Directors</li> <li>- Deputy General Manager</li> </ul>			31/03/2022	-	The General Meeting of Shareholders appointed	Insider



7	Thai Van Hung		Independent member of the Board of Directors			31/03/2022	-	The General Meeting of Shareholders appointed	Insider
8	Nguyen Quoc Khanh		Independent member of the Board of Directors			17/03/2023	-	The General Meeting of Shareholders appointed	Insider
9	Le Thi My Tien		- Chief Accountant - Person in charge of corporate governance			01/08/2012	-	The General Meeting of Shareholders appointed	Insider
<b>B</b>	<b>Supervisory Board</b>								

1	Dong Hai Ha		Head of the Supervisory Board			17/03/2023	-	The General Meeting of Shareholders appointed	Insider
2	Tran Thi Bich Nhi		Deputy Head of the Supervisory Board			31/03/2022	-	The General Meeting of Shareholders appointed	Insider
3	Le Van Son		Members of the Supervisory Board			17/03/2023	-	The General Meeting of Shareholders appointed	Insider
<b>C</b>	<b>Other relevant subjects</b>								
1	Nguyen Ho Nam		Shareholders owning more than 10% of the voting shares			28/01/2022	-	According to the stock trading results report	Related persons specified at Point b, Clause 46, Article 4 of

									the Law on Securities
2	Nguyen Phuong Hoa		Shareholders owning more than 10% of the voting shares			16/06/2022	-	According to the stock trading results report	Related persons specified at Point b, Clause 46, Article 4 of the Law on Securities
3	TESLA Pharmaceutical Joint Stock Company		Subsidiaries	0317308153 - 24/05/2022 Department of Planning and Investment (DPI) of HCMC	Vian Tower, 26 Street 40, Thao Dien Ward, Thu Duc City, Ho Chi Minh City, Vietnam	24/05/2022	14/06/2024	On June 14, 2024, Tesla will no longer be a subsidiary of the Company	Related persons as prescribed at Point b, Clause 23, Article 4 of the Law on Enterprises
4	Công ty cổ phần Tập đoàn Bamboo Capital		Shareholders owning more than 10% of the voting shares	0311315789 - 7/11/2011 - PDI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc City, Ho Chi	29/07/2022	-	According to the stock trading results report	Related persons specified at Point b, Clause 46, Article 4 of

					Minh City, Vietnam				the Law on Securities
4.1	Tracodi Construction Holdings Joint Stock Company			0300482393 - 25/05/2007 - PDI of HCMC	89 Cach Mang Thang Tam Street, Ben Thanh Ward, District 1, Ho Chi Minh City, Vietnam	29/07/2022		Bamboo Capital has a voting rate of 51.7%	
4.2	Nguyen Hoang Development Joint Stock Company			4100377261 - 08/09/2000 - PDI of Binh Dinh Province	Nhon Hoa Industrial Park, Nhon Hoa Ward, An Nhon Town, Binh Dinh Province, Vietnam	29/07/2022		Subsidiaries of Bamboo Capital	
4.3	BCG Land Joint Stock Company			0314922132 - 15/03/2018 - PDI of HCMC	22A Street No. 7, Ward. An Phu, Thu Duc City, Ho Chi Minh City	29/07/2022		Subsidiaries of Bamboo Capital	
4.4	BCG Energy Joint Stock Company			0314445458 - 08/06/2017 - PDI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc	29/07/2022		Bamboo Capital has a voting rate of 51.4%	

					City, Ho Chi Minh City			
4.5	Cat Trinh Industrial Area BCG Joint Stock Company			4101566790 - 01/07/2020 - PDI of Binh Dinh Province	Phong An Village, Cat Trinh Commune, Phu Cat District, Binh Dinh Province, Vietnam	29/07/2022		Subsidiaries of Bamboo Capital
4.6	BCG Financial Joint Stock Company			0316951788 - 18/08/2021 - PDI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc City, Ho Chi Minh City, Vietnam	29/07/2022		Subsidiaries of Bamboo Capital
4.7	AAA Insurance Corporation			30GP/KDBH - 28/02/2005 - Ministry of Finance (MOF)	10-12 Street No. 52, Thanh My Loi Ward, Thu Duc City, Ho Chi Minh City	29/07/2022		Subsidiaries of Bamboo Capital
4.8	Phoenix Mountain Ltd			4101605111 - 20/10/2021 - PDI of Binh Dinh Province	National Highway 1D, Area 2, Ghenh Rang Ward, Quy Nhon City,	29/07/2022		Subsidiaries of Bamboo Capital



					Binh Dinh Province, Vietnam				
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**2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.**

No.	Name of organization/individual	Relationship with the Company	NSH No. *, date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction
1	Tran Thi Kieu Tien	Member of the Board of Directors			Transaction completed in 06/2024	Resolution No. 06/2024/NQ-HĐQT-DTG of the Board of Directors issued on January 30, 2024. Resolution No. 23/2024/NQ-HĐQT-DTG of the Board of Directors issued on June 14, 2024.	The acquisition of the Company's investment capital in Tesla Pharmaceutical Joint Stock Company – VND 3.3 billion.

**3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None**

**4. Transactions between the Company and other objects**

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

STT	Tên tổ chức	Mối quan hệ liên quan với công ty	Số Giấy NSH*, ngày cấp, nơi cấp	Địa chỉ trụ sở chính	Thời điểm giao dịch với công ty	Cơ sở thực hiện	Nội dung, số lượng, tổng giá trị giao dịch
1	Magnolia Investment Corporation	Relevant organizations of members of the Board of Directors. Major shareholders own more than 5% of the voting shares.	0316713198 - 8/2/2021 - DPI of HCMC	25/10 Street No. 3, Quarter 2, An Khanh Ward, Thu Duc City, Ho Chi Minh City, Vietnam	Monthly implementation in 2024	Resolution No. 89/2022/NQ-HĐQT-DTG of the Board of Directors issued on December 21, 2022. Resolution No. 03/2024/NQ-ĐHĐCĐ-DTG of the General Meeting of Shareholders issued on 23/04/2024	Total office rental cost: 1,963,776,000 VND (excluding tax). Deposit cost according to the contract: 305,145,000 VND.
2	AAA Insurance Corporation	Relevant organizations of members of the Board of Directors	30GP/KDBH - 28/02/2005 - MOF	10-12 Street No. 52, Thanh My Loi Ward, Thu Duc City, Ho Chi Minh City	Implemented in 2024 (depending on the time the insurance expires)	Resolution No. 01.1/2024/NQ-HĐQT-DTG of the Board of Directors issued on January 11, 2024. Resolution No. 03/2024/NQ-ĐHĐCĐ-DTG of the General Meeting of Shareholders issued on 23/04/2024	Total cost of insurance for factories, points of sale and means of transport: 344,238,826 VND (excluding tax).

1.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO):  
None

1.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers.

STT	Tên tổ chức	Mối quan hệ liên quan với công ty	Số Giấy NSH*, ngày cấp, nơi cấp	Địa chỉ trụ sở chính	Thời điểm giao dịch với công ty	Cơ sở thực hiện	Nội dung, số lượng, tổng giá trị giao dịch
1	Tam Sinh Nghĩa Investment Development Joint Stock Company	As of 30/06/2024, Tam Sinh Nghĩa Company is a direct associate company of BCG Energy Company (where Mr. Le Thanh Tung is a member of the Board of Directors and Mr. Dong Hai Ha is the head of the Supervisory Board) (*)	0304374871 – 22/05/2006 – DPI of HCMC	No. 2 Inter-Zone 1-6 Street, Quarter 6, Binh Tri Dong Ward, Binh Tan District, Ho Chi Minh City, Vietnam	12/2024	Resolution No. 27/2024/NQ-HĐQT-DTG of the Board of Directors issued on September 19, 2024.	Selling products according to Tam Sinh Nghĩa's order, total value: 136,220,908 VND (excluding tax).

(\*): Based on information in BCG Energy's Reviewed Financial Statements for the first 6 months of 2024.

VIII. Share transactions of internal persons and their affiliated persons (annual report)

1. The list of internal persons and their affiliated persons

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>A. Thành viên Hội đồng quản trị (HĐQT), Ban Giám đốc và Kế toán trưởng</b>										
1	Dang Viet Anh		Chairman of the Board of Directors					0	0,00%	
1.1	Tran Thi Thai							0	0,00%	Mother
1.2	Le Thi Sang							0	0,00%	Wife
1.3	Dang Thi Thu Hang		Member of Board of Directors					2.038.568	24,41%	Sister
1.4	Dang Hoang Minh Anh							0	0,00%	Child (Reason for not having an ID: Immature)
1.5	Dang Ngoc Nam Phuong							0	0,00%	Child (Reason for not having

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
										an ID: Immature)
1.6	Dang Thai Anh							0	0,00%	Child (Reason for not having an ID: Immature)
1.7	Son La Sugar Joint Stock Company			5500155321	20/02/2008	DPI of Son La Province	Km 34 National Highway 6 Son La - Hanoi, Hat Lot Town, Mai Son District, Son La Province, Vietnam	0	0,00%	Chairman of the Board of Directors
1.8	Kon Tum Sugar Joint Stock Company			6100228104	27/10/2006	DPI of Kon Tum Province	Km2, Vinh Quang Commune, Kon Tum City, Kon Tum Province	0	0,00%	Chairman of the Board of Directors
2	Dang Thi Thu Hang		Member of Board of Directors					2.038.568	24,41%	
2.1	Tran Thi Thai							0	0,00%	Mother



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.2	Dang Viet Anh		Chairman of the Board of Directors					0	0,00%	Younger sibling
2.3	Le Thi Sang							0	0,00%	Sister-in-law
2.4	Kon Tum Sugar Joint Stock Company			6100228104	27/10/2006	DPI of Kon Tum Province	Km2, Vinh Quang Commune, Kon Tum City, Kon Tum Province	0	0,00%	General Manager
2.5	Nam Phuong Ha Tien Co., Ltd.			1702057256	05/08/2016	DPI of Kiên Giang Province	No. 34 Suzhou Street, Quarter 1, Dong Ho Ward, Ha Tien City, Kien Giang Province, Vietnam	0	0,00%	President
2.6	Tra Vinh Sugar Joint Stock Company			2100612472	08/10/2016	DPI of Tra Vinh Province	Cho Hamlet, Luu Nghiep Anh Commune, Tra Cu District, Tra Vinh Province, Vietnam	0	0,00%	Chairwoman of the Board of Directors

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3	Thai Van Hung		Member of Board of Directors					0	0,00%	
3.2	Nguyen Thi An							0	0,00%	Mother
3.3	Thai Thu Thuy							0	0,00%	Sister
3.4	Thai Kim Oanh							0	0,00%	Sister
3.5	Thai Van Dung							0	0,00%	Brother
3.6	Thai Kim Yen							0	0,00%	Sister

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.7	Ton Nu Diem Tu							0	0,00%	Wife
3.8	Thai Ton Bao Ngoc							0	0,00%	Child
3.9	Tuy Hoa Sugar Joint Stock Company			4400118317	05/04/2006	DPI of Tuy Hoa Province	Luong Phuoc Village, Hoa Phu Commune, Tay Hoa District, Phu Yen Province, Vietnam	0	0,00%	Vice Chairman of the Board of Directors Deputy Director
3.10	Son La Sugar Joint Stock Company			5500155321	20/02/2008	DPI of Son La Province	Km 34 National Highway 6 Son La - Hanoi, Hat Lot Town, Mai Son District, Son La Province, Vietnam	0	0,00%	Board of Directors TV
3.11	Kon Tum Sugar Joint Stock Company			6100228104	27/10/2006	DPI of Kon Tum Province	Km 2, Kon Ro Bang Village, Vinh Quang Commune, Kon Tum City, Kon Tum Province, Vietnam	0	0,00%	Board of Directors TV



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4	Luu Hoai Nam		Member of Board of Directors					240.120	3,30%	
4.1	Nguyen Thi Thuy							0	0,00%	Mother
4.2	Nguyen Thi Truc Nu							84.525	1,16%	Wife
4.3	Luu Thi Hanh							0	0,00%	Sister
4.4	Bui Minh Truong							0	0,00%	Brother-in-law
4.5	Nguyen Tan Sang							0	0,00%	Father-in-law
4.6	Le Thi Tuyet Lan							0	0,00%	Mother-in-law
5	Ly Thi Xuan Mai		Member of Board of Directors,					0	0,00%	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
			<b>Deputy General Manager</b>							
5.1	Nguyen Mai Thach Thao							0	0,00%	Child (Reason for not having an ID: Immature)
5.2	Ly Viet Hoang							0	0,00%	Father
5.3	Pham Thi Minh Loan							0	0,00%	Mother
5.4	Ly Ba Dat							0	0,00%	Brother
5.5	Ly Ba Phuoc							0	0,00%	Brother

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Tran Thi Kieu Tien		Member of Board of Directors, Person authorized to disclose information					0	0%	
6.1	Tran Phuong Hung							0	0%	Father (Divorced)
6.2	Nguyen Thi Thanh Phuong							0	0%	Mother
6.3	Thai Tuong Linh							0	0%	Husband
6.4	Thai Tran Tuong Lam							0	0%	Child (Reason for not having an ID: Immature)
6.5	Thai Tran Tuan Minh							0	0%	Child (Reason for not having an ID: Immature)
6.6	Magnolia Investment	069C128328 at SHS		0316713198	08/02/2021	DPI of HCMC	No. 25/10 Street No. 3, Quarter 2,	249.870	3,44%	General Manager

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Joint Stock Company						Ward. An Khanh, Thu Duc City, Ho Chi Minh City, Vietnam			
6.7	Southern Ecotourism Joint Stock Company			5800081007	09/08/2007	DPI of Lam Dong Province	No. 45 Mac Dinh Chi Street, Ward 4, Da Lat City, Lam Dong Province, Vietnam	0	0%	Independent Member of the Board of Directors
6.8	Tesla Pharmaceutical Joint Stock Company			0317308153	24/05/2022	DPI of HCMC	26 Street 40, Thao Dien Ward, Thu Duc City, Ho Chi Minh City, Vietnam	0	0%	Chairwoman of the Board of Directors
6.9	An Giang Construction Materials Mining and Processing Joint Venture Co., Ltd.			1600175162	20/09/1994	DPI of An Giang Province	Ro Leng Hamlet, Chau Lang Commune, Tri Ton District, Anh Giang Province, Vietnam	0	0%	Deputy General Manager, Chairwoman of the Board of Directors
6.10	HTC Holding Joint Stock Company			0104230142	28/10/2009	DPI of Ha Noi City	No. 4, 4th Floor, DaeHa Building, 360 Kim Ma, Ngoc Khanh Ward, Ba Dinh District, Hanoi, Vietnam	0	0,00%	General Manager, Legal Representative

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.10	BCG Eco Joint Stock Company			0318671899	16/09/2024	DPI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc City, Ho Chi Minh City, Vietnam	0	0,00%	General Manager, Legal Representative
7	Le Thanh Tung		Vice Chairman of the Board of Directors, General Manager					0	0%	
7.1	Le Dinh Quy							0	0%	Father
7.2	Cao Thi Thanh							0	0%	Mother
7.3	Le Tuan Anh							0	0%	Brother
7.4	Nguyen Thi Thuy Duong							0	0,00%	Sister-in-law
7.5	BCG Energy Joint Stock Company			0314445458	08/06/2017	DPI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu	0	0%	Independent Member of the Board of Directors

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
							Duc City, Ho Chi Minh City			
7.6	AAA Insurance Corporation			30GP/KDBH	28/02/2005	MOF	10-12 Street No. 52, Thanh My Loi Ward, Thu Duc City, Ho Chi Minh City	0	0%	Member of the Supervisory Board
7.7	Tracodi Construction Group Joint Stock Company			0300482393	25/05/2007	DPI of HCMC	89 Cach Mang Thang Tam Street, Ben Thanh Ward, District 1, Ho Chi Minh City, Vietnam	0	0%	Board Member
7.8	BCG Land Joint Stock Company			0314922132	15/03/2018	DPI of HCMC	22A Street No. 7, Ward. An Phu, Thu Duc City, Ho Chi Minh City	0	0,00%	Board Member
8	Nguyen Quoc Khanh		<b>Independent Member of the Board of Directors</b>					0	0,00%	
8.1	Cao Kieu Linh							0	0,00%	Wife

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8.2	Nguyen Trong Phuc							0	0,00%	Child
8.3	Le Pham Lan Anh							0	0,00%	Daughter-in-law
8.4	Nguyen Dai Phuc							0	0,00%	Child
8.5	Doan Nguyen Nhat Anh							0	0,00%	Daughter-in-law
8.6	Nguyen Quoc Anh (Anh)							0	0,00%	Younger sibling

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8.7	Nguyen Quoc Anh (Ánh)							0	0,00%	Younger sibling
8.8	Nguyen Quang Quy							0	0,00%	Younger sibling
8.9	Nguyen Pham Anh Thu							0	0,00%	Younger sibling
8.10	Nguyen Quoc Han							0	0,00%	Younger sibling
8.11	Vu Thi Hanh Doan							0	0,00%	Sister-in-law
8.12	Vietnam Dairy Joint Stock Company			0300588569	20/11/2003	DPI of HCMC	No. 10, Tan Thao Street, Tan Phu Ward, District 7, Ho Chi Minh City	0	0%	Managing Director - Research and Development



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8.13	Driftwood Dairy Holding Corporation			201600140	30/08/2019	Ministry of Planning and Investment	10724 Lower Azusa Rd, El Monte, CA 91731, United States	0	0%	Independent Member of the Board of Directors
8.14	A Chau Coconut Processing Joint Stock Company			1300975859	19/12/2014	DPI of Ben Tre Province	Lot EI-2, EI-3, EI-4, Giao Long Industrial Park, Phase II, An Phuoc Commune, Chau Thanh District, Ben Tre	0	0%	Member of the Board of Directors
8.15	Binh Thanh Import-Export Production Joint Stock Company			0302181666	29/12/2000	DPI of HCMC	334A Phan Van Tri Street, Ward 11, Binh Thanh District, Ho Chi Minh City, Vietnam	0	0%	Independent Member of the Board of Directors
8.16	Bamboo Capital Group Joint Stock Company			0311315789	07/11/2011	DPI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc City, Ho Chi Minh City, Vietnam	1.754.957	21,01%	Independent Member of the Board of Directors
8.17	TAPIOTEK Joint Stock Company			3901277274	30/01/2019	DPI of Tay Ninh Province	Group 7, Quarter 4, Chau Thanh Town, Chau Thanh District,	0	0,00%	Vice Chairman of the Board of Directors



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
							Tay Ninh Province			
9	Le Thi My Tien		Chief Accountant, In charge of Corporate Administration					503	0,01%	
9.1	Le Van Be							0	0%	Father
9.2	Nguyen Thi Moi							0	0%	Mother
9.4	Nguyen Thi Loi							0	0%	Mother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.5	Phan Doan Quoc							0	0%	Husband
9.6	Phan Le Diem Quynh							0	0%	Child
9.7	Phan Khanh Quoc							0	0%	Child (Reason for not having an ID: Immature)
9.8	Le Thi Hong Tham							0	0%	Younger siblings
9.9	Nguyen Van Cao Tri							0	0%	Brother-in-law
<b>B.</b>	<b>Thành viên Ban kiểm soát</b>									
1	Dong Hai Ha		Head of the Supervisory Board					0	0,00%	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.1	Dong Xuan Day							0	0,00%	Father
1.2	Do Thi In							0	0,00%	Mother
1.3	Lu Thi Thanh Binh							0	0,00%	Wife
1.4	Tran Ngoc Diep							0	0,00%	Child
1.4	Dong Lu Anh Khue							0	0,00%	Child
1.5	Dong Hai Nam							0	0,00%	Younger sibling

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.6	Dong Thi Xuan Nga							0	0,00%	Younger sibling
1.7	Bamboo Capital Group Joint Stock Company			0311315789	07/11/2011	DPI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc City, Ho Chi Minh City.	1.754.957	21,01%	Head of the Supervisory Board
1.8	BCG Energy Joint Stock Company			0314445458	08/06/2017	DPI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc City, Ho Chi Minh City	0	0,00%	Head of the Supervisory Board
1.9	BCG Khai Long 1 Wind Power Joint Stock Company			2001279358	17/11/2017	DPI of Ca Mau Province	Khai Long Hamlet, Dat Mui Commune, Ngoc Hien District, Ca Mau Province, Vietnam	0	0,00%	Deputy Chairman of the Board of Directors
1.10	BCG Khai Long 2 Wind Power Joint			2001290802	12/04/2018	DPI of Ca Mau Province	Khai Long Hamlet, Dat Mui Commune, Ngoc	0	0,00%	Deputy Chairman of

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Stock Company						Hien District, Ca Mau Province, Vietnam			the Board of Directors
1.11	BCG Khai Long 3 Wind Power Joint Stock Company			2001348989	18/05/2021	DPI of Ca Mau Province	Khai Long Hamlet, Dat Mui Commune, Ngoc Hien District, Ca Mau Province, Vietnam	0	0,00%	Deputy Chairman of the Board of Directors
1.12	Dong Thanh 1 Wind Power Company Limited			2100661818	03/11/2020	DPI of Tra Vinh Province	No. 207, Gieng Gieng Hamlet, Dan Thanh Commune, Duyen Hai Town, Tra Vinh Province, Vietnam	0	0,00%	Member of the Board of Directors
1.13	Dong Thanh 2 Wind Power Co., Ltd.			2100661825	03/11/2020	DPI of Tra Vinh Province	No. 207, Gieng Gieng Hamlet, Dan Thanh Commune, Duyen Hai Town, Tra Vinh Province, Vietnam	0	0,00%	Member of the Board of Directors
1.14	BCG Wind Soc Trang Joint Stock Company			2200743415	02/02/2018	DPI of Soc Trang Province	Alley 1, TL934 Street, Hoa My Hamlet, My Xuyen Town, My	0	0,00%	Member of the Board of Directors

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
							Xuyen District, Soc Trang Province			
1.15	Aurai Wind Energy Joint Stock Company			0316363987	02/07/2020	DPI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc City, Ho Chi Minh City	0	0,00%	Member of the Board of Directors
1.16	AAA Insurance Corporation			30GP/KDBH	28/02/2018	MOF	10-12 Street No. 52, Thanh My Loi Ward, Thu Duc City, Ho Chi Minh City	0	0,00%	Head of the Supervisory Board
1.17	BCG Land Joint Stock Company			0314922132	15/03/2018	DPI of HCMC	22A Street No. 7, Ward. An Phu, Thu Duc City, Ho Chi Minh City	0	0,00%	Head of the Supervisory Board
1.18	Nguyen Hoang Development Joint Stock Company			4100377261	09/08/2000	DPI of Binh Dinh Province	Nhon Hoa Industrial Park, Nhon Hoa Ward, An Nhon Town, Binh Dinh Province.	0	0,00%	Head of the Supervisory Board
1.19	Tracodi E&C Co., Ltd.			0317828674	11/05/2023	DPI of HCMC	25 Xuan Thuy Street, Thao Dien Ward, Thu Duc City, Ho Chi	0	0,00%	Head of the Supervisory Board

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
							Minh City, Vietnam			
2	Tran Thi Bich Nhi		Deputy Head of the Supervisory Board					0	0,00%	
2.1	Quoc Ho Dinh Tuan							0	0,00%	Husband
2.2	Quoc Thi Bich Ngoc							0	0,00%	Child
2.3	Quoc Yen Chi							0	0,00%	Child
2.4	Tran Thanh Binh							0	0,00%	Younger sibling
2.5	Tran Duy Thanh							0	0,00%	Younger sibling



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.6	Kon Tum Sugar Joint Stock Company							0	0,00%	Head of the Supervisory Board
2.7	Son La Sugar Joint Stock Company							0	0,00%	Member of the Board of Directors
3	Le Van Son		Member of the Supervisory Board					0	0,00%	
3.1	Le Thi Thao							0	0,00%	Wife
3.2	Le Bich Thuan							0	0,00%	Child

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.3	Le Manh Dat							0	0,00%	Child
3.4	Le Thi Bich							0	0,00%	Sister
3.5	Le Thi Thi							0	0,00%	Younger sibling
3.6	Le Thi Thu							0	0,00%	Younger sibling
3.7	Le Thi Du							0	0,00%	Younger sibling
3.8	Le Minh Hoai							0	0,00%	Younger sibling

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
							----- ----- -----			
3.9	Vietnam Taxi Company			0301465425	24/10/2008	DPI of HCMC	Lot IV - 15B, Street No. 4, Tan Binh Industrial Park, Tay Thanh Ward, Tan Phu District, Ho Chi Minh City, Vietnam	0	0,00%	President
3.10	Truong Thanh Dai Nam Security Service Co., Ltd.			0312727337	07/04/2014	DPI of HCMC	89 August Revolution, Ben Thanh Ward, District 1, Ho Chi Minh City, Vietnam	0	0,00%	Independent member of the board of members
3.11	BCG Foundation Joint Stock Company			0316564443	29/10/2020	DPI of HCMC	27C Quoc Huong, Thao Dien Ward, Thu Duc City, Ho Chi Minh City, Vietnam			Member of the Board of Directors

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<b>C. Person authorized to disclose information</b>										
1	Tran Thi Kieu Tien		Member of Board of Directors, Person authorized to disclose information					0	0%	
<b>D. In charge of Corporate Administration</b>										
1	Le Thi My Tien		Chief Accountant, In charge of Corporate Administration					503	0,01%	

## 2. Transactions of internal persons and affiliated persons with shares of the Company

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	

1	Luu Hoai Nam	Member of the Board of Directors	248.420	3,42%	120.583	1,66%	Portfolio restructuring and dividend receipt
2	Magnolia Investment Joint Stock Company	Organizations related to Members of the Board of Directors	249.870	3,44%	374.870	5,16%	Investing and receiving dividends
3	Le Thanh Tung	- Vice Chairman of the Board of Directors - General Manager	0	0	14.375	0,20%	Investing and receiving dividends
4	Ly Thi Xuan Mai	- Member of the Board of Directors - Deputy General Manager	0	0	14.375	0,20%	Investing and receiving dividends

**IX. Other significant issues:** None.

**Recipients:**

- SSC, HNX
- Archived: BOD, IR

**CHAIRMAN OF THE BOARD OF DIRECTORS**



**DANG VIET ANH**

