

No: 023./2026/CV-SBSI

Hanoi, January 12th, 2026

Ref: Resolution of the Board of Directors No.
002/2026/NQ-HĐQT approving the implementation of
the plan for the private placement transfer of bonds.

EXTRAORDINARY DISCLOSURE

**To: The State Securities Commission;
Vietnam Exchange;
Ha Noi Stock Exchange;
Ho Chi Minh Stock Exchange.**

1. Name of organization: Stanley Brothers Securities Incorporation

- Stock code: VUA
- Broker code: 088
- Address: 9th Floor, ROX Tower, No. 54A, Nguyen Chi Thanh, Lang Ward, Hanoi City
- Tel: (+84) 24 3377 6699 Fax: (+84) 24 3373 6699
- E-mail: cbtt@sbsi.vn
- Website: <https://sbsi.vn>

2. Content of published information:

Resolution of the Board of Directors No. 002/2026/NQ-HĐQT dated 12 January 2026 approving the implementation of the plan for the private placement transfer of bonds of ROX ENERGY Investment Joint Stock Company.

3. This information has been published on the company's website on 12/01/2026 at the link:
<https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>

We hereby confirm that the information disclosed above is true and accurate, and we take full legal responsibility for the disclosed information.

Attached documents:

- Reso. 002/NQ-HĐQT

Organization representative

Legal representative

(Signature, full name, position, and seal)



General Director
Nguyen Tien Dung

Recipients:

- As above.
- Archives: CBTT.

STANLEY BROTHERS SECURITIES
INCORPORATION

No.: 002.../2026/NQ-HDQT

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hanoi, ... 12/11/ 2026

RESOLUTION

Re: Approving the plan to transfer individual bonds of ROX ENERGY Investment Joint Stock Company

**BOARD OF DIRECTORS OF STANLEY BROTHERS SECURITIES
INCORPORATION**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020 and guiding documents, amending and supplementing documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and its guiding documents, amendments and supplements;
- Pursuant to the Charter of Stanley Brothers Securities Joint Stock Company;
- Pursuant to the Minutes of the Board of Directors Meeting No. 01/2026/BB-HDQT dated ... 12.11.2026.

DECISION:

Article 1: Approving the plan to transfer individual bonds of ROX ENERGY Investment Joint Stock Company. The detailed transfer plan is as follows:

CATEGORIES	DETAILS
Transferable property	Corporate bonds shall be offered separately in accordance with the provisions of Vietnamese law
Issuer	ROX ENERGY Investment Joint Stock Company
Bond code	TNF32101
Bond term	07 years
Denomination	10,000,000 VND (In words: Ten million VND)
Coupon	10%/year
Transfer value	Not less than 40,500,000,000 VND (In words: Forty billion five hundred million VND)
Number of transferable bonds	2,889 Bonds
The investor receives the transfer	The transferee investor is an individual/organization that is qualified as a professional investor to trade individual bonds in accordance with the provisions of the Securities Law



Article 2: Authorize the General Director Legal Representative to direct and implement the work, search for investors, decide on the selling price, sign relevant legal documents to complete the bond transfer to ensure compliance with the Resolution and current legal provisions.

Article 3.- This Resolution takes effect from the date of its signing. Members of the Board of Directors, the Board of Directors and relevant departments shall be responsible for the implementation of this Resolution.

Recipients:

- *As in Article 3;*
- *Liu HC;*
- *Office of the Board of Directors*

**ON BEHALF OF BOARD OF
DIRECTORS**

**CHAIRMAN OF THE BOARD OF
DIRECTORS**



HO LE VIET HUNG

