

No.: 335/QD-UBCK

Ha Noi, 05 June 2026

## DECISION

### On amendment of the Decision on establishment of securities transaction office

#### CHAIRPERSON OF THE STATE SECURITIES COMMISSION

*Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;*

*Pursuant to Decision No. 686/QD-BTC dated February 28, 2025 of the Minister of Finance prescribing the functions, duties, authority and organizational structure of the State Securities Commission;*

*Pursuant to Establishment and Operation License No. 26/UBCK-GP dated December 19, 2006 issued by the Chairperson of the State Securities Commission to PetroVietNam Securities Joint Stock Company;*

*Pursuant to Decision approving the establishment of securities transaction No. 815/QD-UBCK dated November 26, 2009, under which the Chairperson of the State Securities Commission approved PetroVietNam Securities Joint Stock Company to establish PetroVietNam Securities Joint Stock Company - Transaction Office No. 02;*

*Pursuant to the dossier requesting the change of the name of PetroVietNam Securities Joint Stock Company - Transaction Office No. 02 submitted by PetroVietNam Securities Joint Stock Company;*

*At the proposal of the Head of the Securities Business Management Department.*

#### DECIDES:

**Article 1.** To amend Decision approving the establishment of securities transaction office No. 815/QD-UBCK dated November 26, 2009, under which the Chairperson of the State Securities Commission approved PetroVietNam Securities Joint Stock Company to establish PetroVietNam Securities Joint Stock Company - Transaction Office No. 02, with the following contents:

- Name of the transaction office:
- + Full name of the transaction office in Vietnamese: PetroVietNam Securities Joint Stock Company - Ha Noi Transaction Office.
- + Full name of the transaction office in English: PetroVietNam Securities Joint Stock Company - Ha Noi Transaction Office.

**Article 2.** This Decision shall take effect from the date of signing and shall concurrently form an integral part of Establishment and Operation License No. 26/UBCK-GP dated December 19, 2006 issued by the Chairperson of the State Securities Commission to PetroVietNam Securities Joint Stock Company and Decision approving the establishment of securities transaction office No. 815/QD-UBCK dated November 26, 2009, under which the Chairperson of the State Securities Commission approved PetroVietNam Securities Joint Stock Company to establish PetroVietNam Securities Joint Stock Company - Transaction Office No. 02.

**Article 3.** The Chief of Office, the Head of the Securities Business Management Department, the Chief Executive Officer of the Viet Nam Exchange, the Chief Executive Officer of Ho Chi Minh Stock Exchange, the Chief Executive Officer of Hanoi Stock Exchange, the Chief Executive Officer of Viet Nam Securities Depository and Clearing Corporation, PetroVietNam Securities Joint Stock Company and relevant parties shall be responsible for implementing this Decision./.

***Recipients:***

- As stated in Article 3;
- Chairperson (for reporting);
- Archive: VT, SBD (10 copies).

**SIGN ON BEHALF OF THE CHAIRPERSON  
VICE CHAIRPERSON**

**Le Thi Viet Nga**