

**MST INVESTMENT JOINT STOCK COMPANY**

**No. 2, Alley 159 Tay Son Street, Phung Town, Dan Phuong District, Hanoi City, Vietnam**

**Tax Identification Number: 0103983390**

**REPORT ON  
CORPORATE GOVERNANCE  
(Year 2024)**



**REPORT ON CORPORATE GOVERNANCE**  
**(Year 2024)**

**To: - State Securities Commission of Vietnam;**  
**- Hanoi Stock Exchange.**

- **Name of Company:** MST INVESTMENT JOINT STOCK COMPANY
- **Head Office Address:** No. 2, Alley 159 Tay Son Street, Phung Town, Dan Phuong District, Hanoi City, Vietnam.
- **Phone:** 024 66744305      **Fax:** 024 35553592      **Email:** [mst.investmentjsc@gmail.com](mailto:mst.investmentjsc@gmail.com)
- **Charter Capital:** VND 760.043.010.000
- **Stock Code:** MST
- **Corporate Governance Model:** General Meeting of Shareholders, Board of Directors, Supervisory Board, and Chief Executive Officer.
- **Implementation of Internal Audit Function:** Not yet implemented.

**I. Activities of the General Meeting of Shareholders**

**Information on meetings and resolutions/decisions of the General Meeting of Shareholders (including resolutions passed through written consultation):**

In 2024, two General Meetings of Shareholders (GMS) were held:

1. Extraordinary General Meeting of Shareholders 2024 on February 22, 2024.
2. Annual General Meeting of Shareholders 2024 on April 26, 2024.

No.	Resolution/Decision Numbers	Date	Content
01	01/2024/NQ-ĐHĐCĐ	22/02/2024	<b>Approval of the following proposals:</b> <ul style="list-style-type: none"> <li>- Proposal for the approval of the plan to issue private placement shares to professional securities investors.</li> <li>- Proposal for the approval of changing the company's head office address.</li> <li>- Proposal for the approval of amendments and supplements to the company's Charter.</li> </ul>
02	02/2024/NQ-ĐHĐCĐ	26/4/2024	<b>Approval of reports and proposals:</b> <ul style="list-style-type: none"> <li>- Report on the activities of the Board of Directors in 2023 and the activity plan for 2024.</li> <li>- Report on the activities of the Supervisory Board in 2023 and the activity plan for 2024.</li> <li>- Report by the Chief Executive Officer on the business performance in 2023 and the business plan for 2024.</li> <li>- Proposal for the approval of the audited financial statements for 2023.</li> <li>- Proposal for the approval of the selection of an auditing firm for the financial statements of 2024.</li> <li>- Proposal for the approval of remuneration and operational expenses for members of the Board of Directors and Supervisory Board.</li> <li>- Proposal for the approval of transactions between the company and related parties.</li> <li>- Proposal for authorizing the Board of Directors to decide on certain matters within the authority of the General Meeting of Shareholders.</li> <li>- Proposal for the approval of the profit distribution plan for 2023.</li> <li>- Proposal for the amendment of detailed business lines of the company.</li> </ul>



			<ul style="list-style-type: none"> <li>- Proposal for the continuation of the implementation of the private placement share issuance plan to professional securities investors, as approved at the Extraordinary General Meeting of Shareholders in 2024.</li> <li>- Proposal for the dismissal and election of additional members to the Supervisory Board for the 2024-2029 term.</li> <li>- Proposal for the approval of investment cooperation/acquisition of capital contributions for the Greenhill Village Quy Nhon Resort Project and the borrowing plan/private bond issuance plan for 2024.</li> </ul>
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## II. Board of Directors (annual report)

### 1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
01	Mr.Nguyen Huy Quang	Chairman	28/12/2020	
02	Mr.Hoang Van Minh	Member	06/5/2020	
03	Mr.Trinh Van Hung	Member	28/4/2022	
04	Mr.Phan Duy Dung	Member	06/5/2020	
05	Mr.Nguyen Quang Nguyen	Member	26/5/2023	



## 2. Meetings of the Board of Directors:

No.	Board of Directors' members	Position	Number of meetings attended by BOD	Attendance rate	Reasons for absence
01	Mr.Nguyen Huy Quang	Chairman	32/32	100	
02	Mr.Hoang Van Minh	Member	32/32	100	
03	Mr.Trinh Van Hung	Member	32/32	100	
04	Mr.Phan Duy Dung	Member	32/32	100	
05	Mr.Nguyen Quang Nguyen	Member	32/32	100	

## 3. Supervising the Board of Management by the Board of Directors:

Pursuant to the Company's Charter, the Board of Directors (BOD) regularly monitors the management activities of the Chief Executive Officer (CEO) to ensure compliance with and alignment with the resolutions of the 2024 Annual General Meeting of Shareholders and the resolutions issued by the Board of Directors in 2024. Accordingly, the BOD has directed and supervised the following matters:

- Completion, submission, and disclosure of the audited financial statements for 2023; timely disclosure of the 2023 Annual Report, 2023 Corporate Governance Report, Q4 2023 Financial Statements, and Q1, Q2, Q3, and Q4 Financial Statements for 2024.
- Presiding over, directing, and successfully organizing the Extraordinary General Meeting of Shareholders on February 22, 2024, and the Annual General Meeting of Shareholders on April 26, 2024.
- Urging and monitoring the progress of implementation and completion of the 2024 business plan.



- Executing investment and construction projects to ensure they exceed or meet progress, effectiveness, and cost-saving goals while avoiding wastefulness.
- Researching and implementing new potential projects.
- Supervising and directing the disclosure of information to ensure transparency, timeliness, and compliance with regulations.
- Overseeing the activities of the CEO to enhance business efficiency and achieve the set targets in the business plan.

**4. Activities of Subcommittees under the Board of Directors:**

The company does not have any subcommittees under the Board of Directors.

**5. Resolutions/Decisions of the Board of Directors (Year 2024):**

No.	Resolution/Decision No.	Date	Content	Approval rate
01	01/2024/NQ-HĐQT	28/2/2024	Resolution on the implementation of the private placement share issuance plan for professional securities investors as per the list approved by the 2024 Extraordinary General Meeting of Shareholders and approval of the registration documents for the private placement.	100
02	02/2024/NQ-HĐQT	05/3/2024	Resolution on finalizing the list of shareholders to organize the 2024 Annual General Meeting of Shareholders.	100
03	03/2024/NQ-HĐQT	28/3/2024	Resolution on the approval of the company's separate financial statements for 2023.	100
04	04/2024/NQ-HĐQT	04/4/2024	Resolution on the approval of documents to be submitted to the 2024 Annual General Meeting of Shareholders.	100
05	05/2024/NQ-HĐQT	08/4/2024	Resolution on adjusting the list of selected professional securities investors for the private placement share issuance and approving the plan for using the proceeds from the private placement.	100



06	06/2024/NQ-HĐQT	10/4/2024	Resolution on approving adjustments to investment project details as per Investment Policy Decision No. 1768/QĐ-UBND dated October 30, 2023.	100
07	07A/2024/NQ-HĐQT	22/4/2024	Resolution on the approval of terminating the investment cooperation contract for the ANBINH RIVERSIDE Residential Project with An Binh Long Xuyen Investment Cooperation Co., Ltd.	100
08	07/2024/NQ-HĐQT	23/4/2024	Resolution on credit approval at Military Commercial Joint Stock Bank - My Dinh Branch.	100
09	07B/2024/NQ-HĐQT	20/6/2024	Resolution on the policy of continued capital investment in Huy Duong Group Joint Stock Company.	100
10	08A/2024/NQ-HĐQT	28/6/2024	Resolution on the approval of investment policy in corporate bond trading	100
11	08/2024/NQ-HĐQT	02/7/2024	Resolution on selecting the auditor for the semi-annual financial statement review and the 2024 financial statement audit.	100
12	09/2024/NQ-HĐQT	18/7/2024	Resolution on temporarily suspending the implementation of the private placement share issuance plan for professional securities investors.	100
13	10/2024/NQ-HĐQT	22/7/2024	Resolution on executing credit approval at Military Commercial Joint Stock Bank - My Dinh Branch.	100
14	11/2024/NQ-HĐQT	01/8/2024	Resolution on appointing the Deputy Chief Executive Officer.	100
15	12/2024/NQ-HĐQT	07/8/2024	Resolution on issuing the Information Disclosure Regulations.	100
16	13/2024/NQ-HĐQT	15/8/2024	Resolution on utilizing the company's credit facilities at Tien Phong Commercial Joint Stock Bank.	100



17	14/2024/NQ-HĐQT	20/8/2024	Resolution on opening a securities trading account for the company.	100
18	15/2024/NQ-HĐQT	04/9/2024	Resolution on approving the policy to divest part of the company's capital contribution in Hung Yen Petroleum Joint Stock Company.	100
19	16/2024/NQ-HĐQT	5/9/2024	Resolution on approving the policy to fully divest the company's capital contribution in Hung Yen Petroleum Joint Stock Company.	100
20	17/2024/NQ-HĐQT	23/9/2024	Resolution on utilizing the company's credit facilities at Tien Phong Commercial Joint Stock Bank.	100
21	18/2024/NQ-HĐQT	25/10/2024	Resolution on borrowing funds, issuing guarantees, letters of credit (L/C), and utilizing banking services.	100
22	19/2024/NQ-HĐQT	06/11/2024	Resolution on implementing the signing of the "EPC General Contractor Agreement for the supply of materials and equipment and construction of the Greenhill Village Quy Nhon Resort Project at the location: Song Cau, Ghenh Rang Ward, Quy Nhon City, Binh Dinh Province.	100
23	20/2024/NQ-HĐQT	07/11/2024	Resolution on approving the policy to sign an investment cooperation contract to execute the Greenhill Village Quy Nhon Resort Project at the location: Song Cau, Ghenh Rang Ward, Quy Nhon City, Binh Dinh Province.	100
24	21/2024/NQ-HĐQT	07/11/2024	Resolution on using the assets of MST Investment JSC as collateral for bond obligations issued by Huy Duong Group JSC, as proposed by Huy Duong Group JSC.	100
25	22/2024/NQ-HĐQT	20/11/2024	Resolution on participating in asset auctions at the Ho Chi Minh City Asset Auction Service Center.	100



26	23/2024/NQ-HĐQT	21/11/2024	Resolution on the need to utilize the company's credit facilities at Tien Phong Commercial Joint Stock Bank.	100
27	24/2024/NQ-HĐQT	29/11/2024	Resolution on providing guarantees for debt obligations and using the company's assets to secure obligations at Ho Chi Minh City Development Commercial Bank (HDBank).	100
28	25/2024/NQ-HĐQT	02/12/2024	Resolution on approving investment cooperation for the "Urban Residential, Commercial and Service Project in Village 17,18, Him Lam Ward, Dien Bien Phu City" between MST Investment JSC, VINA2 Construction and Investment JSC, and Dat Bac Group.	100
29	26/2024/NQ-HĐQT	04/12/2024	Resolution on approving the policy to fully divest the company's capital contribution in PQ Tin Viet JSC.	100
30	27/2024/NQ-HĐQT	16/12/2024	Resolution on approving the signing of contracts between MST Investment JSC and partners to carry out the supply of materials, equipment, and construction for the Greenhill Village Quy Nhon Resort Project at Song Cau, Ghenh Rang Ward, Quy Nhon City, Binh Dinh Province.	100
31	28/2024/NQ-HĐQT	16/12/2024	Resolution on seeking a partner to transfer the company's entire capital contribution in MST Investment JSC to Infrastructure Construction and Transport JSC.	100
32	29/2024/NQ-HĐQT	18/12/2024	Resolution on payment of remuneration for the Board of Directors and Supervisory Board members for 2023.	100



### III. Board of Supervisors (annual report)):

#### 1. Information about members of Board of Supervisors :

No.	Members of Board of Supervisors	Position	the date becoming/ceasing to be the member of the Board of Supervisors	Qualification	Note
1	Mrs.Phan Thi Lan	Head of the Supervisory Board	Resignation on April 26, 2024	Bachelor of Accounting	
2	Mrs.Vu Thi Thuy	Member	Appointment on April 28, 2022	Bachelor of Accounting	
3	Mrs.Nguyen Thi Thuy	Member	Appointment on April 28, 2022	Bachelor of Accounting	
4	Mrs.Nguyen Minh Huyen	Member	Appointment on April 26, 2024	Bachelor of Accounting	Appointment of the Head of the Supervisory Board on May 3, 2024.

#### 2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mrs.Phan Thi Lan	0	0	0	Resignation on April 26, 2024
2	Mrs.Vu Thi Thuy	01	100	3/3	
3	Mrs.Nguyen Thi Thuy	01	100	3/3	
4	Mrs.Nguyen Minh Huyen	01	100	3/3	Appointment on April 26, 2024



- On May 2, 2024, the Supervisory Board held a meeting and elected Mrs. Nguyen Minh Huyen as the Head of the Supervisory Board of the Company for the term 2024-2029.

**3. Supervisory Activities of the Supervisory Board on the Board of Directors ,CEO, and Shareholders:**

Within the scope of responsibilities, functions, and powers as stipulated in the Company's Charter, in compliance with the provisions of the Enterprise Law, regulations on listed companies, corporate governance regulations, in 2024, the Supervisory Board carried out the following activities:

- The Supervisory Board monitored and reviewed the financial situation and business activities of the Company for 2024.
- It supervised and evaluated the execution of the rights and obligations of the Board of Directors (HĐQT), the CEO, and other management personnel.
- It verified the Company's financial reports to assess the truthfulness and reasonableness of the financial data, in accordance with the accounting standards, regimes, and financial policies of Vietnam.
- It monitored the implementation of large investment projects, purchase and sale transactions, and other major business activities of the Company.
- The Supervisory Board received and responded to feedback from the Company's shareholders.
- The Supervisory Board provided opinions to the Board of Directors on the selection of an auditing firm to conduct the review of the semi-annual financial statements and the annual financial statements.

**4. Coordination between the Supervisory Board and the Board of Directors, CEO, and other management personnel:**

There was regular internal communication as well as coordination with the Board of Directors and CEO to ensure that the Company's operations were aligned with the interests of shareholders.

**5. Other activities of the Supervisory Board (if any): None.**

**IV. Board of Management**

No.	Members of Board of Management	Postion	Date of birth	Qualification	Date of appointment/ dismissal of members
1	Mr.Phan Duy Dung	Chief Executive Officer (CEO)	29/06/1981	Road Engineering Engineer	Appointment on May 13, 2020
2	Mr.Nguyen Dang Kien	Deputy Chief Executive Officer (Deputy CEO)	19/12/1995	Bachelor of Accounting	Appointment on August 1, 2024



**V. Chief Accountant**

No.	Name	Postion	Date of birth	Qualification	Date of appointment/ dismissal of members
1	Mr.Nguyen Dang Kien	Chief Accountant	19/12/1995	Bachelor of Accounting	Appointed on August 30, 2021

**VI. Corporate Governance Training:**

The training courses on corporate governance that members of the Board of Directors (BOD), members of the Supervisory Board, the CEO, orther managers, and the Company Secretary have participated in, in accordance with corporate governance regulations: None

**VII. List of Related Parties of the Public Company (2024) and Transactions with Related Parties:**

1. The list of affiliated persons of the Company:

No.	Name of organizat/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<b>I</b>	<b>Individual</b>								
1	Nguyen Huy Quang	046C003513	Chairman/The person in charge of corporate governance			28/12/2020		Appointment	internal persons
	Nguyen Trong Nguyen					28/12/2020			Father



	Duong Thi Lien Huong					28/12/ 2020			Mother
	Nguyen Trong Duc					28/12/ 2020			Son
	Nguyen Trong Tung Lam					28/12/ 2020			Son
2	<b>Hoang Van Minh</b>	<b>016C003072</b>	<b>BOD's member</b>			<b>06/5/ 2020</b>		<b>Appoint</b>	<b>internal persons</b>
	Hoang Van Binh					06/5/ 2020			Father
	Pham Thi Vy					06/5/ 2020			Mother
	Pham Tra Mi					06/5/ 2020			Wife
	Hoang Minh Quang					06/5/ 2020			Son
	Hoang Ly Ly					06/5/ 2020			Daughter
	Hoang Thi Nguyet					06/5/ 2020			Sister

	Dinh Xuan Truong					06/5/ 2020			Brother-in - law
3	Phan Duy Dung	080C000090	Member of the Board of Directors/ General Director/ Authorized Representative for Information Disclosure			BOD's member on 06/05/ 2020; General Director on 13/05/ 2020;		Appoint	internal persons
	Phan Duy Chu					06/05/ 2020			Father
	Nguyen Thi Ha Thanh					06/05/ 2020			Mother
	Phan Thi Phuong Dung					06/05/ 2020			Wife
	Phan Duy Khanh Nam					06/05/ 2020			Son
	Phan Duy Duc Huy					06/05/ 2020			Son
	Phan Duy Hung					06/05/ 2020			Brother



	Nguyen Thi Quynh Ngoan					06/05/2020			Sister-in- law
	Phan Thi Thanh Huyen					06/05/2020			sister
	Nguyen The Viet					06/05/2020			Brother-in - law
4	<b>Trinh Van Hung</b>		<b>BOD member</b>			<b>BOD's member 28/4/2022</b>	<b>Dismiss the Deputy CEO 15/04/2022</b>	<b>Appoint</b>	<b>internal persons</b>
	Vu Thi Bich Lien					28/4/2022			Wife
	Trinh Xuan Hoang Ha					28/4/2022			Son
	Trinh Vu Hoang					28/4/2022			Son
	Nguyen Thi Nu					28/4/2022			Mother
	Trinh Van Hai					28/4/2022			Brother

	Vu Thi Lan					28/4/ 2022			Sister-in- law
	Trinh Xuan Phuong					28/4/ 2022			Brother
	Nguyen Thi Nhung					28/4/ 2022			Sister-in- law
	Vu Thi Lan					28/4/ 2022			Mother- in- law
	Vu Ngoc Thien					28/4/ 2022			Sister-in - law
	Nguyen Thi Quyen					28/4/ 2022			Sister-in - law
	Vu Trong Hoan					28/4/ 2022			Brother-in - law
	Bui Thanh Minh Huyen					28/4/ 2022			Sister-in- law
5	<b>Nguyen Quang Nguyen</b>	<b>040C003754</b>	<b>BOD member</b>			<b>26/5/ 2023</b>		<b>appoint</b>	<b>internal persons</b>
	Nguyen Quang Nghiem					26/5/ 2023			Father



	Dao Thi Nghenh					26/5/2023			Mother
	Le Thi Bich Phuong					26/5/2023			Wife
	Nguyen Huong Giang					26/5/2023			Daughter
	Nguyen Huong Thao					26/5/2023			Daughter
	Nguyen Quang Tung					26/5/2023			Son
	Nguyen Thi Hanh					26/5/2023			Sister
	Nguyen Van Dung					26/5/2023			Brother- in-law
	Nguyen Thi Phuc					26/5/2023			Sister
	Don Van Tinh					26/5/2023			Brother-in -law

6	<b>Nguyen Dang Kien</b>	<b>080C000091</b>	<b>Deputy General Director/ Chief Accountant</b>			<b>Chief accountant on 30/8/ 2021, Deputy General Director on 01/8/ 2024</b>			<b>Internal persons</b>
	Nguyen Dang Ky					30/08/ 2021			Father
	Duong Thi Hong Hanh					30/08/ 2021			Mother
	Nguyen Ngoc Hung					30/08/ 2021			Father-in - law
	Mai Thi Thu Huong					30/08/ 2021			Mother - in - law
	Nguyen Huong Giang					30/08/ 2021			Wife
	Nguyen Dang Khoi					30/08/ 2021			Son
	Nguyen Thi Mai Phuong					30/08/ 2021			Sister



	Nguyen Tat Nam					30/08/2021			Brother - in - law
7	<b>Phan Thi Lan</b>		<b>Head of the supervisory board</b>				<b>26/4/2024</b>	<b>dismissal</b>	<b>affiliated persons</b>
	Le Bao Kim						26/4/2024		Husband
	Le Bao Trong						26/4/2024		Son
	Le Bao Nhat						26/4/2024		Son
	Le Dai Ngan						26/4/2024		Father-in-law
	Nguyen Thi Do						26/4/2024		Mother-in - law
	Le Thi Hang						26/4/2024		Sister-in- law
	Le Thi Dai						26/4/2024		Sister-in - law
	Le Thi Thanh						26/4/2024		Sister-in - law
	Le Thi Huong						26/4/2024		Sister-in- law

	Le Cong Nam						26/4/2024		Brother- in-law
	Phan Xuan Song						26/4/2024		Father
	Truong Thi Vinh						26/4/2024		Mother
	Phan Thi Chien						26/4/2024		Sister
	Phan Thi Thang						26/4/2024		sister
	Phan Thi Thuong						26/4/2024		Sister
8	Nguyen Minh Huyen		Head of the supervisory board			03/5/2024		appoint	internal persons
	Nguyen Van Sy					03/5/2024			Father
	Nguyen Thi Hue					03/5/2024			Mother
	Doan Van Hau					03/5/2024			Father - in - law
	Tran Thi Thanh Thuy					03/5/2024			Mother - in - law



	Doan Duc Anh					03/5/2024			Husband
	Nguyen Thu Thao					03/5/2024			Sister
	Doan Lam Giang					03/5/2024			Daughter
9	Vu Thi Thuy		<b>Member of Board of Supervisors</b>			<b>28/4/2022</b>		<b>Appoint</b>	<b>internal persons</b>
	Vu Trung Thinh					28/4/2022			Father
	Nguyen Thi Oanh					28/4/2022			Mother
	Vu Thi Hue					28/4/2022			Sister
	Vu Thi Huyen					28/4/2022			Sister
	Vu Trung Kien					28/4/2022			Brother
	Vu Cong Hau					28/4/2022			Brother

10	Nguyen Thi Thuy		Member of Board of Supervisors			28/4/2022		Appoint	internal persons
	Nguyen Huu Binh					28/4/2022			Father
	Nguyen Thi Tham					28/4/2022			Mother
	Nguyen Thi Hien					28/4/2022			Sister
	Nguyen Huu Chien					28/4/2022			Brother

## II. Organizations related to MST Investment Joint Stock Company

1	Hung Yen Petro Joint Stock Company		0900619716	Lam Son Village, Thien Phien Commune, Tien Lu District, Hung Yen Province		Fully divested as of September 5, 2024		No longer an associate company
2	Traffic and Urban Infrastructure construction Joint Stock Company	Affiliate company	0312324708	48 Nguyen Phi Khanh, Tan Dinh Ward, District 1, Ho Chi Minh City				



3	VINA2 Investment and Construction Joint Stock Company	Affiliate company	0100105895	Floors 2-4, Building B, Kim Van - Kim Lu, Dai Kim Ward, Hoang Mai District, Hanoi	06/09/2021			
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### III. Organizations related to insiders

#### III.1. Mr.Nguyen Huy Quang – Chairman of MST Investment Joint Stock Company

1	Vina2 Construction and Mechanical Equipment Joint Stock Company	BOD member	0109882695	loor 3, Building B – Kim Van – Kim Lu, Dai Kim Ward, Hoang Mai District, Hanoi City				
2	NBA Joint Stock Company	General Director	0103851563	Km 9+400 National Highway 2, Thanh Xuan Commune, Soc Son District, Hanoi City				
3	Do Thanh Real Estate Development Investment Joint Stock	Deputy General Director, BOD member	4101447641	Land plots No. 16+17+18+19, Nguyen Tu Street, Ly Thuong Kiet Ward, Quy Nhon City, Binh Dinh Province, Vietnam				

4	VINA2 Investment and Construction Joint Stock Company	Vice chairman of the board	0100105895	Floors 2-4, Building B, Kim Van - Kim Lu, Dai Kim Ward, Hoang Mai District, Hanoi				
5	Greenhill Village Joint Stock Company	General Director	4201787754	19 Shophouse 24H, To Huu Street, Van Phuc Ward, Ha Dong District, Hanoi City				

### **III.2. Mr.Trinh Van Hung – BOD member of MST investment Joint Stock Company**

1	VINA2 Investment and Construction Joint Stock Company	Deputy General Director	0100105895	Floors 2-4, Building B, Kim Van - Kim Lu, Dai Kim Ward, Hoang Mai District, Hanoi				
2	Phu Thanh Hung Investment Joint Stock Company	BOD member	2902091400	104 Nguyen Sinh Sac Street, Cua Nam, Vinh City, Nghe An				

### **III.3. Mr.Phan Duy Dung – BOD member cum general director of MST Investment Joint Stock Company**

1	DQI Investment and Trading Joint Stock Company	General Director	0108755694	New Market Area, Phung Town, Dan Phuong District, Hanoi City, Vietnam				
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### **III.4. Mr. Nguyen Quang Nguyen – BOD member of MST Investment Joint Stock Company**



1	Duc Trung Investment Joint Stock Company	BOD member	0301466299	213 Nam Ky Khoi Nghia, Vo Thi Sau Ward, District 3, Ho Chi Minh City, Vietnam				
2	VINA2 Investment and Construction Joint Stock Company	Deputy General Director	0100105895	Floors 2-4, Building B, Kim Van - Kim Lu, Dai Kim Ward, Hoang Mai District, Hanoi				
3	Song Hong Capital Corporation Joint Stock Company	BOD member	0700761105	11/6 Ngo Thi Nham, Ha Cau Ward, Ha Dong District, Hanoi City, Vietnam				

### III.5. Mr.Nguyen Dang Kien – Deputy General director of MST Investment Joint Stock Company

1	NBA Joint Stock Company	BOD member	0103851563	Km 9+400 National Highway 2, Thanh Xuan Commune, Soc Son District, Hanoi City				
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2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: **No transactions occurred.**

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: **No transactions occurred.**

4. Transactions between the Company and other objects:

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting):

**A transaction occurs**

**Transactions between MST Investment Joint Stock Company (“MST”) and other entities:**

4.1.1 MST with Do Thanh Real Estate Development Investment JSC:

- Sale of goods and services (excluding VAT): VND 266.858.686.013

4.1.2 MST with VINA2 Construction and Investment JSC:

- Purchase of goods and services (excluding VAT): VND 243.392.723.986
- Sale of goods and services (excluding VAT): VND 18.163.303.839

4.1.3 MST with Duc Trung Investment JSC:

- Purchase of goods and services (including VAT): VND 276.123.400

4.1.4 MST with DQI Investment and Trading JSC:

- Sale of goods and services (excluding VAT): VND 2.940.462.640

4.1.5 MST with Song Hong Capital Group JSC:

- Sale of goods and services (excluding VAT): VND 3.592.672.456

4.1.6 Transactions with major shareholders holding at least 5% of voting shares: **No transactions occurred.**

4.2. Transactions with companies where individuals related to Board Members, Supervisory Board Members, or the CEO serve as Board Members or CEOs: **A transaction occurs**

4.2.1 Bac Do Investment and Trading JSC:

- Sale of goods and services (excluding VAT): VND 3.657.815.946

4.2.2 ND Investment, Trading, and Construction JSC:

- Sale of goods and services (excluding VAT): VND 1.711.704.950
- Purchase of goods and services (excluding VAT): VND 4.333.485.632

4.3. Other transactions potentially providing material or non-material benefits to Board Members, Supervisory Board Members, or the CEO: **No transactions occurred.**

**VIII. Share transactions of internal persons and their affiliated persons**



1. The list of internal persons and their affiliated persons

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>I</b>	<b>Nguyen Huy Quang</b>	<b>046C003513</b>	<b>Chairman</b>			<b>2.595.186</b>	<b>3,4</b>	<b>internal persons</b>
1	Nguyen Trong Nguyen					0	0	Father
2	Duong Thi Lien Huong					0	0	Mother
3	Nguyen Trong Duc					0	0	Son
4	Nguyen Trong Tung Lam					0	0	Son
<b>II</b>	<b>Hoang Van Minh</b>	<b>016C003072</b>	<b>BOD member</b>			<b>65.208</b>	<b>0,09</b>	<b>internal persons</b>
1	Hoang Van Binh					0	0	Father
2	Pham Thi Vy					0	0	Mother

3	Pham Tra Mi					0	0	Wife
4	Hoang Minh Quang					0	0	Son
5	Hoang Ly Ly					0	0	Daughter
6	Hoang Thi Nguyet					0	0	Sister
7	Dinh Xuan Truong					0	0	Brother -in-law
<b>III</b>	<b>Phan Duy Dung</b>	<b>080C000090</b>	<b>BOD member/ General director</b>			<b>180.000</b>	<b>0,24</b>	<b>Internal persons</b>
1	Phan Duy Chu					0	0	Father
2	Nguyen Thi Ha Thanh					0	0	Mother
3	Phan Thi Phuong Dung					0	0	Wife
4	Phan Duy Khanh Nam					0	0	Son
5	Phan Duy Duc Huy					0	0	Son
6	Phan Duy Hung					0	0	Brother



7	Nguyen Thi Quynh Ngoan					0	0	Sister - in - law
8	Phan Thi Thanh Huyen					0	0	sister
9	Nguyen The Viet					0	0	Brother - in - law
<b>IV</b>	<b>Trinh Van Hung</b>		<b>BOD member</b>			<b>0</b>	<b>0</b>	<b>Internal persons</b>
1	Vu Thi Bich Lien					0	0	Wife
2	Trinh Xuan Hoang Ha					0	0	Son
3	Trinh Vu Hoang					0	0	Son
4	Nguyen Thi Nu					0	0	Mother
5	Trinh Van Hai					0	0	Brother
6	Vu Thi Lan					0	0	Sister-in - law
7	Trinh Xuan Phuong					0	0	Brother
8	Nguyen Thi Nhung					0	0	Sister-in - law

9	Vu Thi Lan					0	0	Mother - in - law
10	Vu Ngoc Thien					0	0	Sister - in - law
11	Nguyen Thi Quyen					0	0	Sister - in - law
12	Vu Trong Hoan					0	0	Sister - in - law
13	Bui Thanh Minh Huyen					0	0	Sister - in - law
<b>V</b>	<b>Nguyen Quang Nguyen</b>	<b>040C003754</b>	<b>BOD member</b>			<b>707.660</b>	<b>0,93</b>	<b>Internal persons</b>
1	Nguyen Quang Nghiem					0	0	Father
2	Dao Thi Nghenh					0	0	Mother
3	Le Thi Bich Phuong					0	0	Wife
4	Nguyen Huong Giang					0	0	Daughter
5	Nguyen Huong Thao					0	0	Daughter
6	Nguyen Thi Hanh					0	0	Sister



7	Nguyen Van Dung					0	0	Brother
8	Nguyen Thi Phuc					0	0	Sister
9	Don Van Tinh					0	0	Brother -in - law
<b>VI</b>	<b>Nguyen Dang Kien</b>	<b>080C000091</b>	<b>Deputy General Director / Chief Accountant</b>			<b>150.000</b>	<b>0,09</b>	<b>Internal persons</b>
1	Nguyen Dang Ky					0	0	Father
2	Duong Thi Hong Hanh					0	0	Mother
3	Nguyen Ngoc Hung					0	0	Father
4	Mai Thi Thu Huong					0	0	Mother
5	Nguyen Huong Giang					0	0	Wife
6	Nguyen Dang Khoi					0	0	Son
7	Nguyen Thi Mai Phuong					0	0	Sister

8	Nguyen Tat Nam					0	0	Brother - in - law
<b>VII</b>	<b>Phan Thi Lan</b>		<b>Head of the supervisory board</b>			0	0	<b>former internal persons</b>
1	Le Bao Kim					0	0	Husband
2	Le Bao Trong					0	0	Son
3	Le Bao Nhat					0	0	Son
4	Le Dai Ngan					0	0	Father - in - law
5	Nguyen Thi Do					0	0	Mother - in - law
6	Le Thi Hang					0	0	Sister - in - law
7	Le Thi Dai					0	0	Sister - in - law
8	Le Thi Thanh					0	0	Sister - in - law
9	Le Thi Huong					0	0	Sister - in - law



10	Le Cong Nam					0	0	Brother - in - law
11	Phan Xuan Song					0	0	Father
12	Truong Thi Vinh					0	0	Mother
13	Phan Thi Chien					0	0	Sister
14	Phan Thi Thang					0	0	sister
15	Phan Thị Thuong					0	0	Sister
<b>VIII</b>	<b>Nguyen Minh Huyen</b>		<b>Head of the supervisory board</b>			<b>0</b>	<b>0</b>	<b>Internal persons</b>
1	Nguyen Van Sy					0	0	Father
2	Nguyen Thi Hue					0	0	Mother
3	Doan Van Hau					0	0	Father
4	Tran Thi Thanh Thuy					0	0	Mother
5	Doan Duc Anh					0	0	Husband

6	Nguyen Thu Thao					0	0	Sister
7	Doan Lam Giang							Daughter
<b>IX</b>	<b>Vu Thi Thuy</b>		<b>Meber of Board of Supervisors</b>			<b>0</b>	<b>0</b>	<b>Internal persons</b>
1	Vu Trung Thinh					0	0	Father
2	Nguyen Thi Oanh					0	0	Mother
3	Vu Thi Hue					0	0	Sister
4	Vu Thi Huyen					0	0	Sister
5	Vu Trung Kien					0	0	Brother
6	Vu Cong Hau					0	0	Brother
<b>X</b>	<b>Nguyen Thi Thuy</b>		<b>Member of Board of Supervisors</b>			<b>0</b>	<b>0</b>	<b>Inernal persons</b>
1	Nguyen Huu Binh					0	0	Father



2	Nguyen Thi Tham					0	0	Mother
3	Nguyen Thi Hien					0	0	Sister
4	Nguyen Huu Chien					0	0	Brother

2. Transactions of internal persons and affiliated persons with shares of the Company: None

**IX. Other significant issues: None**

**CHAIRMAN OF THE BOARD OF DIRECTORS**

(Sign, full name and seal)



**NGUYEN HUY QUANG**





