

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. 5088/UBCK-QLKD

Hanoi, 8th June, 2026

Re: Notification dossier on the maximum foreign ownership ratio at PetroVietnam Securities JSC.

To: PetroVietnam Securities Joint Stock Company ("PSI" or the "Company")

The State Securities Commission of Vietnam ("SSC") has received the Notification Dossier on the Maximum Foreign Ownership Ratio of PetroVietnam Securities Joint Stock Company pursuant to the Company's Notice No. 598/PSI-TB dated 20 May 2026 regarding the maximum foreign ownership ratio applicable to the Company, whereby the maximum foreign ownership ratio is determined at **100%**. The SSC hereby provides the following comments:

Article 1. Organizations and individuals participating in the preparation of dossiers and reporting documents relating to securities and securities market activities shall be legally responsible for the legality, accuracy, truthfulness and completeness of such dossiers and documents. Organizations and individuals involved in certifying such dossiers and documents shall bear legal responsibility, within the scope of their involvement, for the relevant dossiers and reporting documents in accordance with Clause 1, Article 11a of the Law on Securities, as supplemented under Clause 4, Article 1 of Law No. 56/2024/QH15.

Article 2. The Company shall be responsible for the accuracy and legality of its determination of the foreign ownership ratio applicable to its business lines and investment activities, as well as the maximum foreign ownership ratio applicable to the Company, in accordance with Clause 2, Article 141 of Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of certain provisions of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP.

Article 3. The SSC requests the Company to comply with Clause 1, Article 77 of the Law on Securities, as amended and supplemented by Law No. 56/2024/QH15, and to fulfill its information disclosure obligations in accordance with Clause 2, Article 13 of Circular No. 96/2020/TT-BTC dated 16 November 2020 of the Minister of Finance guiding information disclosure on the securities market, as amended and supplemented by Circular No. 68/2024/TT-BTC, Circular No. 18/2025/TT-BTC and Circular No. 08/2026/TT-BTC, and to comply with all applicable regulations governing foreign ownership limits in the Vietnamese securities market.

The SSC hereby notifies the Company for its information and implementation.

Recipients:

- As above;
- Chairman of the SSC (for reporting);
- VNX, HOSE, HNX;
- VSDC
- Archived: Office, Securities Business Management Department (08b).

ON BEHALF OF THE CHAIRMAN

**Deputy Head of the Securities Business
Management Department**

(signed & sealed)

Le Thi Mai