

Hanoi, 20 January 2025

**REPORT ON CORPORATE GOVERNANCE
(Year 2024)**

Respectfully to: - The State Securities Commission
- Ha Noi Stock Exchange

- ✓ Name of Company : Vinacomin – Minerals Holding Corporation – Joint Stock company
- ✓ Address of head office : No. 193, Nguyen Huy Tuong Street, Thanh Xuan Trung ward, Thanh Xuan district, Hanoi city
- ✓ Tel : (84-4) 6287 6666
- ✓ Fax : (84-4) 6288 3333
- ✓ Email : quanhecodong@vimico.vn
- ✓ Charter capital : 2.000.000.000.000
- ✓ Stock symbol : **KSV**

- Governance model:

+ General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.

- The implementation of internal audit: Implemented

I. Activities of the General Meeting of Shareholders:

Information on meetings and resolutions/decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

| No | Ref. of Resolution/ Decision | Date | Content |
|----|------------------------------|-----------|--|
| 1 | 933/NQ-VIMICO | 23/4/2024 | 1. Approved 2023 Report on Production and Business Activities and 2024 Construction Investment and the Production and Business Activities Plan of Vinacomin - Minerals Holding Corporation – JSC (Vimico). |

| | | |
|--|--|--|
| | | <ol style="list-style-type: none"> 2. Approved Board of Directors' Operation Report 3. Approved 2023 Supervisory Board's Operation Report, its 2024 workplan and tasks; the Supervisory Board's Report on the appraisal of the audited 2023 financial statements of Vinacomin – Minerals Holding Corporation. 4. Approved 2023 Parent Company's Financial Statements and Profit Distribution Plan. 5. Approved the payment of Manager's 2023 wage and remuneration and the 2024 estimated plan. 6. Approved the selection of Auditor for the 2024 Financial Statements. 7. Approved the 2024 Mineral Exploitation, Concentrating and Processing Contract with the Vietnam National Coal and Mineral Industries Holding Corporation Ltd. 8. Approved the Cathode Copper Procurement Contract signed between Vinacomin and Vimico. 9. Approved the final settlement of investment capital of the completed Project: expanding and Increasing the Capacity of Lao Cai Copper Smelting Plant. 10. Approved the Corporation's Restructuring Project for the period up to 2025. 11. Approved the change of the Corporation's business lines. 12. Approved the Corporation's Charter, amended and supplemented for the sixth time. 13. Other contents under the authority of the General Meeting of Shareholders. |
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II. Board of Directors (2024)

1. Information of Board of Directors' members

TKV's Board of Directors' members are:

- Mr. Nguyen Van Hai – Head of Capital Management Board - Vietnam National Coal and Mineral Industrial Holding Corporation Ltd; Chairman of Board of Directors of Vimico for 2020-2025 term;

- Mr. Trinh Van Tue - Member of the Board of Directors of Vimico for the 2020-2025 term; General Director of Vimico;

- Mr. Dang Duc Hung - Full-time member of the Board of Directors of Vimico for the 2020-2025 term;

- Mr. Ngo Quoc Trung - Member of the Board of Directors of Vimico for the 2020-2025 term; Deputy General Director of Vimico;

- Mr. Nguyen Van Thai - Independent member of the Board of Directors of Vimico for the 2020-2025 term since April 25, 2023;

| Nr | Board of Directors' Member | Position/ <i>(Independent members of Board of Directors, Non-executive members of Board of Directors)</i> | The date becoming/ceasing to be the member of Board of Directors | |
|----|----------------------------|---|--|-------------------|
| | | | Date of appointment | Date of dismissal |
| 1 | Mr. Nguyen Van Thai | Independent member of Board of Directors | 25 April 2023 | |

2. Meetings of the Board of Directors

| Nr | Board of Directors' member | Position | The date becoming/ceasing to be the member of Board of Directors | Number of meetings attended by Board of Directors | Attendance rate | Reasons for absence |
|----|----------------------------|---|--|---|-----------------|---------------------|
| 1 | Nguyen Van Hai | Chairman of Board of Directors | 05/10/2015 | 66 | 100% | |
| 2 | Trinh Van Tue | Member of Board of Directors – General Director | 08/9/2016 | 66 | 100% | |
| 3 | Dang Duc Hung | Full-time member of Board of Directors | 16/3/2018 | 66 | 100% | |

| | | | | | | |
|---|-----------------|--|-----------|----|------|--|
| 4 | Ngo Quoc Trung | Member of Board of Directors | 5/10/2015 | 66 | 100% | |
| 5 | Nguyen Van Thai | Independent member of Board of Directors | 25/4/2023 | 66 | 100% | |

3. Supervising the Board of Management by Board of Directors.

- Concluded 2024 production and business activities and deployment the 2025 production and business plan;

- Hold periodical Board of Directors' meetings where resolutions have been made on solutions for operating production and business activities, and achieved results were evaluated for timely adjustment, if any;

- Monitored and supervised the production and business operations in line with the economic objectives of the Board of Directors' Resolution, decided amendments and revisions of the Regulations and Rules of the Corporation in accordance with the State's regulations and the Company's Charter.

- The Board of Directors has provided directions over the Board of Management in development of the 2024 production and business plan, investment plan, along with solutions for implementing 2024 production and business plan according to the Resolution approved by the 2024 Annual General Meeting of Shareholders;

- The Board of Directors has also played the role of steering for successfully organized the 2024 Annual General Meeting of Shareholders, where important contents related to the production and business activities of the Corporation have been endorsed;

- Steered and guided the implementation of production and business plan that has been approved at the 2024 Annual General Meeting of Shareholders;

- The Board of Directors of the Corporation has provided prompt directions and instructions over the process from the development of the Project to perfect the positions of senior leaders of the Corporation in accordance with regulations.

- The monitoring activities were carried out by the members of the Board of Directors in accordance with the provisions of law and the Charter of the Minerals Corporation - TKV.

4. Activities of the subcommittees of the Board of Directors: The Board of Directors has established an Internal Audit Committee and Investment Project Implementation Appraisal Teams that assisted the Board of Directors of the Corporation in controlling and appraising related work contents in the process of

managing construction investment projects in accordance with current regulations of the Government and the Corporation.

5. Resolutions/Decisions of the Board of Directors (Report for the first 6 months of 2024)

| Nr | Reference of the Resolutions | Date | Approval rate |
|-----------|-------------------------------------|------------------|----------------------|
| 1 | Resolutions Ref. 122/NQ-VIMICO | Dated 16/01/2024 | 100% |
| 2 | Resolutions Ref. 196/NQ-VIMICO | Dated 25/01/2024 | 100% |
| 3 | Resolutions Ref. 240/NQ-VIMICO | Dated 29/01/2024 | 100% |
| 4 | Resolutions Ref. 296/NQ-VIMICO | Dated 05/2/2024 | 100% |
| 5 | Resolutions Ref. 333/NQ-VIMICO | Dated 15/2/2024 | 100% |
| 6 | Resolutions Ref. 357/NQ-VIMICO | Dated 19/2/2024 | 100% |
| 7 | Resolutions Ref. 363/NQ-VIMICO | Dated 20/2/2024 | 100% |
| 8 | Resolutions Ref. 496/NQ-VIMICO | Dated 06/3/2024 | 100% |
| 9 | Resolutions Ref. 526/NQ-VIMICO | Dated 08/3/2024 | 100% |
| 10 | Resolutions Ref. 551/NQ-VIMICO | Dated 12/3/2024 | 100% |
| 11 | Resolutions Ref. 615/NQ-VIMICO | Dated 19/3/2024 | 100% |
| 12 | Resolutions Ref. 686/NQ-VIMICO | Dated 22/3/2024 | 100% |
| 13 | Resolutions Ref. 698/NQ-VIMICO | Dated 25/3/2024 | 100% |
| 14 | Resolutions Ref. 866/NQ-VIMICO | Dated 15/4/2024 | 100% |
| 15 | Resolutions Ref. 944/NQ-VIMICO | Dated 24/4/2024 | 100% |
| 16 | Resolutions Ref. 1003/NQ-VIMICO | Dated 02/5/2024 | 100% |
| 17 | Resolutions Ref. 1022/NQ-VIMICO | Dated 03/5/2024 | 100% |
| 18 | Resolutions Ref. 1027/NQ-VIMICO | Dated 03/5/2024 | 100% |
| 19 | Resolutions Ref. 1091/NQ-VIMICO | Dated 09/5/2024 | 100% |
| 20 | Resolutions Ref. 1155/NQ-VIMICO | Dated 15/5/2024 | 100% |
| 21 | Resolutions Ref. 1195/NQ-VIMICO | Dated 17/5/2024 | 100% |
| 22 | Resolutions Ref. 1257/NQ-VIMICO | Dated 27/5/2024 | 100% |
| 23 | Resolutions Ref. 1275/NQ-VIMICO | Dated 28/5/2024 | 100% |
| 24 | Resolutions Ref. 1278/NQ-VIMICO | Dated 28/5/2024 | 100% |

| | | | |
|----|---------------------------------|------------------|------|
| 25 | Resolutions Ref. 1280/NQ-VIMICO | Dated 28/5/2024 | 100% |
| 26 | Resolutions Ref. 1304/NQ-VIMICO | Dated 30/5/2024 | 100% |
| 27 | Resolutions Ref. 1378/NQ-VIMICO | Dated 05/6/2024 | 100% |
| 28 | Resolutions Ref. 1399/NQ-VIMICO | Dated 07/6/2024 | 100% |
| 29 | Resolutions Ref. 1445/NQ-VIMICO | Dated 13/6/2024 | 100% |
| 30 | Resolutions Ref. 1550/NQ-VIMICO | Dated 27/6/2024 | 100% |
| 31 | Resolutions Ref. 1668/NQ-VIMICO | Dated 12/7/2024 | 100% |
| 32 | Resolutions Ref. 1708/NQ-VIMICO | Dated 17/7/2024 | 100% |
| 33 | Resolutions Ref. 1755/NQ-VIMICO | Dated 23/7/2024 | 100% |
| 34 | Resolutions Ref. 1818/NQ-VIMICO | Dated 30/7/2024 | 100% |
| 35 | Resolutions Ref. 1880/NQ-VIMICO | Dated 02/8/2024 | 100% |
| 36 | Resolutions Ref. 1889/NQ-VIMICO | Dated 05/8/2024 | 100% |
| 37 | Resolutions Ref. 1909/NQ-VIMICO | Dated 07/8/2024 | 100% |
| 38 | Resolutions Ref. 1960/NQ-VIMICO | Dated 15/8/2024 | 100% |
| 39 | Resolutions Ref. 2016/NQ-VIMICO | Dated 21/8/2024 | 100% |
| 40 | Resolutions Ref. 2061/NQ-VIMICO | Dated 27/8/2024 | 100% |
| 41 | Resolutions Ref. 2099/NQ-VIMICO | Dated 30/8/2024 | 100% |
| 42 | Resolutions Ref. 2178/NQ-VIMICO | Dated 10/9/2024 | 100% |
| 43 | Resolutions Ref. 2260/NQ-VIMICO | Dated 20/9/2024 | 100% |
| 44 | Resolutions Ref. 2366/NQ-VIMICO | Dated 02/10/2024 | 100% |
| 45 | Resolutions Ref. 2398/NQ-VIMICO | Dated 08/10/2024 | 100% |
| 46 | Resolutions Ref. 2458/NQ-VIMICO | Dated 17/10/2024 | 100% |
| 47 | Resolutions Ref. 2460/NQ-VIMICO | Dated 17/10/2024 | 100% |
| 48 | Resolutions Ref. 2465/NQ-VIMICO | Dated 17/10/2024 | 100% |
| 49 | Resolutions Ref. 2496/NQ-VIMICO | Dated 23/10/2024 | 100% |
| 50 | Resolutions Ref. 2551/NQ-VIMICO | Dated 29/10/2024 | 100% |
| 51 | Resolutions Ref. 2598/NQ-VIMICO | Dated 04/11/2024 | 100% |
| 52 | Resolutions Ref. 2618/NQ-VIMICO | Dated 05/11/2024 | 100% |

| | | | |
|----|---------------------------------|------------------|------|
| 53 | Resolutions Ref. 2688/NQ-VIMICO | Dated 14/11/2024 | 100% |
| 54 | Resolutions Ref. 2766/NQ-VIMICO | Dated 25/11/2024 | 100% |
| 55 | Resolutions Ref. 2798/NQ-VIMICO | Dated 28/11/2024 | 100% |
| 56 | Resolutions Ref. 2808/NQ-VIMICO | Dated 29/11/2024 | 100% |
| 57 | Resolutions Ref. 2865/NQ-VIMICO | Dated 04/12/2024 | 100% |
| 58 | Resolutions Ref. 2867/NQ-VIMICO | Dated 04/12/2024 | 100% |
| 59 | Resolutions Ref. 2907/NQ-VIMICO | Dated 06/12/2024 | 100% |
| 60 | Resolutions Ref. 2916/NQ-VIMICO | Dated 09/12/2024 | 100% |
| 61 | Resolutions Ref. 2955/NQ-VIMICO | Dated 11/12/2024 | 100% |
| 62 | Resolutions Ref. 3030/NQ-VIMICO | Dated 17/12/2024 | 100% |
| 63 | Resolutions Ref. 3082/NQ-VIMICO | Dated 23/12/2024 | 100% |
| 64 | Resolutions Ref. 3136/NQ-VIMICO | Dated 30/12/2024 | 100% |
| 65 | Resolutions Ref. 3160/NQ-VIMICO | Dated 30/12/2024 | 100% |
| 66 | Resolutions Ref. 3203/NQ-VIMICO | Dated 31/12/2024 | 100% |

III. Board of Supervisors

1. Information of the members of Board of Supervisors:

| Nr | Board of Supervisors' member | Position | Date becoming/ceasing to be the member of Board of Supervisors | Qualification |
|----|------------------------------|--------------------------------|--|---|
| 1 | Luong Van Linh | Head of Board of Supervisors | 6/10/2015 | Bachelor of Economics |
| 2 | Pham Xuan Phong | Member of Board of Supervisors | 26/4/2017 | Bachelor of Economics |
| 3 | Nguyen Nam Hung | Member of Board of Supervisors | 25/3/2020 | Construction electrical and mechanical engineer |

2. Meetings of Board of Supervisors/Audit Committee

| Nr | Member of Board of Supervisors | Number of meetings attended | Attendance rate | Voting rate | Reasons for absence |
|----|--------------------------------|-----------------------------|-----------------|-------------|---------------------|
| 1 | Luong Van Linh | 11 | 100% | 100% | |
| 2 | Pham Xuan Phong | 11 | 100% | 100% | |
| 3 | Nguyen Nam Hung | 11 | 100% | 100% | |

3. Supervising Board of Directors, Board of Management and Shareholders by Board of Supervisors:

- In 2024, the Board of Supervisors periodically inspected and supervised the production and business activities of affiliated units; participated with the Board of Directors in approving production and business plans. Inspected and supervised financial reports before the disclosure;

- The Board of Directors, General Director and shareholders have performed the assigned rights and duties in accordance with the provisions of the Enterprise Law, relevant laws, the Corporation's Charter and the Resolution of the General Meeting of Shareholders, secured the legitimate interests of the Corporation and shareholders.

4. The Coordination among the Board of Supervisors, Board of Management and other managers:

- The Board of Supervisors studied documents and participated in the regular meetings of the Board of Directors; joint meetings between the Board of Directors and the Executive Board; meetings of the Executive Board; meetings of the Corporation's office; a number of meetings on professional topics, thanked to which the resolutions of the Board of Directors have been organized and implemented strictly and promptly;

- Supervised the activities of the Board of Directors through the implementation of the Resolutions of the General Meeting of Shareholders, Resolutions of the Board of Directors' meetings, Regulations and Rules on organizing activities in each specific field issued by the Corporation.

- Supervised the activities of the General Director through the implementation of the planning targets approved by the General Meeting of Shareholders, the implementation of the Resolutions of the Board of Directors, the regulations of the

General Director of the Corporation in the fields under the authority of the General Director as stipulated in the Charter and Regulations on the activities of the Board of Directors of the Company and other related documents.

- All and every documents related to the activities of the Corporation were sent to the Board of Supervisors;

- Information exchange and coordination between the Company's Board of Supervisors and shareholders were carried out in accordance with the provisions of the Corporation's Charter.

5. Other activities of the Board of Supervisors:

- Involved in the selection of independent auditor for 2024 Financial Statements and the preparation of reports to the 2024 Annual General Meeting of Shareholders (auditing the 2023 Financial Statements, the 2023 Supervisory Board's Operation Report and the 2024 Task Orientations). Implemented control over the Corporation's production and business activities, controlled the report on the implementation of construction investment, the settlement of key project investment capital, material purchase and sale activities, corporate restructuring, consumption.

- Considered the issuance of the Corporation's Regulations and Rules.

- Inspected and supervised the implementation of the enterprise's obligations according to the provisions of the Law (including tax obligations, social insurance obligations, health insurance obligations, etc.)

- Controlled the implementation of the salary fund, labor implementation, income and implementation of employee rights and other activities, according to the Minutes of the Annual Employee Conference Resolution and the Minutes of the Resolution of the Annual and Irregular General Meeting of Shareholders.

- Undertook other activities in accordance with the Charter of the Corporation.

IV. Board of Management

| No | Member of Board of Management | Date of Birth | Qualification | Date of appointment/dismissal of member of the Board of Management |
|----|-------------------------------|---------------|---|--|
| 1 | Mr. Trinh Van Tue | 28/12/1972 | Electrification - Power Supply Engineer | 16/3/2018 |

| | | | | |
|---|-----------------|------------|--|-----------------------------|
| 2 | Ly Xuan Tuyen | 18/02/1980 | Master of Mineral Processing Engineering | 05/11/2019 |
| 3 | Dao Minh Son | 01/8/1963 | Mining Machinery Engineer | From 03/8/2015 to 01/9/2024 |
| 4 | Ngo Quoc Trung | 07/3/1973 | Master of Business Administration, Bachelor of Economics | 15/6/2018 |
| 5 | Le Tuan Ngoc | 26/8/1970 | Master of Business Administration Economics | 23/02/2021 |
| 6 | Dang Xuan Tuyen | 19/8/1968 | Master of Mineral Processing | 07/6/2024 |

V. Chief Account

| Full name | Date of birth | Qualification | Date of appointment/dismissal |
|-----------------|---------------|-----------------------------------|-------------------------------|
| Nguyen Van Vien | 13/01/1981 | Master of Business Administration | 16/7/2018 |

VI. Training courses on corporate governance:

Training courses on corporate governance led by members of Board of Directors, member of Board of supervisors, Director (General Director) and participated by other managers and secretaries in accordance with the regulations on corporate governance: *Board of directors, General Director, Board of Supervisors participated in training courses on management, administration, capital management held by TKV.*

VII. The list of affiliated persons of the public company (semi-annual report/annual report) and transaction of affiliated persons of the Company

1. List of affiliated persons of the Company:

(Details are in Appendix 01 attached to this Report).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons

(Details are in Appendix 02 attached to this Report)

3. Transactions between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes

controlling power:

(None)

4. Transaction between the Company and other objects:

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

(None)

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO).

(Details are in appendix 3 attached to this Report)

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers.

(None)

VI. Share transactions of internal persons and their affiliated persons (2024).

1. List of internal persons and their affiliated persons.

(Details are in appendix 4 attached to this Report)

2. Transactions of internal persons and affiliated persons with shares of the Company.

(Details are in appendix 5 attached to this Report)

VII. Other significant issues.

CHAIRMAN OF BOARD OF DIRECTORS

(Signed and sealed)



Nguyen Van Hai

Appendix 01: LIST OF INTERNAL PERSONS AND AFFILIATED PERSONS (INDIVIDUALS/ORGANIZATION) TO AFFILIATED

Stock Symbol: **KSV**
 Company name: **Vinacommin - Minerals Holding Corporation**
 Closed date: **29/7/2024**

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|----------|-------------------|------------------------|----------------------------------|------------------------------------|--|---------------------|-------------------|--------------------------------------|--------------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 1 | | Nguyễn Văn Hải | Chairman of BoD | | CCCD | 030068000611 | 12/18/2015 | 10/5/2015 | Passed away |
| 1.1 | | Nguyễn Văn Sượt | | father | | | | | Passed away |
| 1.2 | | Lưu Thị Khiến | | Mother | | | | | Passed away |
| 1.3 | | Trần Văn Đức | | father in law | | | | | Passed away |
| 1.4 | | Nguyễn Thị Đợi | | Mother in law | CMND | 063373836 | 3/24/2010 | | |
| 1.5 | | Trần Tuyết Hòa | | Wife | CMND | 063157492 | | | |
| 1.6 | | Nguyễn Trần Thiên Long | | Son | CCCD | 01020000021 | 12/18/2015 | | |
| 1.7 | | Nguyễn Tuấn Thành | | Son | CCCD | 010205000070 | 5/13/2021 | | |
| 1.8 | | Nguyễn Văn Mạnh | | Brother | CMND | 140941923 | 4/3/2012 | | |
| 1.9 | | Nguyễn Thị Nguyệt | | Sister in law | CMND | 141551878 | 12/29/2009 | | |
| 1.10 | | Nguyễn Ngọc Hạnh | | Brother | CMND | 371571901 | 3/5/2009 | | |
| 1.11 | | Dương Thị Hà | | Sister in law | CMND | 371336794 | 3/11/2016 | | |
| 1.12 | | Nguyễn Thị Hưng | | Younger sister | CMND | 141497615 | 6/19/2013 | | |
| 1.13 | | Nguyễn Văn Nam | | Younger brother | CMND | 063536786 | 10/7/2016 | | |
| 1.14 | | Bùi Thị Xoan | | Sister in law | CMND | 063530978 | 6/19/2017 | | |
| 2 | 069C014590 | Nguyễn Văn Thái | Independent member of BoD | | CCCD | 036059007557 | 4/8/2021 | 4/25/2023 | |
| 2.1 | | Đỗ Thị Lan | | Wife | CCCD | 034159009141 | 4/16/2021 | | |
| 2.2 | | Nguyễn Thị Thu Hương | | Daughter | CCCD | 008087010887 | 11/22/2022 | | |
| 2.3 | | Nguyễn Trung Thành | | Son | CCCD | 008185000073 | 4/24/2021 | | |
| 2.4 | | Nguyễn Thị Giáng | | Mother | CCCD | 036130003207 | 10/18/2022 | | |

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|------|--------------|-----------------------|---------------|------------------------------------|--|--------------|-------------------|--------------------------------------|------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 2.5 | | Nguyễn Thị Hồng Thorm | | Older sister | CCCD | 036149003799 | 3/14/2021 | | |
| 2.6 | | Nguyễn Văn Nhu | | Older brother | CCCD | 036049001142 | 7/2/2021 | | |
| 2.7 | | Nguyễn Văn Mỹ | | Older brother | CCCD | 036052009486 | 7/2/2021 | | |
| 2.8 | | Nguyễn Văn Mỹ | | Older brother | CCCD | 036058006257 | 1/9/2022 | | |
| 2.9 | | Nguyễn Văn Nguyễn | | Younger brother | CCCD | 036063021321 | 6/24/2021 | | |
| 2.10 | | Nguyễn Văn Trọng | | Younger brother | CCCD | 036064027925 | 6/3/2021 | | |
| 2.11 | | Nguyễn Thị Soạn | | Younger sister | CCCD | 036168004354 | 8/16/2021 | | |
| 2.12 | | Nguyễn Thị Xoan | | Younger sister | CCCD | 036171014976 | 8/13/2021 | | |
| 2.13 | | Nguyễn Văn Biên | | Younger brother | CCCD | 036075001547 | 6/3/2022 | | |
| 2.14 | | Nguyễn Văn Chiến | | Son in law | CCCD | 036085015115 | 4/26/2023 | | |
| 2.15 | | Lại Thị Thu Hà | | Daughter in law | CCCD | 022187007862 | 4/16/2021 | | |
| 3 | | Đặng Đức Hưng | Member of BoD | | CMND | 030067014204 | 11/17/2021 | 3/16/2018 | |
| 3.1 | | Đặng Đức Sâm | | Father | CMND | 142337402 | 7/23/2003 | | |
| 3.2 | | Nguyễn Thị Chai | | Mother | CMND | 140507540 | 7/18/2008 | | |
| 3.3 | | Trần Văn Bé | | father in law | CCCD | 030050000017 | 7/10/2021 | | |
| 3.4 | | Vũ Thị Hải Nhân | | Mother in law | CCCD | 030147008100 | 8/16/2021 | | |
| 3.5 | | Trần Thị Hương Giang | | Wife | CCCD | 030174001023 | 5/5/2016 | | |
| 3.6 | | Đặng Trần Bình | | Son | CMND | 013536551 | 4/12/2012 | | |
| 3.7 | | Đặng Văn Thủy | | Daughter | CCCD | 022303000009 | 4/12/2017 | | |
| 3.8 | | Đặng Thị Hằng | | Younger sister | CMND | 142337529 | 7/23/2003 | | |
| 3.9 | | Nguyễn Tri Nhật | | Brother in law | CMND | 140179094 | 7/17/2008 | | |
| 3.10 | | Đặng Thị Hiền | | Younger sister | CMND | 141621517 | 7/18/2008 | | |
| 3.11 | | Nguyễn Đình Nguyễn | | Brother in law | CMND | 142534974 | 4/2/2007 | | |
| 3.12 | | Đặng Thị Vinh | | Brother | CMND | 100816438 | 5/6/2015 | | |
| 3.13 | | Trần Xuân Trường | | Brother in law | CMND | 100502251 | 6/21/2012 | | |
| 3.14 | | Đặng Văn Hiếu | | Brother | CMND | 141802263 | 6/3/2014 | | |
| 3.15 | | Đoàn Thị Thu | | Sister in law | CMND | 142147452 | 2/1/2001 | | |

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|------|--------------|---------------------|--|------------------------------------|--|--------------|-------------------|--------------------------------------|-------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 4 | | Trịnh Văn Tuệ | Member of BoD/General Director/Người đại diện theo pháp luật | | CCCD | 001072009253 | 5/29/2018 | 9/8/2016 | |
| 4.1 | | Trịnh Văn Bạch | | father | CCCD | 001032001270 | 4/16/2021 | | Passed away |
| 4.2 | | Vũ Thị Dư | | Mother | CCCD | 001134002559 | 4/16/2021 | | Passed away |
| 4.3 | | Nguyễn Hồng Bằng | | father in law | | | | | Passed away |
| 4.4 | | La Thị Rộng | | Mother in law | CMND | 070151597 | 6/12/2017 | | |
| 4.5 | | Nguyễn Thị Kim Oanh | | Wife | CCCD | 008177000069 | 4/27/2021 | | |
| 4.6 | | Trịnh Thanh Tùng | | Son | CCCD | 001098019356 | 4/27/2021 | | |
| 4.7 | | Trịnh Duy Bách | | Son | CCCD | 010203000022 | 4/27/2021 | | |
| 4.8 | | Trịnh Văn Thành | | Older brother | CCCD | 001055016337 | 4/16/2021 | | |
| 4.9 | | Đoàn Thị Sơn | | Sister in law | | 001158025295 | 4/16/2021 | | |
| 4.10 | | Trịnh Thị Khéo | | Sister | CCCD | 001157006345 | 7/24/2021 | | |
| 4.11 | | Nguyễn Văn Lân | | Brother in law | CCCD | 001058003430 | 7/10/2021 | | |
| 4.12 | | Trịnh Văn Tê | | Older Brother | CCCD | 001060023988 | 4/5/2021 | | |
| 4.13 | | Đoàn Thị Gọn | | Sister in law | CCCD | 001165012074 | 7/10/2021 | | |
| 4.14 | | Trịnh Văn Hoàn | | Older brother | CCCD | 001063004922 | 7/23/2021 | | |
| 4.15 | | Vũ Hương Hồng | | Sister in law | CCCD | 008166000108 | 2/17/2021 | | |
| 4.16 | | Trịnh Thị Kính | | Older sister | CCCD | 001166005307 | 12/27/2021 | | |
| 4.17 | | Đỗ Văn Sinh | | Brother in law | CCCD | 001066005679 | 12/27/2021 | | |
| 4.18 | | Trịnh Thị Huệ | | Older sister | CCCD | 001169011867 | 11/22/2021 | | |
| 4.19 | | Bùi Văn Nghênh | | Brother in law | CCCD | 001069039154 | 11/22/2021 | | |
| 4.20 | | Trịnh Văn Tiến | | Younger brother | CCCD | 01074022937 | 4/29/2021 | | |
| 4.21 | | Lê Thị Tình | | Sister in law | CCCD | 008177000214 | 4/10/2021 | | |

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|------|--------------|---------------------|---|------------------------------------|--|--------------|-------------------|--------------------------------------|-------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 5 | | Ngô Quốc Trung | Member of BoD/Deputy General Director/Person authorized to disclosure | | CCCD | 001073024984 | 7/10/2021 | 10/5/2015 | |
| 5.1 | | Ngô Văn Pha | | father | | | | | Passed away |
| 5.2 | | Đỗ Thị Lợi | | Mother | CCCD | 001147000583 | 5/1/2021 | | |
| 5.3 | | Tạ Văn Định | | father in law | | | | | Passed away |
| 5.4 | | Ngô Thị Lan | | Mother in law | | | | | Passed away |
| 5.5 | | Tạ Mỹ Lệ | | Wife | Hộ chiếu | C6292623 | 11/7/2018 | | |
| 5.6 | | Ngô Thùy Chi | | Daughter | Hộ chiếu | C6135780 | 9/27/2018 | | |
| 5.7 | | Ngô Hải Vũ | | Son | Hộ chiếu | C6891892 | 3/13/2019 | | |
| 5.8 | | Ngô Thị Hồng Loan | | Older sister | CCCD | 019170000301 | 4/25/2021 | | |
| 5.9 | | Ngô Văn Bình | | Younger brother | CCCD | 001077041512 | 11/22/2021 | | |
| 5.10 | | Đào Ngọc San | | Brother in law | CCCD | 001064036928 | 7/25/2021 | | |
| 5.11 | | Hoàng Thị Thu Trang | | Sister in law | CMND | 131657966 | 10/6/2017 | | |
| 6 | | Đặng Xuân Tuyên | Deputy General Director | | CCCD | 040068000031 | 10/8/2022 | 6/7/2024 | |
| 6.1 | | Đặng Xuân Dung | | Father | | | | | |
| 6.2 | | Nguyễn Thị Bón | | Mother | | | | | Passed away |
| 6.3 | | Vũ Huy Cường | | Father in law | | | | | |
| 6.4 | | Trần Thị Chút | | Mother in law | | | | | |
| 6.5 | | Vũ Thị Chính | | Wife | CCCD | 022178003485 | 5/3/2022 | | |
| 6.6 | | Đặng Vũ Gia Bình | | Son | CCCD | 001203041566 | 5/3/2022 | | |
| | | Đặng Vũ Diệu Linh | | Daughter | CCCD | 001307006044 | 4/27/2022 | | |
| 6.8 | | Đặng Xuân Dương | | Younger brother | CCCD | 001307006044 | 4/27/2022 | | |

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|------|-----------------------------|-------------------|-------------------------|------------------------------------|--|--------------|-------------------|--------------------------------------|-------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 6.9 | | Đặng Xuân Giang | | Younger brother | | | | | |
| 6.10 | | Đặng Xuân Hà | | Younger brother | | | | | |
| 6.11 | | Đặng Xuân Hiệp | | Younger brother | | | | | |
| 6.12 | | Đặng Xuân Lực | | Younger brother | | | | | |
| 6.13 | | Vũ Trung Kiên | | Brother in law | | | | | |
| 7 | 069C063409 - SHS Securities | Lý Xuân Tuyên | Deputy General Director | | CCCD | 015050001078 | 4/24/2021 | 11/5/2019 | |
| 7.1 | | Lý Xuân Cường | | Father | CCCD | 015046002752 | 8/30/2021 | | |
| 7.2 | | Nguyễn Thị Cậy | | Mother | CCCD | 015146001890 | 9/6/2021 | | |
| 7.3 | | Nguyễn Phú Phú | | Father in law | | | | | Passed away |
| 7.4 | | Lê Thị Dộc | | Mother in law | CCCD | 036140006066 | 5/9/2021 | | |
| 7.5 | 069C097225 - SHS | Nguyễn Thu Hà | | Wife | CCCD | 010183001116 | 4/24/2021 | | |
| 7.6 | | Lý Mai Linh | | Daughter | CCCD | 010306000043 | 4/11/2021 | | |
| 7.7 | | Lý Bảo Châu | | Daughter | | 010311002285 | | | |
| 7.8 | | Lý Minh Châu | | Daughter | | 010317006275 | | | Childhood |
| 7.9 | | Lý Xuân Hùng | | Older brother | CCCD | 015066004631 | 8/30/2021 | | |
| 7.10 | | Lại Thị Khuyển | | Sister in law | CMND | 060804534 | 9/15/2020 | | |
| 7.11 | | Lý Thị Hương | | Older sister | CCCD | 015170005074 | 8/30/2021 | | |
| 7.12 | | Hà Tiên Hải | | Brother in law | CCCD | 015064006126 | 8/30/2021 | | |
| 7.13 | | Lý Thị Xuyên | | Older sister | CCCD | 015173005652 | 8/30/2021 | | |
| 7.14 | | Nguyễn Mạnh Quyền | | Brother in law | CCCD | 010069003313 | 8/30/2021 | | |
| 7.15 | | Lý Xuân Tuyên | | Older Brother | CMND | 063175962 | 5/27/2004 | | |
| 7.16 | | Nguyễn Thị Biên | | Sister in law | CCCD | 015076007555 | 12/17/2021 | | |
| 7.17 | | Lý Xuân Tuyên | | Older brother | CCCD | 015077010631 | 8/30/2021 | | |
| 7.18 | | Lý Thị Truyền | | Younger sister | CCCD | 015182009802 | 8/30/2021 | | |
| 7.19 | | Đoàn Văn Thuận | | Brother in law | CMND | 06308414 | 1/22/2021 | | |

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|------|-----------------------------------|-----------------------|------------------------------|------------------------------------|--|--------------|-------------------|--------------------------------------|-------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 8 | 021C596566 – VNDirect Securities | Lê Tuấn Ngọc | Deputy General Director | | CCCD | 01907000008 | 5/10/2021 | 2/23/2021 | |
| 8.1 | | Lê Minh Cư | | Father | CMND | 090054629 | 4/2/2010 | | |
| 8.2 | | Lưu Thị Khuyên | | Mother | CMND | 090054623 | 3/11/2011 | | |
| 8.3 | | Vũ Văn Phước | | father in law | | | | | Passed away |
| 8.4 | | Đỗ Thị Kim Quy | | Mother in law | | | | | Passed away |
| 8.5 | 033CC45168 – Rồng Việt Securities | Vũ Thị Thu Huyền | | Wife | CCCD | 001175000618 | 5/7/2021 | | |
| 8.6 | | Lê Hồng Anh | | Son | | | | | Childhood |
| 8.7 | | Lê Tuấn Kỳ | | Older Brother | CMND | 090481907 | 8/15/2009 | | |
| 8.8 | | Nguyễn Thị Hồng | | Sister in law | CMND | 013579473 | 11/10/2012 | | |
| 8.9 | | Lê Tuấn Nghĩa | | Older Brother | CCCD | 001061030191 | 5/10/2021 | | |
| 8.10 | | Bùi Thị Liên | | Sister in law | CCCD | 034172022113 | 8/31/2021 | | |
| 8.11 | | Lê Tuấn Khôi | | Older brother | CCCD | 019064010872 | 8/31/2021 | | |
| 8.12 | | Nguyễn Thị Sơn | | Sister in law | CCCD | 019163002900 | 8/31/2021 | | |
| 9 | | Lê Thị Hồng Thắm | Head of Board of Supervisors | Younger brother | CCCD | 019173001908 | 4/27/2021 | | |
| 9.1 | | Lương Văn Lĩnh | | Father | CMND | 030072011461 | 11/1/2021 | 10/6/2015 | Passed away |
| 9.2 | | Bùi Thị Hồng | | Mother | CMND | 013302071 | 4/22/2010 | | |
| 9.3 | | Nguyễn Văn Hạnh | | Father in law | | | | | Passed away |
| 9.4 | | Đỗ Thu Cúc | | Mother in law | | | | | Passed away |
| 9.5 | | Nguyễn Thị Hồng Nhung | | Wife | CMND | 101133348 | 6/2/2008 | | |
| 9.6 | | Lương Tuấn Anh | | Son | CCCD | 001202006911 | 11/1/2021 | | |

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|-------|--------------|------------------------|---------------------------------------|------------------------------------|--|------------------|-------------------|--------------------------------------|-------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 9.7 | | Lương Khánh Huyền | | Daughter | CCCD | 022307010875 | 11/22/2022 | | |
| 9.8 | | Lương Thị Liễu | | Younger sister | CMND | 141615311 | 9/5/2012 | | |
| 9.9 | | Hoàng Văn Bé | | Brother in law | CMND | 141613711 | 12/22/2010 | | |
| 10 | | Phạm Xuân Phong | Member of Board of Supervisors | | CMND | 013240406 | 12/23/2009 | 4/26/2017 | |
| 10.1 | | Phạm Như Phi | | father | | | | | Passed away |
| 10.2 | | Viên Thị Vũ | | Mother | | | | | Passed away |
| 10.3 | | Nguyễn Văn Khúc | | father in law | | | | | Passed away |
| 10.4 | | Phạm Thị Gái | | Mother in law | | | | | |
| 10.5 | | Nguyễn Thị Mai Hoa | | Wife | CMND | 013260811 | 2/24/2010 | | |
| 10.6 | | Phạm Thị Thùy An | | Daughter | CCCD | 00133240433 | 2/1/2010 | | |
| 10.7 | | Phạm Thùy Linh | | Daughter | CCCD | 02230000003 | 6/24/2014 | | |
| 10.8 | | Phạm Thị Thủy Nhuận | | Older sister | CCCD | 035161001280 | 3/28/2018 | | |
| 10.9 | | Phạm Văn Lễ | | Brother in law | CCCD | 035062002181 | 12/3/2018 | | |
| 10.10 | | Phạm Thị Hương | | Younger sister | CCCD | 035171002412 | 4/9/2019 | | |
| 10.11 | | Phạm Văn Hiến | | Brother in law | CMND | 168252147 | 11/11/2011 | | |
| 10.12 | | Phạm Thị Hương | | Younger sister | CCCD | 035174002537 | 2/13/2019 | | |
| 10.13 | | Ngô Văn Nam | | Brother in law | CMND | 162009895 | 9/28/2012 | | |
| 10.14 | | Phạm Văn Cách | | Younger brother | CMND | 050355768 | 8/31/2015 | | |
| 10.15 | | Phạm Thị Thức | | Sister in law | CMND | 051118184 | 5/15/2017 | | |
| 11 | | Nguyễn Nam Hưng | Member of Board of Supervisors | | CMND | 012946660 | 3/22/2007 | 3/25/2020 | |
| 11.1 | | Nguyễn Huy Cường | | father | | | | | Passed away |
| 11.2 | | Vũ Thị Dung | | Mother | CCCD | 030148003068 | 4/14/2021 | | |
| 11.3 | | Nguyễn Tấn Thắng | | father in law | | | | | Passed away |
| 11.4 | | Phạm Thị Vinh | | Mother | CCCD | 019161000576 | 4/18/2021 | | |
| 11.5 | | Nguyễn Thị Thủy | | Wife | CCCD | 019187010751 | 5/10/2021 | | |
| 11.6 | | Nguyễn Thủy Tiên | | Daughter | | | | | Childhood |

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|-------|----------------|------------------------|--|------------------------------------|--|--------------|-------------------|--------------------------------------|-------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11.7 | | Nguyễn Vũ Huy Sơn | | Son | | | | | Childhood |
| 11.8 | | Nguyễn Vũ Hồng Giang | | Older sister | CCCD | 022174005088 | 4/25/2021 | | |
| 11.9 | | Trần Văn Cừ | | Brother in law | CCCD | 022073002188 | 4/22/2021 | | |
| 11.10 | | Nguyễn Huy Anh | | Older brother | CCCD | 022076002382 | 4/25/2021 | | |
| 11.11 | | Nguyễn Thanh Hương | | Sister in law | CCCD | 022176002577 | 4/25/2021 | | |
| 12 | | Nguyễn Văn Viên | Chief Accountant | | CCCD | 001081027263 | 4/5/2019 | 7/16/2018 | |
| 12.1 | | Nguyễn Văn Tuyên | | Father | CCCD | 001060012129 | 5/3/2017 | | |
| 12.2 | | Vũ Thị Hiền | | Mother | CMND | 112692686 | 2/20/2008 | | |
| 12.3 | | Trương Văn Thư | | Father in law | CCCD | 001053003739 | 12/18/2021 | | |
| 12.4 | | Nguyễn Thị Hòa | | Mother in law | CCCD | 001158042407 | 12/12/2021 | | |
| 12.5 | | Trương Thị Lệ | | Wife | CMND | 111651223 | 7/5/2011 | | |
| 12.6 | | Nguyễn Mai Trang | | Daughter | | | | | |
| 12.7 | | Nguyễn Minh Đức | | Son | | | | | |
| 12.8 | | Nguyễn Văn Kiên | | Younger brother | CCCD | 001083008187 | 5/26/2015 | | |
| 12.9 | | Phí Thị Bích | | Sister in law | CCCD | 001185001527 | 7/7/2014 | | |
| 12.10 | | Nguyễn Văn Cường | | Younger brother | CMND | 112394676 | 8/1/2013 | | |
| 12.11 | | Nguyễn Thị Hương | | Sister in law | CMND | 017086862 | 3/7/2009 | | |
| 13 | 026C340367-VPS | Nguyễn Thị Phương Thảo | Person in charge of Company governance/ Secretary of the Company | | CCCD | 019180000111 | 4/25/2021 | 4/2/2020 | |
| 13.1 | | Nguyễn Xuân Mai | | Father | CCCD | 036045000062 | 4/25/2021 | | |
| 13.2 | | Phạm Thị Phương | | Mother | CCCD | 019147000005 | 4/25/2021 | | |
| 13.3 | | Nguyễn Hữu Mạc | | Father in law | CCCD | 001041001654 | 8/8/2017 | | |
| 13.4 | | Ngô Thị Chước | | Mother in law | | | | | Passed away |
| 13.5 | 069c093558-SHS | Nguyễn Quốc Triệu | | Husband | CCCD | 001068001092 | 6/25/2014 | | |

| No | Stock Symbol | Full name | Position | Relationship with internal persons | Type of NSH (ID card, Passport, Business Registration Certificate) | NSH No. | Date of issue NSH | Date of appointment NNB/becoming NLQ | Note |
|------|--------------|--|----------|------------------------------------|--|--------------|---|--------------------------------------|------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 13.6 | | Nguyễn Minh Nguyệt | | Daughter | CCCD | 001307014153 | 4/12/2022 | | |
| 13.7 | | Nguyễn Quốc Hùng | | Son | | 001210023522 | 10/9/2024 | | |
| 13.8 | | Nguyễn Quốc Anh | | Older brother | CCCD | 019075000151 | 7/13/2021 | | |
| 13.9 | | Nguyễn Thủy Quỳnh | | Sister in law | CCCD | 001176023404 | 4/25/2021 | | |
| | | Major Shareholder | | | | | | | |
| | | Vietnam National Coal and Mineral Industries Holding Corporation Limited | | | | 5700100256 | First issued on July 12, 2010, second change issued on October 21, 2014 | | |

Appendix 02

Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons

| No. | Name of organization /individual | Relations hip with the Company | Ref. Date of issue, place of issue | Address | Time of transactions with the company | Ref. of resolutions, decision endorsed by General Meeting of Shareholders/Board of Directors (if any, specifying date of issue) | Content, quantity, total value of the transaction | Note |
|-----|--|--------------------------------|------------------------------------|--|---------------------------------------|---|--|------|
| 1 | Vietnam National Coal and Mineral Industries Holding Corporation Limited | Major Shareholder | 57001 0025, 21/10/ 2014 | No. 3 Duong Dinh Nghê, Hanoi City, Vietnam | 2024 | Resolution ref. 933/NQ-VIMICO endorsed by General Meeting of Shareholders on 23/4/2024 | Contract for mining, concentrating and processing of minerals in 2024 and Cathode copper 30.081,6521 tons, value before VAT: 6.924.684.017.583 VND | |

Appendix 03

Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO)

| No | Name of organization/ Individual | Relationship with the Company | No. NSH, Date of issue, Place of issue | Address of Head office, Contact address | Time of transaction with the company | Ref. of resolutions, decision endorsed by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue) | Content, quantity, total value of the transaction | Note |
|----|----------------------------------|--|--|---|--------------------------------------|--|---|-------------------------------|
| 1 | Ta Phoi Copper Company | Mr. Nguyen Van Hai – Chairman of Board of Director | 5300252991 | No 40, Vo Nguyen Giap, Bac Cuong ward, Lao Cai city, Lao Cai province | | Resolution No. 1550/NQ - VIMICO dated 27/6/2024 | Copper concentrate Quantity 33,000 tons, provisional value: 1.242.868.987.108 VND | Contract 225/HĐMB-ĐTP- VIMICO |

| | | | | | | | | | |
|---|--|--|------------|---|---|--|--|--|--|
| 2 | Ta Phoi Copper Company | Mr. Nguyen Van Hai - Chairman of Board of Director | 5300252991 | No. 40, Vo Nguyen Giap, Bac Cuong ward, Lao Cai city, Lao Cai province | | | Resolution No. 3116/NQ - VIMICO dated 26/12/2024 | Copper Concentrate 30,462 tons, provisional value: 1.349.243.972.387 VND | Contract No. 315/DTP- VIMICO/202 4 |
| 3 | Cao Bang Cast Iron and Steel Joint Stock Company | Mr. Ngo Quoc Trung - Chairman of Board of Director | 4800162247 | No. 52, Kim Dong street, Hop Giang street, Cao Bang city, Cao Bang province | from 6/2024 to 31/12/2024. | | Resolution No. 1275/NQ - VIMICO dated 28/5/2024 | Iron ore concentrate: 30,000 dry tons, provisional value: 82.126.260.000 VND. | Contract No. 99/VIMICO- CISCO/2024 |
| 4 | Cao Bang Cast Iron and Steel Joint Stock Company | Mr. Ngo Quoc Trung - Chairman of Board of Director | 4800162247 | No. 52, Kim Dong street, Hop Giang street, Cao Bang city, Cao Bang province | From 7/2024 up to 30/6/2025 or after both parties complete the 2025 Annual General Meeting of Shareholders - whichever comes later. | | Resolution No. 1550/NQ - VIMICO dated 27/6/2024 | Steel billet; 220.000 tons; At market price; Provisional value 3.218.600.000.000 VND. | Contract no. 138/VIMICO -CISCO/2024 |
| 5 | Cao Bang Cast Iron and Steel Joint Stock Company | Mr. Ngo Quoc Trung - Chairman of Board of Director | 4800162247 | No. 52, Kim Dong street, Hop Giang street, Cao Bang city, Cao Bang province | Expected from the end of July to September 30, 2024 or after both parties complete the | | Resolution No. 1708/NQ - VIMICO dated 17/7/2024 | Metallurgical coke; 20.000 tons; market price: 199.100.000.000 VND | Contract No. 151/VIMICO -CISCO/2024 and contract No. 159/VIMICO |

Appendix 04

Share transactions of internal persons and their affiliated persons (annual report 2024)

The list of internal persons and their affiliated persons.

| No. | Full Name | Securities trading account (if any) | Position at the company (if any) | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|-----|----------------|-------------------------------------|---|---|--|---|--|------|
| 1 | Ly Xuan Tuyen | 069C063409 | Deputy General Director Vinacomin – Minerals Holding Corporation | 01508000 1078 | CH A2605 Imperia Garden, 203 Nguyen Huy Tuong, Thanh Xuan Trung, Thanh Xuan, Hanoi | 5.000 | 0,00250% | |
| 2 | Nguyen Van Hai | 069C668966 | Chairman of Board of Director Vinacomin – Minerals holding Corporation | 03006800 0611 | No. 56 Alley 17, Thai Ha Street, Trung Liet ward, Dong Da District, Hanoi | 0 | 0 | |

Appendix 05

Transactions of internal persons and affiliated persons with shares of the Company

| No. | Transaction executor | Relationship with internal persons | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.) |
|-----|----------------------|---|---|------------|---|------------|---|
| | | | Number of shares | Percentage | Number of shares | Percentage | |
| 1 | Ly Xuan Tuyen | Deputy General Director – Vinacommin – Minerals Holding Corporation | 18.000 | 0,0090% | 5.000 | 0,00250% | Individual Investment |
| 2 | Nguyen Van Hai | Chairman of Board of Director – Vinacommin – Minerals Holding Corporation | 10.000 | 0,0050% | 0 | 0 | Individual Investment |

INFORMATION OF CORPORATE GOVERNANCE
Reporting Period: 2024

| No | Question | Answer |
|----|--|------------|
| 1 | Stock symbol | KSV |
| 2 | State shareholder ownership ratio (%) | 98% |
| 3 | Company model (1 or 2) - 1: According to point a, clause 1, Article 137 of the Enterprise Law 2020 - 2: According to point b, clause 1, Article 137 of the Enterprise Law 2020 | 1 |
| 4 | Number of legal representatives of the company? | 1 |
| 5 | Amended charter according to the Enterprise Law 2020 (Yes/No)? | Yes |
| 6 | The company issued internal regulations on corporate governance according to the Enterprise Law 2020 and Decree No. 155/2020/ND-CP dated December 31, 2020 | Yes |
| 7 | Date of holding the Annual General Meeting of Shareholders | 23/04/2024 |
| 8 | Date of posting documents of the Annual General Meeting of Shareholders on the company's website | 23/04/2024 |
| 9 | Date of disclosure of information on documents of the Annual General Meeting of Shareholders to the Stock Exchange | 25/3/2024 |
| 10 | Date of disclosure of Resolutions and Minutes of the Annual General Meeting of Shareholders to the Stock Exchange | 24/04/2024 |
| 11 | How many times has the Company successfully organized the Annual General Meeting of Shareholders (1/2/3) | 1 |
| 12 | Does the Company include the remuneration of each member of the Board of Directors as a separate item in the Company's Annual Financial Report (Yes/No) | Yes |
| 13 | Does the Company report the remuneration of each member of the Board of Directors at the Annual General Meeting of Shareholders (Yes/No) | Yes |

| | | |
|----|---|-----|
| 14 | Does the Company include the remuneration of the General Director (Director) and other managers as a separate item in the Company's Annual Financial Report (Yes/No) | Yes |
| 15 | Does the Company report the remuneration of the General Director (Director) and other managers at the Annual General Meeting of Shareholders (Yes/No) | Yes |
| 16 | Does the Company invite a representative of an approved auditing organization to audit the Company's Annual Financial Report to attend the Annual General Meeting of Shareholders in case the audit report of the report Does the company's annual financial statements contain any material exceptions, denials, or contradictions | Yes |
| 17 | General Meeting of Shareholders (In the reporting period) Is the company being sued regarding the organization of the Annual General Meeting of Shareholders or the Resolution of the Annual General Meeting of Shareholders? (Yes/No) | No |
| 18 | Date of the Extraordinary General Meeting of Shareholders | |
| 19 | Date of posting documents of extraordinary shareholders' meeting on the company's website | |
| 20 | Date of announcement of information of extraordinary shareholders' meeting documents to the Stock Exchange | |
| 21 | Date of announcement of Resolution and Minutes of extraordinary shareholders' meeting to the Stock Exchange | |
| 22 | How many times has the company successfully organized an extraordinary shareholders' meeting (1/2/3)? | |
| 23 | Has the company been sued for organizing the General Meeting of Shareholders, Resolution of the Extraordinary General Meeting of Shareholders? (Yes/No) | |
| 24 | Number of times the Stock Exchange has reminded or noted regarding the organization and disclosure of information about the General Meeting of Shareholders | 0 |

| | | | |
|----|-----------------------------|--|--|
| 25 | | Number of times the State Securities Commission has reminded or noted regarding the organization and disclosure of information about the General Meeting of Shareholders | 0 |
| 26 | | Number of members of the Board of Directors | 5 |
| 27 | | Number of independent members of the Board of Directors | 1 |
| 28 | | Chairman of the Board of Directors concurrently serving as General Director/Director (Yes/No) | No |
| 29 | Board of Directors | Number of meetings of the Board of Directors during the reporting period | 29 |
| 30 | | Subcommittees under the Board of Directors (Yes/No) | Yes |
| 31 | | Names of subcommittees under the Board of Directors | Internal audit |
| 32 | | Has the company appointed a person in charge of Corporate Governance | Yes |
| 33 | | Does the Head of the Supervisory Board work full-time at the company? (Question for companies operating under model 1) | Yes |
| 34 | | Number of Supervisory Board members (Question for companies operating under model 1) | 3 |
| 35 | | Number of supervisors who are related to the Board of Directors, Director/General Director and other managers (List the names of supervisors who are related, if any) (Question for companies operating under model 1) | 0 |
| 36 | Board of Supervisors | Number of supervisors holding management positions (List the list and positions, if any) (Question for companies operating under model 1) | 2 (Mr. Pham Xuan Phong - Deputy Head of Internal Audit Department, Vietnam National Coal - Mineral Industries Group; Mr. Nguyen Nam Hung - Head of Electromechanical Department, Minerals Corporation - TKV) |
| 37 | | Number of auditors who are in the financial accounting department or employees of the auditing company who have audited the company within 3 years before being elected to the Supervisory Board (Yes/No) (Question for companies operating under model-1) | No |

| | | | |
|----|-----------------|--|-------------|
| 38 | | Number of Supervisory Board meetings (Question for companies operating under model 1) | 4 |
| 39 | | Is the audit committee under the Board of Directors? (Question for companies operating under model 2) | |
| 40 | Audit Committee | Number of members of the Audit Committee (Question for companies operating under model 2) | |
| 41 | | Number of meetings of the Audit Committee (Question for companies operating under model 2) | |
| 42 | | The company's website provides full information to shareholders as prescribed (Yes/No) | Yes |
| 43 | Other issues | The company pays dividends within 6 months from the end date of the annual General Meeting of Shareholders in the reporting period (Yes/No) | Yes |
| 44 | | Has the company been reminded or noted by the Stock Exchange or the State Securities Commission about transactions with related parties (Yes/No) | No |
| 45 | | Has the company performed the internal audit function (Performed/Not performed) | Implemented |

| | | | | | | | | | |
|---|--|--|------------|---|--|---|--|--|-------------------------------------|
| 6 | Cao Bang Cast Iron and Steel Joint Stock Company | Mr. Ngo Quoc Trung – Chairman of Board of Director | 4800162247 | No. 52, Kim Dong street, Hop Giang street, Cao Bang city, Cao Bang province | 2025 Annual General Meeting of Shareholders - whichever comes later. | From the effective date of the contract until June 30, 2025 or until CISCO successfully organizes the 2025 Annual General Meeting of Shareholders | Resolution No. 2260/NQ - VIMICO dated 20/9/2024 | Metallurgical coke; 100.000 tons; At market price; provisional value 1.100.000.000.000 VND | Contract No. 187/VIMICO -CISCO/2024 |
| 7 | Vietnam National Coal and Mineral Corporation | Major Shareholder | | | | Resolution No. 1257/NQ - VIMICO on 27/05/2024 | Register with Vinacommin the need to extend the Contract for transferring the right to use the trademarks of TKV and VINACOMIN | | |

VIETNAM NATIONAL COAL AND MINERAL
INDUSTRIES HOLDING CORPORATION
VINACOMIN – MINERALS HOLDING CORPORATION

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, January 2025

LIST OF STATE SHAREHOLDERS AND MAJORITY SHAREHOLDERS

Respectfully to: - The State Securities Commission
- Hanoi Stock Exchange

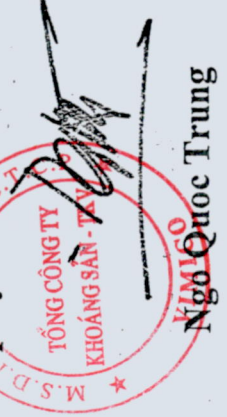
Stock symbol: **KSV**

Record date: 20/08/2024

| No | Name of person/ Organization (name of representative) | Shareholder type | | ID Number/ Certificate of business registration No. | Date of issue | Shareholding (shares) | Shareholding (%) | Notes |
|----|--|-------------------|----------------------|---|---------------|-----------------------|------------------|-------|
| | | State Shareholder | Majority Shareholder | | | | | |
| 1 | | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 1 | Vietnam National Coal and Mineral Industries Holding Corporation | X | X | 5700100256 | 21/10/2010 | 196.117.900 | 98,06 | |

PERSON IN CHARGE OF INFORMATION DISCLOSURE

Deputy General Director


TỔNG CÔNG TY
KHUANG SAN - T.V.V.
Ngô Quốc Trung