

**STANLEY BROTHERS SECURITIES
INCORPORATION**

**THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No: 015/2026/CV-SBSI

Hanoi, January 9th, 2026

Ref: Disclosure official dispatch No. 186/UBCK-
QLKD regarding the registration dossier for private
placement of shares.

EXTRAORDINARY DISCLOSURE

**To: The State Securities Commission;
Vietnam Exchange;
Ha Noi Stock Exchange;
Ho Chi Minh Stock Exchange.**

1. Name of organization: Stanley Brothers Securities Incorporation

- Stock code: VUA
- Broker code: 088
- Address: 9th Floor, ROX Tower, No. 54A, Nguyen Chi Thanh, Lang Ward, Hanoi City
- Tel: (+84) 24 3377 6699 Fax: (+84) 24 3373 6699
- E-mail: cbtt@sbsi.vn
- Website: <https://sbsi.vn>

2. Content of published information:

On January 9th, 2026, Stanley Brothers Securities Joint Stock Company (SBSI) has received official dispatch No. 186/UBCK-QLKD regarding the registration dossier for the private placement of shares issued by the State Securities Commission on January 8th, 2026.

3. This information has been published on the company's website on 09/01/2026 at the link:
<https://sbsi.vn/vi-vn/vc-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>

We hereby confirm that the information disclosed above is true and accurate, and we take full legal responsibility for the disclosed information.

Attached documents:

Dispatch No. 186/UBCK-QLKD

Recipients:

- As above.
- Archives:

Organization representative

Legal representative

(Signature, full name, position, and seal)



General Director

Nguyen Tien Dung

MINISTRY OF FINANCE

SOCIALIST REPUBLIC OF VIET NAM

STATE SECURITIES COMMISSION

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CÔNG TY CỔ PHẦN CHỨNG KHOÁN
STANLEY BROTHERS

No.: 186/UBCK-QLKD

Re: Dossier for registration of private placement of shares of Stanley Brothers Securities Incorporation

Hanoi, January 8th, 2026

To: Stanley Brothers Securities Incorporation

The State Securities Commission (SSC) has received the dossier for registration of the private placement of shares pursuant to Registration Certificate No. 03/GDKCB-SBSI dated December 22nd, 2025 of Stanley Brothers Securities Incorporation (the “Company”). The SSC has the following opinions:

1. Organizations and individuals participating in and throughout the process of preparing the dossier and report documents related to securities and the securities market shall be responsible before the law for the legality, accuracy, truthfulness, and completeness of the dossier and report documents. Organizations and individuals participating in certifying the dossier and report documents shall bear legal responsibility within the scope related to the dossier and report documents, in accordance with Clause 1, Article 11a of the Law on Securities, as amended and supplemented in Clause 4, Article 1 of Law No. 56/2024/QH15.
2. The SSC has publicly disclosed on its official website the receipt of a complete dossier for registration of the Company’s private placement of shares. The Company’s private placement plan shall be implemented in accordance with the Resolution of the Extraordinary General Meeting of Shareholders (1st meeting in 2025) No. 02/2025/NQ-ĐHĐCĐ dated October 14th, 2025; the Resolution of the Board of Directors No. 17/2025/NQ-HĐQT dated November 26th, 2025; the Resolution of the Board of Directors No. 18/2025/NQ-HĐQT dated November 26th, 2025; the Resolution of the Board of Directors No. 25/2025/NQ-HĐQT dated December 22nd, 2025; and in compliance with the prevailing laws and regulations.
3. Within ten (10) days from the end date of the offering, the SSC requests that the Company submit a Report on the results of the offering, enclosed with certification from the bank where the escrow account is opened regarding the amount of proceeds collected from the offering, to the SSC, and disclose information on the Company’s website regarding the offering results, in accordance with Clause 4, Article 48 of Decree No. 155/2020/NĐ-CP dated December 31st, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities.

The State Securities Commission hereby notifies the Company for its information.

Recipients:

- As above;

- Chairman (for reporting);
- VNX, HOSE, VSDC (for information);
- Archives: VT, QLKD (07b).

**FOR THE CHAIRMAN
DEPUTY DIRECTOR GENERAL**

(Signed)

Le Thi Mai