

**CÔNG TY CỔ PHẦN
CHỨNG KHOÁN STANLEY
BROTHERS**
STANLEY BROTHERS SECURITIES
INCORPORATION

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số: 084../2025/CV-SBSI
No.: 084../2025/CV-SBSI

Hà Nội, ngày 18 tháng 3 năm 2025
Hanoi, day 18 month 3 year 2025

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi: Ủy ban Chứng khoán Nhà Nước;

To: The State Securities Commission;

Sở Giao dịch Chứng khoán Việt Nam;
Vietnam Exchange;

Sở Giao dịch Chứng khoán Hà Nội;
Hanoi Stock Exchange;

Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh;
Hochiminh Stock Exchange;

1. Tên tổ chức/Name of organization:

CÔNG TY CỔ PHẦN CHỨNG KHOÁN STANLEY BROTHERS
STANLEY BROTHERS SECURITIES INCORPORATION

- Mã chứng khoán/ Stock code: VUA

- Mã thành viên/Broker code: 088

- Địa chỉ/Address: Tầng 9, tòa nhà TNR, số 54A, Nguyễn Chí Thanh, phường Láng Thượng, quận Đống Đa, thành phố Hà Nội.

9th Floor, TNR Building, No. 54A, Nguyen Chi Thanh, Lang Thuong Ward, Dong Da District, Hanoi City

- Điện thoại liên hệ/Tel.: (+84) 24 3377 6699

Fax: (+84) 24 3373 6699

- E-mail: cbtt@sbsi.vn

2. Nội dung thông tin công bố/Contents of disclosure:

Nghị quyết HĐQT số 04../2025/NQ-HĐQT ngày 18/3/2025 thông qua Phê duyệt tài liệu trình Đại hội đồng cổ đông thường niên năm 2025.

The Resolution of the Board of Directors No. 04../2025/NQ-HDQT dated March 18th 2025 approving the documents to be submitted to the Annual General Meeting of Shareholders 2025



3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 18.1.3./2025 tại đường dẫn <https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>

This information was disclosed on the company's website on March 18th 2025, as in the link <https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>

Trong trường hợp có sự khác biệt và/hoặc mâu thuẫn giữa nội dung tiếng Việt và tiếng anh thì nội dung bằng tiếng Việt sẽ được ưu tiên áp dụng./ *In case of any discrepancy and/or conflict between Vietnamese and English languages, Vietnamese version shall prevail.*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./ *We hereby commit that the information disclosed above is true and take full legal responsibility for the content of the published information.*

Đại diện tổ chức

Organization representative

Người đại diện theo pháp luật

Legal representative

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)

(Signature, full name, position, and seal)



Tổng Giám đốc/General Director

Nguyễn Quang Anh/Nguyen Quang Anh



STANLEY BROTHERS SECURITIES
INCORPORATION

No. *04* /2025/NQ-HDQT

SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

Hanoi, March *18th* 2025

RESOLUTION

Re: Approving documents to be submitted to the Annual General Meeting of
Shareholders 2025

BOARD OF DIRECTORS

STANLEY BROTHERS SECURITIES INCORPORATION

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Law on Securities No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019, the Law amending the Law on Securities approved on November 29, 2024 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Incorporation;
- Pursuant to the Minutes of the Board of Directors meeting No. *1803* /2025/BB-HDQT dated *18* / *3* /2025.

HEREBY RESOLVED:

Article 1. Approving documents to be submitted to the Annual General Meeting of Shareholders 2025, update data according to disclosed the Audited financial statements, specifically as follows:

- 1.1 The Board of Management's Operational Report on 2024 and plan for 2025;
- 1.2 The Board of Director's Operational Report on 2024 and plan for 2025;
- 1.3 The Board of Supervisor's Operational Report on 2024 and plan for 2025;
- 1.4 Proposal for approval of the 2024 Audited Financial Statements and Audited Financial Ratio Report 2024;
- 1.5 Proposal for approval the selection of the Audit firm of the 2025 Financial Statements;
- 1.6 Proposal for approval the profit distribution plan 2024;
- 1.7 Proposal for approval the payment of remuneration of the Board of Directors and the Supervisory Board 2024 and The remuneration plan of the Board of Directors and the

Supervisory Board 2025;

- 1.8 Proposal for approval the change of information on the company's head office;
- 1.9 Proposal for approval the Company's investment plan in 2025;
- 1.10 Proposal for approval the amendment and supplementation of the Company's Charter;
- 1.11 Proposal for approval the policy of transactions between the Company and related persons;
- 1.12 Other matters fall under the jurisdiction of the General Meeting of Shareholders.

Article 2. Implementation organization

Assign the Chairman of the Board of Directors and the General Director to implement and direct the implementation of tasks related to the organization of the 2025 Annual General Meeting of Shareholders in accordance with the Company's Charter and relevant laws.

Article 3. Enforcement Terms

This Resolution takes effect from the date of signing. Members of the Board of Directors, the Board of Management, departments, divisions and relevant individuals of the Company shall be responsible for implementing this Resolution./.

Recipient:

- As Article 3;
- Kept Company.

ON BEHALF OF THE BOARD OF DIRECTORS



Luyen Quang Thang

Hanoi, March 18th 2025

ORGANIZATION REGULATIONS
ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

I. GENERAL PROVISIONS

Article 1. Scope of adjustment

This Regulation specifies the conditions for organizing the meeting, the rights and obligations of the parties participating in the meeting and the mode of conducting the meeting.

Article 2. Subjects of application

Shareholders, or authorized representatives of shareholders named in the list of shareholders on the closing date of the right to attend the 2025 Annual General Meeting of Shareholders have the right to directly attend the General Meeting or authorize others to attend the General Meeting meeting. The authorized person may not be re-authorized to a third party.

Article 3. Validity

This Regulation applies to the organization of the 2025 Annual General Meeting of Shareholders of Stanley Brothers Securities Incorporation (the "Company").

II. CONDITIONS FOR CONDUCTING THE MEETING

Article 4. Conditions for conducting the General Meeting

The General Meeting is conducted when the number of shareholders/authorized representatives of shareholders attending the meeting is more than 50% of the voting shares according to the List of shareholders closing on 20/02/2025.

III. RIGHTS AND OBLIGATIONS OF THE PARTIES PARTICIPATING IN THE MEETING

Article 5. Regulations on order

- Shareholders when entering the General Meeting room must sit in the right position or area prescribed by the Organizing Committee of the General Meeting, comply with the arrangement of the position of the Organizing Committee.
- Shareholders attending the General Meeting must comply with general regulations on culture and conduct in public places. Shareholders attending the General Meeting do not talk privately, do not use phones during the General Meeting. All mobile phones must be turned off or not allowed to ring. The Organizing Committee has the right to invite shareholders who do not comply with the general regulations to leave the General Meeting so as not to affect the common agenda.
- Shareholders attending the General Meeting are responsible for attending the General Meeting of Shareholders from the beginning of the General Meeting to the end of the General Meeting. In case a shareholder attending the General Meeting has to leave the meeting before the end of the General Meeting due to force majeure reasons, such shareholder shall be responsible for contacting the Organizing Committee to inform in writing his or her opinions on the issues to be voted on at the General Meeting



Article 6. Authorization Regulations

- The authorization for a representative to attend the General Meeting of Shareholders must be made in writing according to the form of the company and must be signed according to the following provisions:
- + In case a shareholder is an individual who is an authorizer, it must have the signatures of such shareholder and the authorized person attending the meeting;
- + In case the shareholder is an organization that is an authorized person, it must bear the signatures of the legal representative of the shareholder and the authorized person attending the meeting;
- + In other cases, the signatures of the legal representative of the shareholder and the person authorized to attend the meeting must be obtained.
- In cases where the Power of Attorney is made in writing not according to the company's form, the Chairman of the General Meeting shall have the sole discretion to decide on the validity of such Power of Attorney.
- A person may act as an authorized representative for one or more shareholders.
- The person authorized to attend the General Meeting of Shareholders must submit a written authorization when registering to attend the meeting before entering the meeting room.

Article 7. Rights and obligations of shareholders attending the general meeting

7.1. Rights of shareholders to attend the general meeting

- To directly attend or authorize others to attend the general meeting with a written authorization according to the form of the Company. The authorized representative of a shareholder attending the General Meeting may not be re-authorized to a third person to attend the General Meeting.
- When attending the Annual General Meeting of Shareholders, each shareholder/shareholder representative when attending the General Meeting of Shareholders shall receive a Voting Card, a Voting Slip with the number of shares corresponding to the number of votes for the issues discussed at the meeting;
- To discuss and vote on all issues under the competence of the General Meeting in accordance with the Law on Enterprises, other relevant legal documents and the Company's Charter;
- Notified by the Organizing Committee of the content and program of the Meeting;
- To distribute the enclosed documents and programs of the Meeting;
- Shareholders who come to the General Meeting of Shareholders late have the right to register immediately and then have the right to participate and vote immediately at the General Meeting, but the Chairman is not responsible for stopping the General Meeting so that shareholders can register and the validity of the conducted voting will not be affected.

7.2. Obligations of ordinary shareholders when attending the General Meeting

- Strictly comply with the provisions of the Law on Enterprises, the Company's Charter and this Regulation;
- When shareholders or authorized persons come to attend the General Meeting, they must complete the procedures for registering to attend the General Meeting with the Organizing Committee of the General Meeting and present the following documents:
- + Meeting invitation letter;
- + Original Valid Citizen Identity Card/Passport;

- + The original of the valid Certificate of Attendance/Authorization to attend the General Meeting of Shareholders according to the form of the Company (if any);
- + For shareholders who are organizations, the representative is requested to bring a certified copy of the latest Enterprise Registration Certificate; The original of the valid citizen identity card/passport and the original certificate of attendance/authorization to attend (in case the representative is not the legal representative of that organization).
- Strictly abide by the rules at the General Meeting of Shareholders, respect the results of work at the General Meeting;
- Speak under the supervision of the Chairman of the meeting and vote in accordance with the guidance of the Organizing Committee and respect the control of the Meeting of the Chairman of the Meeting;
- The audio and video recording of the Meeting must be publicly announced and approved by the Chairman of the Meeting.
- Self-sufficient expenses to attend the meeting (such as transportation, meals, rest, etc.).

Article 8. Rights and obligations of the Presidium

- The Presidium is elected by the General Meeting of Shareholders to administer the Meeting.
- To administer the activities of the Company's General Meeting of Shareholders in accordance with the order of the General Meeting expected to be approved by the General Meeting of Shareholders.
- Guide the delegates and the Meeting to discuss.
- Submit drafts and conclusions on necessary issues for the General Meeting of Shareholders to vote on.
- Answering/appointing persons responsible for answering questions requested by the Meeting.
- The Presidium works according to the principle of collectiveness, democratic focus, conducts the General Meeting in a valid and orderly manner and reflects the wishes of the majority of shareholders and representatives of shareholders attending.
- Other rights under the Charter of organization and operation of the Company.

Article 9. Responsibilities of the Shareholder Qualification Inspection Board

The Board for Checking the Eligibility of Shareholders to attend the General Meeting is appointed by the Organizing Committee of the General Meeting. The Qualification Examination Committee shall be responsible for examining the qualifications of delegates and reporting to the General Meeting of Shareholders on the results of the qualification examination and the legality and validity of the organization of the General Meeting in accordance with the provisions of the Company's Charter.

Article 10. Responsibilities of the General Assembly Secretariat

The Secretariat of the Meeting was introduced by the Presidium and approved by the Meeting. The Secretariat of the Meeting has the following tasks:

- Fully and honestly record all contents of the General Meeting and issues that have been approved or noted by the shareholders in the Minutes of the General Meeting and approved before closing.
- Assisting the Presidium in announcing the draft documents, conclusions and minutes of the Meeting.

- Receiving questions from shareholders through the Presidium's assisting team.

Article 11. Responsibilities of the Vote Counting Board

The vote counting committee is nominated by the Presidium and approved by the General Meeting of Shareholders by voting. The vote counting committee has the following tasks:

- Dissemination of rules, principles for voting, instructions for the use of voting papers/voting paper cards.
- Collect votes after the Meeting votes and conduct vote counting.
- Report to the Meeting on the results of vote counting.
- To consider and report to the Meeting for decision on cases of violation of election rules or complaints about elections (if any).

IV. CONDUCT THE MEETING

Article 12. Contents of the Meeting

The General Meeting will in turn discuss and approve the contents stated in the agenda of the General Meeting of Shareholders and other contents (if any).

Article 13. Voting to approve decisions at the General Meeting

13.1. Voting principles:

- All issues in the agenda and contents of the Meeting must be publicly discussed by the Meeting and voted on by voting paper card or by voting paper.
- Voting cards and voting slips are printed by the Company and sent directly to shareholders when carrying out the procedures for checking the eligibility of shareholders to attend the General Meeting.
- Voting paper cards and voting paper papers can be encrypted to serve the counting of votes by computer software.
- Each shareholder is issued 01 voting card and 01 voting slip. On the voting card and voting slip, the shareholder code, full name, total number of voting shares are clearly inscribed.
- In case a shareholder comes to the meeting after the voting is over, such shareholder may still register to attend and vote on issues arising after the shareholder/authorized representative of the shareholder registers, but is not allowed to participate in voting on matters that have been voted on. In this case, the validity of the passed votes is not affected.

13.2. Voting method:

- Issues on the agenda of the Meeting must be approved by direct voting at the Meeting. Each shareholder is issued a Voting Card and a Voting Slip with the Company's seal;

- How to vote:

Voting Card:

- + Characteristics: The voting card contains information of the Shareholders/authorized representatives, the number of shareholders attending, the number of shares entitled to vote at the General Meeting and the corresponding number of voting votes.
- + Purpose: Use the Voting Card to vote on the contents that need to be approved right at the Meeting such as: Working Regulations of the Meeting, Meeting Agenda, election of the Presidium, Vote Counting Committee, approval of the composition of the Secretariat, ... in the form of holding up voting cards.
- + How to use: Shareholders will have to raise their Voting Cards to vote "Approve", or

"Disapprove", or "No opinion" with voting contents when the Organizing Committee or the Presidium of the General Meeting requests a vote. The results of the vote counting will be announced by the Vote Counting Committee immediately after voting on each content.

Voting Paper:

- + Characteristics: The voting slip contains information of the Shareholders, the number of shareholders attending, the number of shares entitled to vote at the General Meeting and the corresponding number of voting votes.
- + Purpose: To vote on the contents to be approved at the General Meeting by voting. The Organizing Committee arranges voting paper boxes at the hall for shareholders to vote and vote.
- + How to use: On the Voting Sheet, the Organizing Committee of the Meeting will print all the contents to be voted on. Corresponding to each content to be voted on, there are 3 voting options: "Approve", "Disapprove" or "No opinion". When the Presidium asks shareholders to vote, shareholders shall mark "X" or "✓" on that option and cast their votes in the voting paper box.

- Validity of voting papers:

- + Valid voting paper: are those issued by the Organizing Committee of the General Meeting according to the form, stamped with the Company's seal and marked in accordance with regulations for each content to be voted on (for each content to be voted on, shareholders are only allowed to choose one of three options: Approve - Disapprove - No opinion; in case the Shareholders change their opinions before completing the voting, they will re-mark and sign on the right side of their final choice plan); sign clearly stating the full names of shareholders/authorized representatives to attend the meeting.
- + The voting paper is invalid when:
 - i. The paper is not issued by the Organizing Committee of the General Meeting according to the form and is not stamped with the Company's seal and/or;
 - ii. The paper does not have the signature of the shareholder/authorized representative to attend the meeting and or;
 - iii. The paper is torn, crossed and or;
 - iv. If the voting paper has two or more options in the same content and there is no signature on the final option or no option is selected, the vote for that content shall be considered invalid. The voting on each content to be approved in the voting paper is independent of each other and the validity is determined on each voting content .
- + Handling of invalid voting papers:

If the voting papers are invalid for reasons (i), (ii), (iii), all voting contents shall not be included in the vote counting results.

For case (iv): the votes with each invalid content, the invalid voting content shall not be included in the vote counting results.

- Voting rules:

- + Each common share has one vote.

- + Approve the issue of decisions on the type of shares and the total number of shares of each type; changes in business lines, trades and fields; change the organizational structure of the company's management; investment or sale projects with a value equal to or greater than 50% of the total value of assets stated in the Company's latest financial statements; reorganization and dissolution of the company: must reach at least 65% of the total votes of all shareholders attending the meeting in favor.
- + Passing other issues: must reach more than 50% of the total votes of all shareholders attending the meeting in favor.
- + Time to count votes and announce the results of vote counting: Voting paper papers will be counted and reported by the Vote Counting Committee right at the Meeting.

Article 14. Regulations for counting of voting papers in the form of voting by papers

14.1. Organizing

The counting of votes must be conducted by the Counting Committee in a closed room immediately after the voting is over. The vote counting committee did not cross out or correct the voting papers. The vote counting committee will record the voting results in the minutes of the General Meeting, including:

- The number of votes "Yes, No or No opinion."
- The percentage (%) corresponds to the number of votes "Approve, Disapprove or No opinion" out of the total number of votes collected.
- In case Shareholders/Shareholders' representatives have questions about the voting results, the Chairman will consider and decide right at the General Meeting.

14.2. Announcement of vote counting results

- After the vote counting process is completed, the Vote Counting Committee shall make a record of vote counting signed by all members of the Vote Counting Committee.
- The Head of the Vote Counting Committee read the minutes of the vote counting results on behalf of the Vote Counting Committee before the Meeting.

Article 15. Speech at the Meeting

- Shareholders attending the meeting who wish to express their opinions for discussion must register the contents of their speeches in the questionnaire, in case there is no questionnaire, they shall register the contents of their speeches on paper and transfer them to the assisting team to send them to the Secretariat for submission to the Presidium.
- In case the Presidium requests shareholders to speak directly, shareholders need to raise their hands to propose to speak and only express their opinions when the Presidium invites them to speak.
- All shareholders have the right and are encouraged to participate in expressing their opinions at the General Meeting. However, in case there are too many opinions that lead to the prolongation of the Meeting unplanned time or there are many overlapping opinions on the content, the Presidium has the right to choose the most common or closest opinions to the agenda to answer and refuse to answer issues outside the agenda.
- Shareholders when making speeches need to be concise, avoid duplication and focus on the

focus to be discussed, in accordance with the content of the agenda of the approved General Meeting. The Chairman of the General Meeting will arrange for shareholders to speak in the order of registration, and at the same time answer questions of shareholders.

Article 16. Approval of the decision at the General Meeting

- Issues of the General Meeting shall be approved upon the approval of the voting shareholders present in person or through authorized representatives present at the General Meeting at the rate prescribed in the Company's Charter and the provisions of the Law on Enterprises;
- Shareholders or authorized representatives who come to the meeting shall vote to agree, disagree or have no opinions on matters that must be approved at the General Meeting as prescribed in Article 13 of this Regulation.
- In the content of the voting paper, no content is marked as no opinion.

V. END OF THE MEETING

Article 17. Minutes of the General Meeting of Shareholders

All contents at the General Meeting of Shareholders shall be recorded by the Secretary of the General Meeting. The minutes of the meeting are read and approved before the closing of the meeting and are kept in the documents of the General Meeting of Shareholders of the Company.

Article 18. Resolution of the General Meeting of Shareholders

- Based on the results at the General Meeting, the Chairman issued a Resolution of the General Meeting of Shareholders on the issues approved by the General Meeting.
- Shareholders, members of the Board of Directors, the Supervisory Board and the General Director have the right to request the Court or Arbitration to consider and annul part or all of the decision of the General Meeting of Shareholders in accordance with the provisions of the Law on Enterprises.

VI. MISCELLANEOUS

Article 19. In case of unsuccessful organization of the General Meeting of Shareholders

- In case the first meeting fails to meet the conditions specified in Article 4 of this Regulation, it shall be convened for the second time within thirty days from the date on which the first meeting is scheduled to be opened. The meeting of the Second General Meeting of Shareholders is conducted when the number of shareholders attending the meeting represents at least 33% of the voting shares.
- In case the second convened meeting fails to meet the conditions for conducting as prescribed in Clause 1 of this Article, it may convene the third meeting within twenty days from the date on which the second meeting is expected to open. In this case, the General Meeting of Shareholders shall be conducted regardless of the number of shareholders attending the meeting.



VII. IMPLEMENTATION TERMS

Article 20. Enforcement effect

This Regulation consists of 7 parts, 20 articles, effective as soon as it is approved at the Annual General Meeting of Shareholders in 2025.

ON BEHALF OF BOARD OF DIRECTORS

CHAIRMAN OF THE BOARD



LIÊN QUANG THANG



STANLEY BROTHERS SECURITIES INCORPORATION

Address: 9th Floor, TNR Building, No. 54A, Nguyen Chi Thanh, Lang Thuong Ward, Dong Da District, Hanoi City

Phone: (+84) 24 3377 6699

Fax: (+84) 24 3373 6699

Email: info@sbsi.vn

Website: <https://sbsi.vn/>

VOTING CARD

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

No.....

Name of Shareholder/ Authorized Person :
ID Card/Passport number :
Number of shares owned (shares) :
Number of authorized shares (shares) :
Total votes (shares) :



VOTING PAPER

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

Name of Shareholder/ Authorized Person :
ID Card/Passport number :
Number of shares owned (shares) :
Number of authorized shares (shares) :
Total number of voting shares (shares) :

(Shareholders, please mark "X" or "✓" in the selection opinion box. If the content does not have any opinions or has 02 or more opinions, that content shall not be recorded and counted)

STT	Content	Approve	Disapprove	No Comments
1	Approval of the Board of Directors Report			
2	Approval of the Supervisory Board's Operation Report			
3	Approval of the Board of Management Report			
4	Approval of the 2025 business plan			
5	Approval of the Audited Financial Statements and Audited Financial Safety Ratio Report in 2024			
6	Approval of the selection of audit firm for financial statement and financial safety ratio in 2025			
7	Approval of the profit distribution plan in 2024			
8	Approval of the payment of remuneration of the Board of Directors, the Supervisory Board, the salary of the Company's managers in 2024 and the remuneration plan of the Board of Directors and the Supervisory Board in 2025			
9	Approval of change the information location of the company's head office			
10	Approval of the Company's investment plan in 2025			
11	Approval of the amendment of the Charter			
12	Approval of transactions between the Company and related individuals			

Hanoi, March 24, 2025

SHAREHOLDER

(Signing and full name, seal (if any))

BOARD OF DIRECTORS REPORT

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

The Board of Directors of the Company would like to report to the Annual General Meeting of Shareholders in 2025 on the implementation of the tasks of the Board of Directors in 2024 and the development orientation and objectives in 2025 as follows:

I. GENERAL CONTEXT

On the macroeconomic situation

In 2024, the world situation will continue to be complicated and unpredictable with many risk and uncertain factors. Military conflicts continue to escalate, strategic competition between major countries is becoming more and more intense, many countries have strengthened trade protectionist policies, public debt and budget deficits have increased, the decline of some major economies, local disruption of global supply chains has impacted peace, stability and world economic growth. Along with that, natural disasters and extreme weather seriously affect the lives of residents and socio-economic development in many countries. In that context, under the leadership of the Party; the companionship of the National Assembly; the proactive, flexible, drastic and effective direction and administration of the Government and the Prime Minister; the close coordination of ministries, branches and localities; the solidarity, trust and support of the People and the business community; The socio-economic situation of our country in 2024 will continue the trend of clear recovery, growth will gradually improve month by month and quarter, inflation will be lower than the target level, major balances will be ensured, results in many important fields will meet and exceed the set target, etc is a bright spot of economic growth in the region and in the world.

Our country's GDP in 2024 is estimated to increase by 7.09% compared to the previous year, only lower than the growth rate of 2018, 2019 and 2022 in the period 2011-2024. The scale of GDP at current prices in 2024 is estimated at 11,511.9 trillion VND, equivalent to 476.3 billion USD. GDP per capita in 2024 at current prices is estimated at 114 million VND/person, equivalent to 4,700 USD, an increase of 377 USD compared to 2023. The labor productivity of the whole economy in 2024 at current prices is estimated at 221.9 million VND/employee (equivalent to 9,182 USD/employee, an increase of 726 USD compared to 2023).

About the stock market

2024 will witness strong fluctuations in the global economic and geopolitical landscape. Economic growth has improved, but the differentiation between regions is increasingly pronounced. While developed economies attract strong capital inflows, developing economies face a slow recovery and capital outflows. Despite being greatly impacted by the global context, Vietnam's stock market still recorded encouraging growth, about 12%, with liquidity improving by 23% compared to 2023.



This is a positive result in the context of complicated world economic and geopolitical developments. The main growth trend of Vietnam's stock market in 2024 is mainly focused on Q1. The rest of the year saw the market move sideways with a range of about 100 points, with resistance at 1,300 points and support at 1,200 points. This reflects the relative stability of the market during a challenging year.

In the context of a difficult market, the Board of Directors of the Company has closely followed the targets assigned by the General Meeting of Shareholders, given the right orientations and drastic actions to help the Company reduce operational risks and improve business efficiency.

II. IMPLEMENTATION OF TASKS OF THE BOARD OF DIRECTORS IN 2024

1. Report on the operation of the Board of Directors and each member of the Board of Directors

The financial market fluctuates with many risks in 2024, the Company's business results have not met the expectations and plans of the General Meeting of Shareholders. The Company's operating revenue in 2024 is VND 20.2 billion, reaching 25.25% of the revenue plan, the Company's profit after tax is -VND 28.6 billion. The Board of Directors always closely monitors the market situation, closely follows the development orientation, makes timely decisions to ensure that the Company's business activities are stable, safe, properly and fully comply with current legal regulations in securities trading.

In 2024, the Board of Directors of the Company has fulfilled the tasks approved by the General Meeting of Shareholders, including:

- Selection of Audit firm for financial statements in 2024: AASC Auditing Firm Co., Ltd.;
- Implement investment decisions according to the limits approved by the General Meeting of Shareholders;
- To promulgate the amended Charter;

The members of the Board of Directors have made efforts to perform the assigned tasks according to the assignment of tasks of the Board of Directors. In which:

- The Chairman of the Board of Directors has well performed his powers and duties in accordance with the Internal Regulations on corporate governance, the Regulation on operation of the Board of Directors, the Charter of the Company, directed the Board of Management to implement the resolutions of the Board of Directors;
- Members of the Board of Directors have made positive contributions to advising and commenting to the Board of Management in the management and administration of the Company's business activities;

The Board of Directors of the Company consists of 03 members, including 01 member of the Board of Directors who does not operate, ensuring the conditions on the structure of the Board of Directors as prescribed for public companies.

Personnel structure of the Board of Directors in 2024:

STT	Member of the Board of Directors	Duty	Date of Appointment
01	Mr. Luyen Quang Thang	Chairman of the Board of Directors	16/04/2024

02	Mr. Nguyen Quang Anh	Member of the Board of Directors General Director	16/04/2024
03	Mr. Pham Hoang Hai	Non-executive members of the Board of Directors	07/03/2022

2. BoD meetings and BoD decisions

The Board of Directors regularly organizes meetings to promptly settle matters under the jurisdiction of the Board of Directors. The meetings are fully attended by members, ensuring that they are organized in accordance with the procedures and regulations of the Charter and the Operating Regulations. The decisions of the Board of Directors in the year include:

STT	Number of Resolutions/ Decide	Day	Content
1	01/2024/NQ-HDQT	22/01/2024	Approval of the Company's risk management policy in 2024
2	02/2024/NQ-HDQT	26/02/2024	Convening the 2024 Annual General Meeting of Shareholders
3	03/2024/NQ-HDQT	16/04/2024	Election of the Chairman of the Board of Directors
4	04/2024/NQ-HDQT	10/05/2024	Reappointment of the General Director
5	05/2024/NQ-HDQT	20/06/2024	Selection of auditors for FY 2024
6	06A/2024/NQ-HDQT	11/11/2024	Dismissal of the Person in Charge of the Internal Audit Department
7	06B/2024/NQ-HDQT	11/11/2024	Appointment of the Person in Charge of the Internal Audit Department

3. Reports on transactions between companies, subsidiaries or companies controlled by public companies with more than 50% or more of charter capital and members of the Board of Directors and related persons of such members; transactions between companies in which members of the Board of Directors are founding members or managers of enterprises in the last 03 years prior to the time of transaction.

- The order and procedures for approving transactions between the Company and related parties shall be strictly implemented in accordance with the provisions of the Law on Enterprises, the Law on Securities, the Company's Charter, and the Company's Internal Governance Regulations.
- Reporting on transactions between companies, subsidiaries or companies controlled by a public company with more than 50% or more of charter capital with members of the Board of Directors and related persons of such members; transactions between the company and the company in which the member of the Board of Directors is a founding member or business manager in the last 03 years before the time of transaction: the above transactions have been fully listed and announced by the Company in the Corporate Governance Reports in 2022; in 2023; in 2024.

Shareholders, please see the Report on the Company's governance situation at the website: www.sbsi.vn

4. Activities of subcommittees under the Board of Directors

In 2024, the Board of Directors will continue to comply with the Regulation on internal audit activities, dismiss and appoint the Person in charge of internal audit of the Company. The internal audit department has made assessments and recommendations on the process of corporate governance regulations, internal control systems and risk assessments in business operations.

5. Supervisory activities of the Board of Directors for the General Director:

The Board of Directors has supervised the operating activities of the General Director through periodic reports, guidelines and policies on risk management, investment activities, governance and important professional areas of the company.

- The Board of Directors has fully participated in meetings with the Board of Directors to grasp the situation and orientation of the company's operations. The Board of Directors and members of the Board of Directors are reported and provided with full information about the company's business activities, the situation as well as the results of the implementation of the contents approved by the Board of Directors according to the Resolutions/Decisions of the Board of Directors. The Board of Directors has implemented business activities in line with SBSI's long-term strategic orientation, while enhancing risk management, management and risk control.
- In addition, the Board of Directors also participates in directing, urging and implementing the Resolutions of the General Meeting of Shareholders and the Board of Directors to ensure the proper implementation of the Charter, Regulations and relevant legal regulations.
- According to the assessment of the Board of Directors, all members of the Board of Directors have tried to fulfill their duties well. The Board of Directors and key managers have effectively and rationally implemented the decisions of the Board of Directors.

6. Remuneration, operating expenses and other benefits of the Board and each member of the Board of Directors

- The remuneration of members of the Board of Directors is paid according to the level approved by the 2024 Annual General Meeting of Shareholders. Details of the remuneration of the Board of Directors are summarized and reflected in the Report approving the payment of remuneration of the Board of Directors and the Supervisory Board in 2024 and the plan to pay remuneration to the Board of Directors and Supervisory Board in 2025.
- Administrative expenses and expenses related to the operation of the Board of Directors comply with the Operating Regulations of the Board of Directors, the Financial Regulations and the internal expenditure norms of SBSI.

III. ORIENTATION OF THE BOARD OF DIRECTORS IN 2025

In the face of complicated developments in the stock market, the Board of Directors believes that 2025 will continue to be a challenging year, but the time is also an opportunity for innovation and

development of the Company. The Board of Directors orients the operation plan and key tasks to be implemented in 2025 as follows:

- **Regarding business orientation:** Continue to improve the quality of services for securities trading operations that the Company has advantages in, the customer base already has.
- **Regarding organizational and administrative activities:**
 - + Organize the Board of Directors to work professionally with a high sense of responsibility, well perform the direction and supervision of the implementation of management and administration tasks of the General Director;
 - + Improve corporate governance and risk control;
 - + Focus on reviewing and standardizing professional processes to improve efficiency and ensure compliance with legal regulations;
 - + Continue to attract high-quality human resources, implement internal training activities to improve professional capacity for employees.

Based on the operational orientation, internal resources of the Company and the market situation. The Board of Directors and the Board of Directors have agreed to set a business plan target for 2025: operating revenue in 2025 will reach VND 80 billion, profit after tax will reach VND 15 billion.

The above is the report of the Board of Directors of Stanley Brothers Securities Incorporation, submitted to the General Meeting of Shareholders for consideration and approval.

Thank you very much!

ON BEHALF OF BOARD OF
DIRECTORS
CHAIRMAN OF THE BOARD



[Signature]
LUYEN QUANG THANG



No. 02/2025/BC-BKS

Hanoi, March 18th 2025



**OPERATIONAL REPORT OF THE SUPERVISORY BOARD
AT THE 2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

For: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

The Supervisory Board reports to the 2025 Annual General Meeting of Shareholders the results of inspection and supervision of activities in 2024 and the 2025 plan as follows:

I. ACTIVITIES OF THE SUPERVISORY BOARD IN 2024

1. Structure of the Supervisory Board:

STT	Members of the Supervisory Board	Duty	Start/no longer a member of the Supervisory Board	Qualifications
1	Mr. Do Duc Loc	Head of the Supervisory Board	Appointment Date 25/04/2023	Bachelor of Finance
2	Ms. Le Thi Giang	Members of the Supervisory Board	Appointed on 07/03/2022	Bachelor of Laws
3	Ms. Dinh Thi Lan Anh	Members of the Supervisory Board	Appointment Date 25/04/2023	Bachelor of Accounting

2. Supervisory Board Meetings

The meetings of the Supervisory Board in 2024 are as follows:

STT	Members of the Supervisory Board	Number of Meetings Attended	Meeting attendance rate	Voting Rate
1	Mr. Do Duc Loc	02	100%	100%
2	Ms. Le Thi Giang	02	100%	100%
3	Ms. Dinh Thi Lan Anh	02	100%	100%

3. Main activities of the Supervisory Board in 2024

On the basis of the functions and tasks specified in the Law on Enterprises, the Regulation on Operation of the Supervisory Board and the Company's Charter, the main activities of the Supervisory Board in 2024 are as follows:

- Supervise the Board of Directors and the General Director in the management and administration of the Company and implement the contents according to the Resolution of the 2024 General Meeting of Shareholders and the Resolutions of the Board of Directors;
- Examine the reasonableness, legality and honesty in the management and administration of business activities; in organizing accounting, statistics and preparation of the Company's

financial statements;

- Appraisal of financial statements, reports on the Company's financial safety ratio;
- Promptly grasp the business situation of the Company, perform the supervisory function in accordance with regulations, ensure the interests of shareholders.
- Organize periodic and irregular meetings to implement the work according to the assigned tasks and functions, always supervise the implementation of the resolutions of the General Meeting of Shareholders.

4. Evaluation of the Supervisory Board's performance

- The Head of the Control Board has properly performed his rights and responsibilities as prescribed in the Charter of organization and operation of the Company; Convene and chair meetings of the Control Board; request the Board of Directors, the Board of Directors and managers to provide relevant information to report to members of the Control Board;
- Regularly update the State's regulations and policies related to the Company's operations;
- Inspect and supervise the activities of the Board of Directors and the General Director.
- In the process of performing the task, he has closely followed the assigned tasks, been proactive in his work, and closely coordinated with members of the Supervisory Board.

II. RESULTS OF SUPERVISION IN 2024

1. Results of monitoring the company's business and financial activities

The Supervisory Board has monitored the Company's business and financial activities in 2024. Financial statements, quarterly and annual financial prudential ratio reports, ensure correctness and transparency in reports. Periodically report and disclose information to the State Securities Commission and the Stock Exchange in accordance with the Ministry of Finance's regulations on information disclosure on the securities trading market.

The Supervisory Board assessed that in the context of the economic situation still having many difficulties, affecting the Company's business activities, the Board of Directors has come up with timely solutions with all employees to ensure that the Company's business activities are stable. safely, properly and fully comply with current legal regulations in securities trading.

2. Assessment of the Company's financial situation in 2024:

The Supervisory Board agrees with the contents of the 2024 Financial Statements audited by AASC Auditing Firm Co., Ltd.

The report accurately reflects the financial situation as of December 31, 2024, the results of business activities and cash flows in the financial period from January 1, 2024 to December 31, 2024 and in accordance with the provisions of the current accounting system, there are no material errors occurring in 2024 in accounting work.

a. Business results for the year

	In 2023	Year 2024	% increase decrease
Operating revenue	22.109.388.299	20.197.063.207	-8.65%

Performance	(65.518.704.877)	(29.821.084.646)	-54.48%
Other results	937.284.844	1.229.243.727	31.15%
Profit before tax	(64.581.420.033)	(28.591.840.919)	-55.73%
Profit after tax	(64.581.420.033)	(28.591.840.919)	-55.73%

(Source: Audited financial statements in 2024)

b. About company assets

			Unit: Bronze
	In 2022	Year 2023	% increase decrease
Short-term assets	282,379,587,455	255,484,837,496	-9.52%
Long-term assets	18,040,514,635	14,019,341,273	-22.29%
Total Asset Value	300,420,102,090	269,504,178,769	-10.29%

(Source: Stanley Brothers Securities Incorporation)

3. Supervisory activities of the Supervisory Board for the Board of Directors, the Board of Management and Shareholders

- Representatives of the Board of Directors fully participate in monthly Board of Directors meetings to grasp the company's operation and at the same time supervise the Board of Directors and General Director in the management and administration of the company. The Supervisory Board and members of the Supervisory Board are fully provided with Minutes, Resolutions/Decisions and other documents in meetings of the Board of Directors by the Board of Directors and the General Director.
- Examining the reasonableness, legality, honesty and prudence in the management and administration of business activities; systematic, consistent and appropriate of accounting, statistics and financial statements.
- Appraisal of reports on business activities, financial statements of the Company, reports on evaluation of management of the Board of Directors and submission of appraisal reports at the Annual General Meeting of Shareholders.
- During the year, the Supervisory Board did not receive any information or reflections from shareholders.

4. Results of the assessment of the coordination between the Supervisory Board and the Board of Directors, the General Director and shareholders in 2024

In 2024, the coordination of activities between the Supervisory Board and the Board of Directors, the Board of Directors and other managers will be effectively implemented. Departments and managers in the company have actively coordinated, provided documents as requested, creating conditions for the Supervisory Board to carry out the inspection and control work completely, reasonably and legally.

- Coordination between the Board of Directors and the Board of Directors: The Board of Directors performs the function of supervising the management and administration of the Board of Directors, the Board of Directors and the Company's business activities through attending and contributing opinions at Board of Directors meetings and approving the Company's periodic financial statements. Evaluate the management and operation effectiveness of the Board of Directors, General Director and business situation through facts and reports.
- Coordination between the Supervisory Board and the General Director:
 - + The Supervisory Board actively discusses and agrees with the Board of Directors on the contents, plans and business activities; inspect, supervise and coordinate with the General Director in the process of supervising and inspecting the Company's business activities.
 - + The Board of Directors has fully provided the Resolutions and decisions of the Board of Directors to the Supervisory Board.
 - + The General Director creates favorable conditions for the Supervisory Board to collect information and documents related to business activities.
 - + The Board of Directors, the Supervisory Board and the General Director and the Company's managers have had a close relationship in the administration and management of the Company.
 - + The opinions and recommendations of the Supervisory Board are recorded, supplemented and edited and completed by the Board of Directors in a timely manner, ensuring safety in business activities.

5. Remuneration, operating expenses and other benefits of the Supervisory Board and each member of the Supervisory Board

- The details of the remuneration of the Supervisory Board are summarized and reflected in the Report approving the payment of remuneration of the Board of Directors and the Supervisory Board in 2024 and the plan to pay remuneration to the Board of Directors and the Supervisory Board in 2025.
- Administrative expenses and expenses related to the operation of the Supervisory Board comply with the Operating Regulations of the Supervisory Board, the Financial Regulations and the internal expenditure norms of SBSI.

6. An assessment report on transactions between a company, a subsidiary or a company under the control of more than 50% of charter capital by a public company and members of the Board of Directors, the General Director, other executives of the enterprise and related persons of such subjects; transactions between companies in which members of the Board of Directors, General Directors and other executives of the enterprise are founding members or managers of the enterprise in the last 03 years prior to the time of transaction.

On the basis of the results of periodic and irregular inspection and supervision, the Supervisory Board assesses that the above transactions have been approved by the General Meeting of Shareholders or the Board of Directors of the Company in accordance with its competence and fully discloses information in accordance with the provisions of law and the Company's Charter.

III. OPERATIONAL ORIENTATION OF THE SUPERVISORY BOARD IN 2025

In 2025, the Supervisory Board will continue to perform tasks according to its competence, inspect and supervise the Company's business activities; supervise and evaluate the Board of Directors and the General Director to implement the resolutions of the General Meeting of Shareholders and report to the General Meeting of Shareholders the following contents:

- Inspect and supervise the promulgation of Resolutions and Decisions of the Board of Directors in accordance with the Company's Charter and the Resolution of the General Meeting of Shareholders in accordance with law.
- Participate in opinions and advise the Board of Directors and the Board of Directors, especially potential issues that may affect and risk the Company's business activities.

The above is the report of the Supervisory Board on the operating results of the Supervisory Board in 2024, the plan for 2025.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Thank you very much!

**ON BEHALF OF THE SUPERVISORY
HEAD OF THE BOARD**



DO DUC LOC



REPORT OF THE BOARD OF MANAGEMENT

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

The Board of Management (BOM) reports to the General Meeting of Shareholders on the results of business activities in 2024 and the plan for 2025 as follows:

I. BUSINESS RESULTS IN 2024

1. Business results in 2024

Facing the difficulties of the financial market in general and the stock market in particular, the Company's business activities in 2024 recorded a decline compared to the same period in 2023 and did not meet the plan approved by the General Meeting of Shareholders at the 2024 Annual General Meeting of Shareholders.

Summary of the Company's business results in 2024 is as follows:

	Implementation 2023	2024 Plan	Implementation 2024	% change compared to 2023	% Plan Completion
Revenue	22,109,388,299	80,000,000,000	20,197,063,207	-9%	25%
Profit after tax	(64,581,420,033)	15,000,000,000	(28,591,840,919)	-610%	

	2023	2024	%Change
Asset Structure			
Short-term assets/ total assets	0,94	0,95	0,85%
Long-term assets/total assets	0,06	0,05	-13,30%
Structure of capital sources			
Liabilities/total assets	0,01	0,01	-39,74%
Owners' Equity/total assets	0,99	0,99	0,40%
Profitability			
ROA	-21,50%	-10,6%	-50,66%
ROE	-21,71%	-10,7%	-50,84%
Average earnings per share (VND)	-1.905	-843	-55,75%

The operation of some main business segments of the Company, specifically:

- **Brokerage business activities:** Revenue from securities brokerage in 2024 will reach VND 3 billion, down 45% over the same period in 2023. Revenue dropped sharply due to the market situation affecting the psychology of investors, causing the demand for services at the Company to decrease sharply.
- **Investment banking activities:** The company develops a variety of consulting services for the current customer system, and cooperates with potential customers. Revenue from

investment banking activities (including: financial consulting, guarantee and issuance agents) in 2024 will reach VND 0.3 billion, down 71% over the same period in 2023.

- **Investment activities (Proprietary trading):** Revenue from the Company's proprietary securities segment reached VND 14.4 billion, up 50% over the same period in 2023.

2. About other activities

- The company carries out digital transformation activities, upgrades system software, and integrates on the app to add value and security for customers.
- Risk management and internal control activities are promoted and tightened to improve business efficiency, assess and overcome risks if they arise in the course of operation.
- Administrative activities as prescribed for public companies are fully implemented and complied with by the Company.
- Implement internal training programs to improve professional capacity and skills to perform work for employees.

II. OPERATION PLAN IN 2025

The 2025 business plan is as follows:

No.		Unit	Implementation in 2024	Plan for 2025
1	Revenue	VND	20,197,063,207	80.000.000.000
2	Profit after tax	VND	(28,591,840,919)	15.000.000.000

Bases for implementation of the 2025 plan

The Board of Management promotes the Company's main business activities:

- Develop preferential policies and attract customers; improve product quality; build more products suitable for target customers; search and development of customer network systems; optimizing processes and applying technology in customer support management.
- Seek safe investment opportunities, expand investment scale.
- Improve management capacity, ensure safety and compliance during operation. Properly implement the regulations on corporate governance activities in accordance with the law; transparency and disclosure of information to shareholders.

The above is a report on business activities in 2024 and business plan in 2025, submitted to the General Meeting of Shareholders for consideration and approval.

Thank you very much!

ON BEHALF OF THE BOARD OF
MANAGEMENT

GENERAL DIRECTOR



NGUYEN QUANG ANH

REPORT

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

on: Approval of the 2025 business plan

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Joint Stock Company.

Based on the situation of the securities market, business plan and orientation, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval of the business plan for 2025 with the following main indicators:

	Implementation in 2024 (VND)	Plan for 2025 (VND)
Operating revenue	20,197,063,207	80.000.000.000
Profit after tax	(28,591,840,919)	15.000.000.000

Respectfully submit to the General Meeting of Shareholders for consideration and approval.

Recipient:

- Aforementioned;
- BoD, BoS, BoM;
- Kept company.

**ON BEHALF OF THE BOARD OF
DIRECTORS
CHAIRMAN OF THE BOARD**



LUYEN QUANG THANG

REPORT

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

*on: Approval of the Audited Financial Statements and Audited Financial Safety Ratio
Report in 2024*

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Joint Stock Company.

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval of the Financial Statements and the Financial Safety Ratio Report of the Company in 2024 which have been audited by AASC Auditing Firm Co., Ltd.

The full text of the audited financial statements and the audited financial safety ratio report have been disclosed in accordance with regulations, and publicly posted on the Company's website. Respectfully submit to the General Meeting of Shareholders for consideration and approval.

Recipient:

- *Aforementioned;*
- *BoD, BoS, BoM;*
- *Kept Company.*

**ON BEHALF OF THE BOARD OF
DIRECTORS**

CHAIRMAN OF THE BOARD



LUYEN QUANG THANG



No.: 06/2025/TTr-BKS

Hanoi, March 18th 2025

**REPORT
ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025**

on: Approval of the audit firm for the Financial Statements,
Financial Safety Ratio Report in 2025

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Joint Stock Company.

The Supervisory Board of Stanley Brothers Securities Incorporation respectfully submits to the General Meeting of Shareholders for approval of the audit firm for the Company's financial statements and financial safety ratio report periodically and at year end 2025 as follows:

1. Criteria for selecting an audit firm:

- Being an audit firm approved by the State Securities Commission in accordance with current provisions of law;
- Experienced in auditing for large companies and corporations in the financial sector;
- Having a team of qualified and experienced auditors;
- Having a reputation for audit quality;
- Meet the company's requirements on the scope and progress of the audit;
- There is a reasonable audit fee, in accordance with the audit quality.

2. Propose:

On the basis of the criteria for selecting an auditing unit, the Supervisory Board respectfully submits to the General Meeting of Shareholders for consideration and approval: Authorizing the Board of Directors to decide on the selection of an audit firm which is one of the audit firms in the list of audit firms approved by the SSC for auditing companies with public interests in the securities sector in 2025. Assign the General Director to negotiate, decide on the audit fee and sign the audit contract with the selected audit firm.

Respectfully submit to the General Meeting of Shareholders for consideration and approval!

Recipient:

- Aforementioned;
- BoD, BoS, BoM;
- Kept Company.

**ON BEHALF OF THE SUPERVISORY
BOARD
Head of the Board**

DO DUC LOC

REPORT

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

on: Approval of the profit distribution plan in 2024

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Joint Stock Company.

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval of the profit distribution plan in 2024 as follows:

STT	Content	Amount (VND)
1	Profit after tax in 2024	(28.591.840.919)
2	Profit after tax for distribution	-
3	Setting up a reserve fund to supplement charter capital	-
4	Setting up a financial reserve fund and professional risks	-
5	Setting up a reward fund	-
6	Establishment of welfare fund	-
7	Dividend payment in 2024: None.	-
8	Retained profit after distribution: (8) = (2) – (3) – (4) – (5) – (6) – (7)	-

Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

Recipient:

- Aforementioned;
- BoD, BoS, BoM;
- Kept Company.

**ON BEHALF OF THE BOARD OF
DIRECTORS**

CHAIRMAN OF THE BOARD


LUYEN QUANG THANG

REPORT

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

on: Approval of the payment of remuneration of the Board of Directors, the Supervisory Board, the salary of the Company's managers in 2024 and the remuneration plan of the Board of Directors and the Supervisory Board in 2025

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Incorporation.

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the payment of remuneration of the Board of Directors, the Supervisory Board, the salary of the Company's managers in 2024 and the plan to pay remuneration to the Board of Directors and the Supervisory Board in 2025 as follows:

1. Remuneration paid to members of the Board of Directors, Supervisory Board and salaries of the Company's managers in 2024:

The Company has paid remuneration to members of the Board of Directors and Supervisory Board according to the remuneration plan approved by the 2024 Annual General Meeting of Shareholders, as follows:

No.	Title	Remuneration payment in 2024 (VND/month/person)
1	Chairman of the Board of Directors	5.000.000
2	Member of the Board of Directors	2.000.000
3	Head of the Supervisory Board	2.000.000
4	Members of the Supervisory Board	1.000.000

The total income (remuneration, allowances, salaries) of members of the Board of Directors, Supervisory Board, and General Director paid by the Company has been specifically presented in the 2024 Audited Financial Statements published on the Company's website.

2. Remuneration plan for the Board of Directors and the Supervisory Board in 2025:

STT	Title	Remuneration payment plan in 2025 (VND/month/person)
1	Chairman of the Board of Directors	5.000.000
2	Member of the Board of Directors	2.000.000
3	Head of the Supervisory Board	2.000.000
4	Members of the Supervisory Board	1.000.000

Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

Recipient:

- Aforementioned;
- BoD, BoS, BoM;
- Kept Company.

**ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD**



LE VEN QUANG THANG

REPORT
on: Approval of the Company's investment plan in 2025

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Incorporation.

In order to take advantage of investment opportunities and maximize benefits to the Company and shareholders, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval of the investment plan as follows:

1. Investment limits (buy and sell according to the limits and conditions below):

- Investment no more than 70% of equity in stocks, contribution capital and business projects, in which no more than 20% of equity invested in unlisted stocks, contribution capital and business projects.
- Investment in corporate bonds no more than 70% of equity, do not invest in corporate bonds with happened event of default (according to SBSI's assessment whether the violation event has been declared or not).
- Investment no more than 20% of the total outstanding shares of a listed organization.
- Investment no more than 15% of the total outstanding shares of an unlisted organization.
- Investment no more than 15% of equity in an organization or business project.

2. Expected investment portfolio:

Securities and other investments in accordance with the provisions of law.

3. Estimated time:

In 2025, on the basis that the Company meets the provisions of law until another decision is made.

4. Authorization

- Authorize the Board of Directors/General Director to decide on the handling of the investment portfolio and transactions arising related to the aforementioned investment activities in accordance with the provisions of law, including the transfer to other organizations and individuals.
- Authorize the General Director to sign documents, dossiers and papers to implement the aforementioned investment plan.



Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

Recipient:

- *Aforementioned;*
- *BoD, BoS, BoM;*
- *Kept Company.*

**ON BEHALF OF THE BOARD OF
DIRECTORS**

CHAIRMAN OF THE BOARD



LUYEN QUANG THANG

No. 10/2025/TTr-HDQT

Hanoi, March 18th 2025

REPORT

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

Approval of change the information location of the company's head office

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Incorporation;
- Pursuant to the Resolution of the 2023 Annual General Meeting of Shareholders;
- Based on the actual situation.

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval of change the information location of the Company's head office.

Reason: Due to the change of name of the building management unit, SBSI adjusted the information to suit the reality.

Information The address of the Company's head office after the change is: 9th Floor, ROX Tower, No. 54A Nguyen Chi Thanh Street, Lang Thuong Ward, Dong Da District, Hanoi.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

Recipient:

- Aforementioned;
- BoD, BoS, BoM;
- Kept Company.

**ON BEHALF OF THE BOARD OF
DIRECTORS
CHAIRMAN OF THE BOARD**



LUYEN QUANG THANG



No. 11/2025/TTr-HDQT

Hanoi, March 18th 2025

REPORT

on: Approval of the amendment of the Charter

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Incorporation.

The Board of Directors of the Company respectfully submits to the 2025 Annual General Meeting of Shareholders for approval of the amendment of the Company's Charter, as follows:

No.	Current Regulations	Amendments	Reason for modification
1.	To amend Provision 3, Article 2: "3. The Company's head office: a) Address of the head office: 9th Floor, TNR Building, No. 54A, Nguyen Chi Thanh, Lang Thuong Ward, Dong Da District, Hanoi City. b) Phone: (84-24)33776699 Fax: (84-24) 3373 6699 c) Email: info@sbsi.vn d) Website: sbsi.vn"	"3. The Company's head office: a) Address of the head office: 9th Floor, ROX Tower, No. 54A Nguyen Chi Thanh Street, Lang Thuong Ward, Dong Da District, Hanoi City. b) Phone: (84-24)33776699 Fax: (84-24) 3373 6699 c) Email: info@sbsi.vn d) Website: sbsi.vn"	In line with the actual operation of the company

Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

Recipient:

- Aforementioned;
- BoD, BoS, BoM;
- Kept Company.

**ON BEHALF OF THE BOARD OF
DIRECTORS
CHAIRMAN OF THE BOARD**



LUYEN QUANG THANG

REPORT

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

On: Approval of transactions between the Company and related individuals

To: General Meeting of Shareholders of Stanley Brothers Securities Incorporation

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Incorporation.

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the transaction between the Company and related individuals as follows:

1. Report on the results of transactions between the Company and major shareholders and related individuals in 2024

Transactions between the Company and related persons have been fully listed and disclosed by the Company in the 2024 Corporate Governance Report and the 2024 Audited Financial Statements.

2. Approving the policy of transactions between the Company and businesses, major shareholders and related individuals in 2025:

In order to facilitate the business process, the Annual General Meeting of Shareholders in 2025 approved the policy of signing, executing contracts and transactions with businesses, major shareholders and related individuals in 2025. The Annual General Meeting of Shareholders assigns the Board of Directors to implement and disclose information and other relevant necessary tasks in accordance with the law. The Board of Directors is responsible for reporting the results of the implementation of the above contracts and transactions at the 2026 Annual General Meeting of Shareholders.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

**ON BEHALF OF BOARD OF
DIRECTORS**

CHAIRMAN OF THE BOARD



LUYEN QUANG THANG

Recipient:

- Aforementioned;
- BoD, BoS, BoM;
- Kept Company.

No. 01/2025/NQ-DHDCCD

Hanoi, March 24, 2025



RESOLUTION
ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025
STANLEY BROTHERS SECURITIES INCORPORATION

- Pursuant to the Law on Enterprises No. 59/2022/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2022;
- Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and guiding documents;
- Pursuant to the Charter of organization and operation of Stanley Brothers Securities Incorporation;
- Pursuant to the Minutes of the 2025 Annual General Meeting of Shareholders No. 01/2025/BBH-ĐHDC dated 24/03/2025.

RESOLUTION

Article 1: Approving the Report of the Board of Directors No. 01/2025/BC-HDQT (detailed contents according to the attached report).

Article 2: Approving the Report of the Supervisory Board No. 02/2025/BC-BKS (detailed content according to the attached report).

Article 3: Approving the Report of the Board of Management No. 03/2025/BC-TGD (detailed contents according to the attached report).

Article 4: To approve the business plan for 2025 according to the Report No. 04/2025/TTr-HDQT (detailed content according to the attached report).

The 2025 business plan is as follows:

No.	Unit	Operators	Implementation in 2024	Plan for 2025
1	Revenue	VND	20,197,063,207	80.000.000.000
2	Profit after tax	VND	(28,591,840,919)	15.000.000.000

Article 5: Approving the Financial Statements, the Financial Safety Ratio Report in 2024 has been audited according to Report No. 05/2025/TTr-HDQT (detailed content according to the attached report).



Article 6: Approving the selection of the audit firm for the financial statements in 2025 according to the Report No. 06/2025/TTr-HDQT (detailed content according to the attached report).

Article 7: Approving the profit distribution plan in 2024 according to Report No. 07/2025/TTr-HDQT (detailed content according to the attached report).

Article 8: Approving the payment of remuneration of the Board of Directors, the Supervisory Board, the salary of the Company's managers in 2024 and the remuneration plan of the Board of Directors and the Supervisory Board in 2025 according to the Report No. 08/2025/TTr-HDQT (detailed content according to the attached report).

Remuneration plan for the Board of Directors and the Supervisory Board in 2025:

STT	Title	Remuneration payment plan in 2025 (VND/month/person)
1	Chairman of the Board of Directors	5.000.000
2	Member of the Board of Directors	2.000.000
3	Head of the Supervisory Board	2.000.000
4	Members of the Supervisory Board	1.000.000

Article 9: Approving the change information location of the Company's head office according to the Report No. 09/2025/TTr-HDQT (detailed content according to the attached report).

Information the address of the Company's head office after the change: 9th Floor, ROX Tower, No. 54A Nguyen Chi Thanh, Lang Thuong Ward, Dong Da District, Hanoi City.

Article 10: Approving the Company's investment plan according to the Report No. 10/2025/TTr-HDQT (detailed content according to the attached report).

Article 11: Approving the amendment of the Company's Charter according to the Report No. 11/2025/TTr-HDQT (detailed content according to the attached report).

Article 12: Approving transactions between the Company and related individuals according to the Report No. 12/2025/TTr-HDQT (detailed content according to the attached report).

Article 14: Implementation provisions

14.1. This Resolution has been approved by the General Meeting of Shareholders at the 2025 Annual Meeting and takes effect from March 24, 2025.

14.2. The Board of Directors, the Board of Management and relevant departments shall be responsible for implementing this Resolution in accordance with the provisions of Law and the Company's Charter.

Recipient:

- *Shareholders;*
- *Members of the Board of Directors,
Supervisory Board, Board of Directors;*
- *SSC, VNX, HSX, HNX;*
- *Kept Company.*

**ON BEHALF OF GENERAL MEETING
OF SHAREHOLDERS
CHAIRMAN OF THE PRESIDUM**

Luyen Quang Thang

