

No: 116/BCQT-NDW

Nam Dinh, month January day 13 year 2025

REPORT ON CORPORATE GOVERNANCE

For the year 2024

To: - **THE STATE SECURITIES COMMISSION;**
- **THE HA NOI STOCK EXCHANGE.**

- Name of company: Nam Dinh Water Supply Joint Stock Company
- Address of headoffice: 30 Cu Chinh Lan Street, Vi Xuyen Ward, Nam Dinh City, Nam Dinh Province, Vietnam
- Telephone: (0228) 3649.510 - Fax: (0228) 3636.679
- Charter capital: 343,117,480,000 Vietnamese Dong
- Stock symbol: NDW
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director
- The implementation of internal audit: Not yet implemented (Not available)

I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution No.	Date	Content
1	01-2024/NQ-ĐHĐCĐ	24/04/2024	1- Approval of Reports <ul style="list-style-type: none">• Report on the Activities of the Board of Directors in 2023 and Implementation Plan for 2024• Report on the activities of the Board of Supervisors for 2023• Report on the production and business results in 2023 and the production and business plan for 2024 2- Approval of the Profit Distribution Plan and Cash Dividend Payment for 2023. 3- Approval of the Compensation Plan for the Board of Directors, Supervisory Board, and Company Secretary for 2024

			<p>4- Approval of the authorization for the Board of Directors to select the auditing firm for the financial report of 2024.</p> <p>5- Approval of the complete audited financial statements for 2023</p>
	01-2024/NQ-ĐHĐCĐBT	30/8/2024	<p>1. Approval of the amendments and supplements to Clause 1, Article 3 of the Charter of Nam Dinh Water Supply Joint Stock Company</p> <p>2. Approval of the results of the dismissal of Mr. Dang Quy Tran from the Board of Directors for the term 2023 – 2028, effective from August 30th, 2024</p> <p>3. Approval of the results of the dismissal of Mr. Hong Duc Tran from the Supervisory Board for the term 2023 – 2028, effective from August 30th, 2024</p> <p>4. Approval of the results of the supplementary election of Mr.Hong Duc Tran to the Board of Directors for the term 2023 – 2028</p> <p>5. Approval of the results of the supplementary election of Mr.Tai Dinh Pham to the Supervisory Board for the term 2023 – 2028</p>

II. BOARD OF DIRECTORS (ANNUAL REPORT):

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Sy Long Nguyen	Chairman of the Board of Directors (Non-executive members of the Board of Directors)	06/04/2023 (Appointed as Chairman of the Board of Directors on July 3rd, 2024)	
2	Mr. Van Hoa Dinh	Vice Chairman of the Board of Directors, General Director (Executive members of the Board of Directors)	06/04/2024 (Appointed as General Director on July 11th, 2024)	
3	Mr. Ngoc Chien Tran	Vice Chairman of the Board of Directors and Deputy	06/4/2023 (Appointed as	

		General Director (Executive members of the Board of Directors)	Vice Chairman of the Board of Directors on July 3rd, 2024)	
4	Mr. Huu Minh Do	Member of the Board of Directors and Deputy General Director (Executive members of the Board of Directors)	06/4/2023	
5	Mr. Ngoc Bao Tran	Non-executive members of the Board of Directors	06/4/2023	
6	Mrs. Hai Anh Kieu	Non-executive members of the Board of Directors	06/4/2023	
7	Mr. Hong Duc Tran	Non-executive members of the Board of Directors	30/8/2024	
8	Mr. Dang Quy Tran	Chairman of the Board of Directors (Non-executive members of the Board of Directors)	06/04/2023	30/8/2024

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Sy Long Nguyen	10/10	100%	
2	Mr. Van Hoa Dinh	10/10	100%	
3	Mr. Ngoc Chien Tran	10/10	100%	
4	Mr. Huu Minh Do	10/10	100%	
5	Mr. Ngoc Bao Tran	10/10	100%	
6	Mrs. Hai Anh Kieu	10/10	100%	
7	Mr. Hong Duc Tran	2/10	20%	Recently elected on August 30 th, 2024
8	Mr. Dang Quy Tran	8/10	80%	Relieved of duties effective from August 30 th , 2024

3. Supervising the Board of Management by the Board of General Directors

- Supervise the implementation of the Board of Directors' decisions.
- Monitor and direct the preparation of annual financial statements; report on the company's business situation; evaluate the management and operation of the company.
- Supervise the progress of the implementation of basic construction investment projects.
- Effectively implement investment projects.
- Ensure compliance with the company's charter, decisions of the General Shareholders' Meeting, Board of Directors, and internal management regulations approved by the Board of Directors.
- Strategic planning for the company's development;
- Planning for business production and construction investment.
- Customer management and development.

4. Activities of the Board of Directors' subcommittees:

None.

5. Resolutions/Decisions of the Board of Directors (annual report):

Stt	Số Nghị quyết	Ngày	Nội dung	Tỷ lệ thông qua
1	01/NQ-HĐQT	22/2/2024	Approved the record date for the final rights, anticipated time, location of the meeting, and agenda for the Annual General Meeting of Shareholders in 2024.	7/7
2	02/NQ-HĐQT	12/4/2024	Approval of the agenda items for the 2024 Annual General Meeting of Shareholders.	7/7
3	03/NQ-HĐQT	24/4/2024	Approval of the cash dividend payment for the year 2023 to the Company's shareholders.	7/7
4	04/NQ-HĐQT	26/4/2024	(Thay thế Nghị quyết số 03/NQ-HĐQT ngày 24/4/2024) Approval of the cash dividend payment for the year 2023 to the Company's shareholders. (Replaces Resolution No. 03/NQ-HĐQT dated April 24, 2024)	7/7
5	05-2024/NQ-HĐQT	03/7/2024	Approval of the dismissal of Mr. Trần Đăng Quý as Chairman of the Board and Mr. Nguyễn Sỹ Long as General Director.	7/7
6	06-2024/NQ-HĐQT	03/7/2024	Approved the record date for the final rights, anticipated time, location of the meeting, and agenda for the	7/7

			Extraordinary General Meeting of Shareholders in 2024	
7	07-2024/NQ-HĐQT	11/7/2024	Approval of the appointment of the General Director and Deputy General Director of the Company.	7/7
8	07a-2024/NQ-HĐQT	19/7/2024	Approval of contracts and transactions with related parties (Nam Dinh Water Supply Joint Stock Company and SETFIL Water Treatment Equipment Joint Stock Company)	6/6
9	07a-2024/NQ-HĐQT	30/8/2024	Approval of the dismissal of the Company Secretary and the appointment of a new Company Secretary.	7/7
10	08-2024/NQ-HĐQT	07/11/2024	Approved the list for the interim dividend distribution for the year 2024 for shareholders.	7/7

III. BOARD OF SUPERVISORS (ANNUAL REPORT)

1. Information about members of Board of Supervisors

No.	Members of Board of Supervisors	Position	The date becoming /ceasing to be the member of the Board of Supervisors	Qualification
1	Mrs. Thi Luyen Nguyen	Head of the Supervisory Board	06/4/2023	Bachelor of Economics
2	Mr. Van Ngon Nguyen	Member of the Supervisory Board	06/4/2023	Bachelor in Tourism Management, Master of Business Administration
3	Mr. Tai Dinh Pham	Member of the Supervisory Board	Recently elected as an additional member on August 30 th , 2024	Bachelor of Economics – Major in Construction Economics
4	Mr. Hong Duc Tran	Member of the Supervisory Board	Relieved of duties as a member of the Supervisory Board effective from August 30 th , 2024	Civil Engineer - Specialization in Water Supply and Drainage -

				Water Environment
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2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mrs. Thi Luyen Nguyen	4/4	100%	100%	
2	Mr. Van Ngon Nguyen	4/4	100%	100%	
3	Mr. Tai Dinh Pham	2/4	50%	50%	Recently elected as an additional member on August 30 th , 2024
4	Mr. Trần Hồng Đức	2/4	50%	50%	Relieved of duties as a member of the Supervisory Board effective from August 30 th , 2024

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors

❖ Regarding the Performance of the Board of Supervisors 's Functions and Duties

The Board of Supervisors monitors the management and operational activities of the Board of Directors (BOD), the Board of Management (BOM) and the company's production and business activities by participating in and contributing feedback during BOD meetings, as well as reviewing quarterly and annual financial reports of the Company.

❖ Results of Monitoring the Company's Operations and Financial Status

- Verification of the Company's Annual Financial Report
- Assessment of the management effectiveness and operational performance of the BOD and BOM, as well as the Company's production and business situation through financial reports and regular reports from the BOD and BOM.

❖ Control of Resolutions and Decisions of the Company's Board of Directors

- The resolutions of the Board of Directors in 2024 focused on directing the implementation of the Company's production and business plans and investment construction plans.
- The meeting format, content, minutes, resolutions, decisions, and documents of the Board of Directors comply with the Law on Enterprises and the Company's Charter.

❖ Control of the Activities of the Board of Management

- Implementation of the resolutions and decisions of the Board of Directors: The Board of Management has fully executed the contents according to the resolutions

and decisions of the Board of Directors.

- Other matters were monitored by the Board of Supervisors through random sampling checks. Upon review, the Board of Supervisors found everything to be appropriate, with no detected violations.
- The regulations and rules of the Company are in line with the operational form of the joint-stock company and have been implemented effectively.

❖ **Shareholder Opinions**

In 2024, the Board of Supervisors did not receive any opinions, feedback, or proposals from shareholders regarding the Company's production and business activities.

4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers

With a spirit of responsibility, collaboration, and cooperation in their activities, the the Board of Supervisors always coordinates, exchanges, and provides candid feedback to the Board of Directors and the Board of Management during meetings, as well as on the resolutions and decisions of the Board of Directors and the Board of Management, in alignment with the goals of the Board of Directors and the established policies and regulations.

The Board of Supervisors has been provided with complete information regarding the decisions of the Board of Directors and the Board of Management, and has received support from the departments within the Company in providing documentation necessary for their oversight functions.

5. Other activities of the Board of Supervisors:

None.

IV. BOARD OF MANAGEMENT

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment
1	Mr. Van Hoa Dinh	16/02/1970	Bachelor of Economics, Master's in Economic Management	11/07/2024 (General Director)
2	Mr. Ngoc Chien Tran	17/04/1966	Engineer in Construction, Major in Water Supply and Drainage	06/4/2023
3	Mr. Huu Minh Do	21/01/1983	Master of Business Administration	06/4/2023
4	Mr. Manh Hung Mai	12/6/1970	Bachelor of Economics – Major in Construction Economics	11/7/2024

V. CHIEF ACCOUNTANT

Name	Date of birth	Qualification	Date of appointment/ dismissal

Mrs. Thi Hong Phan Tran	16/10/1974	Master of Business Administration	06/4/2023
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VI. TRAINING COURSES ON CORPORATE GOVERNANCE

The company continues to create all conditions for appointing staff to participate in training programs aimed at enhancing their knowledge of business management organized by the relevant authorities.

VII. THE LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY (ANNUAL REPORT) AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY

1. The list of affiliated persons of the Company: Appendix 01
2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: Appendix 02
3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company’s subsidiaries in which the Company takes controlling power: None
4. Transactions between the Company and other objects
 - 4.1 Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): Appendix 03
 - 4.2 Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, General Director and other managers as a member of Board of Directors, General Director: None
 - 4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, General Director and other managers: None.

VIII. SHARE TRANSACTIONS OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS (ANNUAL REPORT)

1. The list of internal persons and their affiliated persons: Appendix 04
2. Transactions of internal persons and affiliated persons with shares of the company: None.

IX. OTHER SIGNIFICANT ISSUES: None

Recipients:

- As above;
- Archived

CHAIRMAN OF THE BOARD OF DIRECTORS



Sy Long Nguyen

APPENDIX 01: THE LIST OF AFFILIATED PERSONS OF THE COMPANY

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Mr. Sy Long Nguyen		Chairman of the Board of Directors			08/2024			Chairman of the Board of Directors
2	Mr. Van Hoa Dinh	059C027625	Vice Chairman of the Board of Directors and General Director.			07/2024			Vice Chairman of the Board of Directors and General Director.
3	Mr. Huu Minh Do		Member of the Board of Directors, Deputy General Director.			04/2023		Relief of Duties for the Management Responsible Person of the Company Effective from August 30th, 2024	Member of the Board of Directors, Deputy General Director.

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
4	Mr. Ngoc Chien Tran		Vice Chairman of the Board of Directors and Deputy General Director			04/2023			Member of the Board of Directors, Deputy General Director.
5	Mr. Ngoc Bao Tran	005C1666686	Member of the Board of Directors			04/2023			Member of the Board of Directors
6	Mrs. Hai Anh Kieu		Member of the Board of Directors			04/2023			Member of the Board of Directors
7	Mr. Hong Duc Tran	026CA17282	Member of the Board of Directors			08/2024		New Appointment	Member of the Board of Directors
8	Mrs. Thi Luyen Nguyen	033CC24921	Head of the Supervisory Board			04/2023			Head of the Supervisory Board
9	Mr. Van Ngon Nguyen	033CC24923	Member of the Supervisory Board			04/2023			Member of the Supervisory

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
									Board
10	Mr. Tai Dinh Pham	033CC24 926	Member of the Supervisory Board			8/2024			Member of the Supervisory Board
11	Mrs. Thi Hong Phan Tran	033CC24 928	Chief Accountant			04/2023			Chief Accountant
12	Mr. Van Trung Vu		Person in charge of company administration.			8/2024		New Appointment	Person in charge of company administration.
13	Nam Định Provincial People's Committee				57 Vi Hoang Street - Nam Dinh City.	12/2017			Major shareholder
14	Tien Phong Plastic Joint Stock Company			0200167782, first issued on December 30th, 2004, at the Hai Phong Department of Planning and Investment	2 An Da Street - Lach Tray Ward - Ngo Quyen District - Hai Phong City.	12/2017			Major shareholder
15	SETFIL Water			0102145558, first	Room 107, Building I9, Thanh Xuan Bac Ward,	12/2017			Major

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
	Treatment Equipment Joint Stock Company.			issued on January 19th, 2007, at the Hanoi Department of Planning and Investment	Thanh Xuan District, Hanoi City, Vietnam.				shareholder
16	Nam Dinh Tourism Joint Stock Company			0600001044, first issued on December 17th, 2004, , at the Nam Dinh Department of Planning and Investment	151 Nguyen Du Street - Vi Hoang Ward - Nam Dinh City - Nam Dinh Province	12/2017			Major shareholder
17	Mr. Dang Quy Tran			36063000171, issued on May 4th, 2023, at the Police Department	46 Phu Nghia Street, Ha Long Ward, Nam Dinh City, Nam Dinh Province	04/2023	08/2024	Relief of Duties of a Board Member	Chairman of the Board of Directors
18	Mr. Manh Hung Mai		Deputy General Director	036070007433, issued on March 1st, 2021, at the Police Department	97 Chu Văn Street, Ha Long Ward, Nam Dinh City	07/2024		New Appointment	Deputy General Director

APPENDIX 02: TRANSACTIONS BETWEEN THE COMPANY AND ITS AFFILIATED PERSONS OR BETWEEN THE COMPANY AND ITS MAJOR SHAREHOLDERS, INTERNAL PERSONS AND AFFILIATED PERSONS

No.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	SETFIL Water Treatment Equipment Joint Stock Company	Major Shareholder, Related Party of Insiders (Ms. Kieu Hai Anh – Member of the Board of Directors of Nam Dinh Water Supply Joint Stock Company, and General Director of SETFIL Water Treatment Equipment Joint Stock Company)	0102145558, first issued on January 19, 2007, at the Hanoi Department of Planning and Investment	Room 107, Building 19, Thanh Xuan Bac Ward, Thanh Xuan District, Hanoi City, Vietnam	September 2024	07a-2024/NQ-HĐQT dated July 19th, 2024	- Content: Water meter purchase contract - Quantity: 01 electromechanical water meter D300 - Value: 88,830,300 VND	

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

APPENDIX 03: TRANSACTIONS BETWEEN THE COMPANY AND THE COMPANY THAT ITS MEMBERS OF BOARD OF MANAGEMENT, THE BOARD OF SUPERVISORS, DIRECTOR (GENERAL DIRECTOR) HAVE BEEN FOUNDING MEMBERS OR MEMBERS OF BOARD OF DIRECTORS, OR CEOs IN THREE (03) LATEST YEARS (CALCULATED AT THE TIME OF REPORTING).

No.	Name of organization	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	SETFIL Water Treatment Equipment Joint Stock Company	Major Shareholder, Related Party of Insiders (Ms. Kieu Hai Anh – Member of the Board of Directors of Nam Dinh Water Supply Joint Stock Company, and General Director of SETFIL Water Treatment Equipment Joint Stock Company)	0102145558, first issued on January 19, 2007, at the Hanoi Department of Planning and Investment	Room 107, Building 19, Thanh Xuan Bac Ward, Thanh Xuan District, Hanoi City, Vietnam	September 2024	07a-2024/NQ-HĐQT dated July 19th, 2024	- Content: Water meter purchase contract - Quantity: 01 electromechanical water meter D300 - Value: 88,830,300 VND	

APPENDIX 04: THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
1	Mr. Sy Long Nguyen		Chairman of the Board of Directors					201,209	0.58	
1.1	Affiliated organizations									
a	Nam Định Provincial People's Committee						57 Vi Hoang Street - Nam Dinh City	16,984,315	49.5	Representative of state-owned capital
1.2	Affiliated person:									
a	Ngoc Ho Nguyen							0	0	Father
b	Thi Dao Dinh							0	0	Mother
c	Thi Lan Do							0	0	Mother-in-law
d	Thi Hien Hoang							0	0	Wife

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
e	Hung Thinh Nguyen						0	0	Offspring	
f	Ngoc Bao Nguyen						0	0	Offspring	
g	Ngoc Hung Nguyen						0	0	Older brother	
h	Thi Hoa Nguyen						0	0	Older sister	
i	Thi Nhiem Nguyen						0	0	Younger sibling	
k	Thi Thanh Van Nguyen						0	0	Younger sibling	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
n	Thi Thuy Bui							0	0	sister-in-law
m	Mai Huy Dinh							0	0	Brother-in-law
o	Minh Tuan Pham							0	0	brother-in-law
p	Van Nam Nguyen							0	0	brother-in-law
2	Mr. Ngoc Chien Tran		Vice Chairman of the Board of Directors, Deputy General Director					7,200	0.02	



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
2.1	Affiliated organizations: None									
2.2	Affiliated person:									
a	Ngoc Tho Tran						0	0	Father	
b	Thi Nguu Tran						0	0	Mother	
c	Ngoc Giao Pham						0	0	Father-in-law	
d	Thi Thu Hang Pham						0	0	Wife	
e	Thi Thuy Huyen Tran						0	0	Offspring	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
f	Khanh Duong Tran							0	0	Offspring
g	Thi Kim Thu Tran							0	0	Older sister
h	Van Phuong Nguyen							0	0	Brother-in-law
i	Thi Tuyet Nhung Tran							0	0	Younger sibling
k	Van Tuyen Le							0	0	Brother-in-law
m	Thi Kim Loan Tran							0	0	younger sibling

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
n	Xuan Hung Duong							0	0	Brother-in-law
3	Mr. Van Hoa Dinh		Vice Chairman of the Board of Directors and General Director.					122,176	0.36	
3.1	Affiliated organizations:									
a	Nam Dinh Tourism Joint Stock Company			0600001044	17/12/2004	Nam Dinh Province Department of Planning and Investment	151 Nguyen Du Street, Vi Hoang Ward, Nam Dinh City, Nam Dinh Province	6,347,673	18.50	Major shareholder
3.2	Affiliated person:									
a	Van Nam Dinh							0	0	Older brother

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
b	Thi Son Dinh							0	0	Older sister
c	Van Duong Dinh							0	0	Older brother
d	Van Quy Dinh							0	0	Older brother
e	Thi Hang Dinh							0	0	Younger sister
f	Thi Hong Ha Tran							0	0	Sister-in-law
g	Tuan Vinh Bui							0	0	Brother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
h	Thi Luyen Nguyen							0	0	Sister-in-law
i	Thi Thu Dung Pham							0	0	Sister-in-law
l	Cong Minh Dang							0	0	Brother-in-law
n	Ngoc Chung Tran							0	0	Father-in-law
m	Thi Yen Do							0	0	Mother-in-law
o	Thi Ngoc Oanh Tran							0	0	Wife

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
p	Thi Thu Dinh							0	0	Offspring
q	Thi Ha My Dinh							0	0	Offspring
r	Ha Phuong Dinh							0	0	Offspring
s	Lan Phuong Dinh							0	0	Offspring
4	Mrs. Kieu Hai Anh		Member of the Board of Directors					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
4.1	Affiliated organizations									
a	SETFIL Water Treatment Equipment Joint Stock Company			0102145558	19/01/2007	Hanoi Department of Planning and Investment	Room 107, Building 19, Thanh Xuan Bac Ward, Thanh Xuan District, Hanoi City, Vietnam	1,726,267	5.03	Major shareholder
5.2	Affiliated person:									
a	Kiều Văn Hạ							0	0	Father
b	Thị Ngọc Tú Vu							0	0	Mother

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
c	Van Tu Pham							0	0	Father-in-law
d	Thi Bich Thuy Le							0	0	Mother-in-law
e	Viet Anh Pham							0	0	Husband
f	Viet Truong Phuc Pham							0	0	Offspring

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
g	Viet Bao Han Pham							0	0	Offspring
5	Mr. Bao Ngoc Tran		Member of the Board of Directors					0	0	
5.1	Affiliated organizations									
a	Tien Phong Plastic Joint Stock Company			0200167782	30/12/2004	Hai Phong Department of Planning and Investment	2 An Da Street - Lach Tray Ward - Ngo Quyen District - Hai Phong City	4,632,086	13.50	Major shareholder

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
b	Simple Investment Joint Stock Company			0201783431	21/4/2017	Hai Phong Department of Planning and Investment	Lot 8A, A2-19 Le Hong Phong Street, Dang Giang Ward, Ngo Quyen District, Hai Phong City	0	0	
c	Tien Phong Equipment Technology Joint Stock Company			0200153652	20/7/2017	Hai Phong Department of Planning and Investment	36 May To Street, May To Ward, Ngo Quyen District, Hai Phong City	0	0	
d	Tien Phong Plastic South Joint Stock Company			3700829126	11/9/2017	Binh Duong Department of Planning and Investment	Dong An II Industrial Park, Binh Duong	0	0	
e	Tien Phong Land Company Limited			0201633845	18/5/2015	Hai Phong Department of Planning and Investment	02 An Da, Hai Phong	0	0	
f	Central Tien Phong Plastic Company			2901503027	19/3/2012	Nghe An Department	Nam Cam Industrial Park,	0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
	Limited					of Planning and Investment	Nghe An Province			
g	Tien Phong Packing Joint Stock Company			0200590620	27/7/2004	Hai Phong Department of Planning and Investment	02 An Da, Hai Phong	0	0	
5.2	Affiliated person:									
a	Thi Nga Pham							0	0	Mother
b	Bao Ngoc Tran							0	0	Younger sibling
c	Thi Thu Thao To							0	0	Wife

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
d	Khanh Linh Tran							0	0	Offspring
e	Ngoc Bao Nam Tran							0	0	Offspring
f	Van Lien To							0	0	Father-in-law
g	Tien Dung Vo							0	0	Brother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
6	Mr. Huu Minh Do		Member of the Board of Directors, Deputy General Director				6,200	0.018		
6.1	Affiliated organizations: None									
6.2	Affiliated person:									
a	Huu Thong Do						0	0	Father	
b	Thi Tinh Dinh						0	0	Mother	
c	Van Dung Tran						0	0	Father-in-law	
d	Thi Bich Thao Tran						55.105	0,16	Wife	
e	Nguyen Hoang Do						0	0	Offspring	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
f	Tue Man Do							0	0	Offspring
g	Thi Van Do							0	0	Younger sibling
h	Thi Thanh Do							2,800	0.008	Younger sibling
i	Van Truong Dinh							0	0	Brother-in-law
l	Minh Hieu Pham							100	0.0003	Brother-in-law
7	Mr. Hong Duc Tran		Member of the Board of Directors					6,300	0.018	
7.1	Affiliated organizations:									

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
	None									
7.2	Affiliated person:									
a	Thi Thanh Huong Tran						0	0	Older sister	
b	Hai Cuong Bui						0	0	Brother-in-law	
c	Trong Nghia Tran						0	0	Younger sibling	
d	Thi Kim Yen Tran						0	0	Sister-in-law	
e	Van Hien Bui						0	0	Father-in-law	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
f	Thi Minh Yen Doan							0	0	Mother-in-law
g	Thi Huong Bui							0	0	Wife
h	Thi Bao Thoa Tran							0	0	Offspring
i	Thi Bao Chau Tran							0	0	Offspring
8	Mr. Manh Hung Mai		Member of the Board of Directors					7,600	0.02	
8.1	Affiliated organizations: None									
8.2	Affiliated person:									

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
a	Trung Cuong Mai						0	0	Father	
b	Thi Thuy Tran						0	0	Mother	
c	Khac Vu Do						0	0	Father-in-law	
d	Thi Mai Truong						0	0	Mother-in-law	
e	Thi Van Anh Do		Staff				6,500	0.019	Wife	
f	Trung Kien Mai						0	0	Offspring	
g	Trung Duc Mai						0	0	Offspring	



No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
h	Thi To Oanh Mai		Staff					6,500	0.019	Younger sister
i	Dinh Son Vu							0	0	Brother-in-law
k	Thi Thuy Ngan Mai		Staff					7,200	0.02	Younger sister
n	Cong Luong Nguyen		Staff					7,200	0.02	Brother-in-law
m	Trung Tien Mai		Deputy Director of the Water Loss Prevention Branch					6,200	0.018	Younger brother
o	Thi Tam Nguyen		Staff					6,000	0.017	Sister-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
9	Mrs. Thi Luyen Nguyen		Head of the Supervisory Board					55,705	0.16	
9.1	Affiliated organizations:									
9.2	Affiliated person:									
a	Thi Tua Truong							0	0	Mother
b	Manh Ha Nguyen							55,605	0.16	Husband
c	Manh Hai Nguyen							0	0	Offspring
d	Manh Dung Nguyen							0	0	Offspring

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
e	Huy Lieu Nguyen							0	0	Older brother
f	Thuy Lieu Nguyen							5,700	0.016	Older sister
g	Ngoc Luyen Nguyen							25,802	0.075	Younger sibling
h	Minh Dien Do							6,400	0.018	Sister-in-law
i	Hai Nhan Ly							0	0	Sister-in-law
10	Mr. Van Ngon Nguyen		Member of the Supervisory Board					95,509	0.278	
10.1	Affiliated organizations: None									

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
10.2	Affiliated person:									
a	Van Kiem Nguyen						0	0	Father	
b	Thi Xiem Vu						0	0	Mother	
c	The Quyen Nguyen						0	0	Older brother	
d	Linh Giang Dang						0	0	Sister-in-law	
e	Manh Tuyen Nguyen						0	0	Older brother	
f	Thi My Nghe Trinh						0	0	Sister-in-law	
g	Quoc Ke Nguyen						0	0	Father-in-law	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
h	Thi Hong Linh Pham							0	0	Mother-in-law
i	Thi Phong Lan Nguyen							0	0	Wife
k	Linh Trang Nguyen							0	0	Offspring
n	Ha My Nguyen		Still a child					0	0	Offspring
m	Viet Duc Nguyen		Still a child					0	0	Offspring
11	Mr. Tai Dinh Pham		Member of the Supervisory Board					106,910	0.31	
11.1	Affiliated organizations: None									
11.2	Affiliated person:									

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
a	Tai Bac Pham			Has died					Father	
b	Thi Mui Dinh			Has died					Mother	
c	Minh Thai Vu			Has died					Father-in-law	
d	Thi Gai Tran						0	0	Mother-in-law	
e	Thi Thanh Thao Vu						6,300	0.0018	Wife	
f	Thi Thu Huong Pham						0	0	Offspring	
g	Thi Thu Hien Pham						0	0	Offspring	
h	Tai Son Pham						0	0	Older brother	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
i	Thi Chuc Pham						0	0	Sister-in-law	
k	Thi Ha Pham						0	0	Older sister	
l	Cong Do Tran						0	0	Brother-in-law	
m	Tai Ninh Pham						0	0	Older brother	
n	Thi To Tong						0	0	Sister-in-law	
o	Thi Hoe Pham						0	0	Younger sister	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
p	Van Vien Bui							0	0	Brother-in-law
12	Mrs. Thi Hong Phan Tran		Chief Accountant					105,381	0.307	
12.1	Affiliated organizations: None									
12.2	Affiliated person:									
a	Thi Loc Tran							0	0	Mother
b	Thi Hong Van Tran							10,700	0,03	Older sister
								10,700	0.03	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
c	Trung Quyet Pham							0	0	Brother-in-law
d	Thi Minh Thu Tran							7,100	0.02	Younger sibling
e	Van Thinh Nguyen							6,300	0.018	Brother-in-law
f	Thi Nhu Quynh Tran							0	0	Younger sibling
g	Hong Thang Ta							0	0	Brother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
h	Thi Nhu Hoa Tran							0	0	Younger sibling
i	Quoc Khanh Tran							0	0	Brother-in-law
k	Van Huyen Tran							0	0	Father-in-law
n	Thi Tho Tran							0	0	Mother-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
m	Van Minh Tran						0	0	Husband	
o	Tuan Anh Tran						0	0	Con dè Offspring	
p	Ngoc Anh Tran						0	0	Offspring	
13	Mr. Van Trung Vu		Person in charge of company administration				6,700	0.019		
13.1	Affiliated organizations: None									
13.2	Affiliated person:									
a	Van Binh Vu						0	0	Father	
b	Thi Hong Dang						0	0	Mother	
c	Ngoc Oanh Luong			Has died					Father-in-law	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
d	Thi Khen Nguyen							0	0	Mother-in-law
e	Thi Thanh Tra Luong							6,600	0.019	Wife
f	Minh Duc Vu							0	0	Offspring
g	Thuy Duong Vu							0	0	Offspring
h	Thi Thuy Vu							0	0	Younger sister
i	Van Phuong Mai							0	0	Brother-in-law
k	Van Chinh Vu							0	0	Younger brother

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card/ Business Registration Certificate			Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				No.	Date of issue	Place of issue				
n	Thi Hang Nguyen							0	0	Sister-in-law

