

Vào ngày / on the date: **28 -04- 2023**

**SUBMISSION TO THE GENERAL MEETING OF THE SHAREHOLDERS**

**Re: The number of BOD's members and SB's members and the preparation personels for the BOD's members and the SB's members for the term 2023 - 2027 of An Binh Joint Stock Commercial Bank (ABBANK)**

**To: Shareholders of An Binh Joint Stock Commercial Bank (ABBANK)**

**1. Background and personel preparation**

The Board of Directors (BOD) and the Supervisory Board (BKS) of ABBANK at the end of the term 2018 – 2022, have successfully completed the tasks assigned by shareholders, helped An Binh Commercial Joint Stock Bank (ABBANK) overcome difficult time, fundamentally solving the problem of bad debts arising from previous years, build the right business strategy and establish a solid foundation for breakthrough growth from now to 2025 and the following years.

At the annual meeting in 2023, the General Meeting of Shareholders will conduct the election of members of the BOD and SB for the new term 2023-2027.

Pursuant to the provisions of the Law on Credit Institutions, the Law on Securities, the Law on Enterprises and the ABBANK Charter: *“Shareholder(s) or group(s) of shareholders owning 10% or more of the total number of ordinary shares have the right to nominate candidate(s) for the BOD member(s) and the SB member(s)”*

At the same time, according to the provisions of legal normative documents, candidates for the BOD's and the SB's members must be approved by the State Bank of Vietnam (SBV) before being elected at the General Meeting of Shareholders.

In order to prepare the list of candidates to elect the BOD's members and SB's members in accordance with the above provisions, the BOD approved the expected number of the BOD's members the SB's members for the term 2023-2027, conducted closing the list of Shareholders and provided instructions for Shareholders to exercise their nomination right in accordance with current regulations (Resolution No. 13/NQ-HDQT.22 dated 01/12/2022; Notice No. 62/TB-BOD.22 dated 01/12/2022 on closing the list of Shareholders to exercise the right to nominate the BOD's members and the SB's members; and Notice No. 72/TB-HDQT.22 dated 20/12/2022 on guiding Shareholders to exercise the right to nominate the BOD's members and the SB's members for the term 2023-2027).

Through the fact of operating, the current number and structure of members of the BOD and the SB show reasonable; it also ensures the corporate governance standards applicable to listed companies in accordance with the provisions of Vietnamese laws; and promotes operational efficiency in accordance with good governance practices. Therefore, it is expected that the number of BOD's member and SB's member for the new term 2023-2027 will be kept as the current structure and number. Specifically, the BOD consists of 07 members, of which 02 are independent members; the SB consists of 03 members, including 02 full-time members.

Pursuant to the provisions of laws; the Resolution, Notices and instructions of the BOD, eligible shareholders/groups of shareholders have nominated 5 candidates for BOD's members and 1 candidate for the SB's member. Based on the current provisions of laws,

ABBANK's Charter, Regulation on the BOD's Operation, and the Human Resource Committee's recommendation, the BOD nominated 2 candidates for the BOD's independent members. Based on the current provisions of law, ABBANK's Charter, Regulation on the SB's Operation, the SB also nominated 2 additional candidates for the SB's members.

At the meeting on 06/03/2023, the BOD approved the entire list of candidates for the BOD's members and SB's members for the term 2023-2027. The BOD has submitted to the SBV in accordance with the current provisions of law and received written approval from the SBV for personnel expected to be BOD's members and SB's members for the term 2023-2027 of ABBANK. The detail list as follows:

<b>INTENDED PERSONNEL FOR THE BOD'S MEMBERS: 7 Persons</b>				
	<b>Names</b>	<b>Position in ABBANK</b>	<b>Candidate position</b>	<b>Nominating Shareholder(s)</b>
1	Mr. Vũ Văn Tiền	The BOD's Vice-Chairman	The BOD' Member	Geleximco and relating parties
2	Mr. Đào Mạnh Kháng	The BOD's Chairman	The BOD' Member	Geleximco and relating parties
3	Mr. John Chong Eng Chuan	No	The BOD' Member	Maybank
4	Mr. Foong Seong Yew	Không	The BOD' Member	Maybank
5	Mr. Nguyễn Danh Lương	The BOD's Independent Member	The BOD' Member	Group of shareholders
6	Mrs. Đỗ Thị Nhung	No	The BOD's Independent Member	The BOD on recomemdatiomm of the HR Committee
7	Mr. Trần Bá Vinh	No	The BOD's Independent Member	The BOD on recomemdatiomm of the HR Committee
<b>INTENDED PERSONEL FOR SB'S MEMBERS: 3 Persons</b>				
1	Mrs. Nguyễn Thị Hạnh Tâm	The SB's Head	The SB's Member (Full-time)	Group of shareholders
2	Mrs. Phạm Thị Hằng	The SB's Member	The SB's Member (Full-time)	The SB
3	Mrs. Nguyễn Thị Thanh Thái	The SB's Member	The SB's Member (Not full-time)	The SB

## **2. Proposal**

The BOD would like to submit to the General Meeting of the Shareholders:

1. To approve the expected number of the BOD's members and the SB's members of ABBANK for the term 2023-2027, specifically as follows:
  - a) The BOD consists of 07 members, including 02 independent members.
  - b) The SB consists of 03 members, including 02 full-time members.
2. To elect candidates in the above-mentioned list approved by SBV, to be the BOD's members and the SB's members.

Respectfully submitted.

**ON BEHALF OF THE BOD  
CHAIRMAN  
(Signed)  
Đào Mạnh Kháng**

**SUMMARY OF PERSONNEL INFORMATION FOR THE ELECTION OF  
AN BINH COMMERCIAL JOINT STOCK BANK (ABBANK)  
BOARD OF DIRECTORS (BOD) AND SUPERVISORY BOARD (SB) TERM 2023 - 2027**  
(Attached to the Board of Directors Submission to Annual General Meeting of the Shareholders 2023 for approval)

**I. INFORMATION OF CANDIDATES TO THE BOARD OF DIRECTORS**

No.	Name DOB Nationality	Education/professional qualifications	Working experience
1	<b>Vũ Văn Tiền</b> 10 May 1959 Việt Nam	- Bachelor of National Economic Planning, National Economics University;	- 1986 - 1992: Officer of Agricultural Supplies Corporation, Hanoi - 1993 - present: <ul style="list-style-type: none"> <li>• Chairman of the Board of Directors cum General Director of Hanoi General Import-Export Joint Stock Company (now Geleximco Group – Joint Stock Company)</li> <li>• 6/2003 - 3/2005: Member of the Board of Directors of ABBANK</li> <li>• 3/2005 - 4/2018: The BOD’s Chairman of ABBANK</li> <li>• 4/2018 - present: The BOD’s Vice-Chairman of ABBANK</li> </ul>
2	<b>Đào Mạnh Kháng</b> 19 Jan 1969 Việt Nam	- Doctorate in Economics, University of Commerce - Bachelor of Science in Public Food and Beverage Engineering, University of Commerce	- 1990 - 1993: Accountant of Bach Khoa Brick Company - Hanoi - 1993 - 2007: Chief Accountant of Hanoi General Import-Export Joint Stock Company (now Geleximco Group – Joint Stock Company)

No.	Name DOB Nationality	Education/professional qualifications	Working experience
			<ul style="list-style-type: none"> <li>- 3/2007 – 4/2018: Deputy General Director of Hanoi Import-Export Joint Stock Company (now Geleximco Group – Joint Stock Company)</li> <li>- 8/2005 – 3/2009: Member of Supervisory Board of ABBANK</li> <li>- 5/2011 – 4/2018: The BOD’s Members of ABBANK</li> <li>- 4/2018 - present: The BOD’s Chairman of ABBANK</li> </ul>
3	<p><b>John Chong Eng Chuan</b></p> <p>22 Aug 1967</p> <p>Malaysia</p>	<ul style="list-style-type: none"> <li>- Bachelor of Economics (Honours) University of Queensland, Brisbane, Australia</li> </ul>	<ul style="list-style-type: none"> <li>- 3/1991 – 12/1992: Semi-senior auditor of Kassim Chan &amp; Co</li> <li>- 12/1992 – 3/1993: Graduate trainee, Corporate Banking at Public Bank Berhad</li> <li>- 1993 to present: 30 years of experience working at Maybank Investment Bank Berhad with the following positions: <ul style="list-style-type: none"> <li>• Manager, Corporate Banking</li> <li>• Manager, Capital Markets &amp; Structured Finance</li> <li>• General Manager, Capital Markets &amp; Structured Finance (later renamed Debt Capital Markets)</li> <li>• Executive Vice President, Head of Debt Capital Markets; Corporate Investment Banking</li> <li>• Managing Director, Debt Markets; Client Coverage</li> <li>• Deputy CEO &amp; Head Investment Banking and Advisory</li> <li>• Interim Officer-in-Charge, Maybank Investment Bank Berhad and Maybank Kim Eng Group (now known as Maybank Investment Banking Group)</li> </ul> </li> </ul>

No.	Name DOB Nationality	Education/professional qualifications	Working experience
			<ul style="list-style-type: none"> <li>• CEO, Maybank Investment Bank Berhad and Maybank Kim Eng Group (now known as Maybank Investment Banking Group)</li> <li>• Group CEO, Community Financial Services</li> </ul>
4	<b>Foong Seong Yew</b> 05 May 1968 Malaysia	<ul style="list-style-type: none"> <li>- Bachelor of Arts in Economics and Management, University of Cambridge</li> <li>- Master of Arts in Economics and Management, University of Cambridge</li> <li>- Executive Master of Business Administration in Advanced Business Management Programme, Kellogg Graduate School of Management, Northwestern University, Chicago, USA</li> <li>- Chartered Banker, Asian Institute of Chartered Bankers</li> </ul>	<ul style="list-style-type: none"> <li>- 1991 - 1994: Insurance Specialist in Financial Services Division of Sime Darby Berhad Malaysia</li> <li>- 1994 - 2011: Senior Managing Director of Accenture Management Consulting, Malaysia</li> <li>- 2011 to 31/3/2023: Working at Malayan Banking Berhad (Maybank) with the following positions:               <ul style="list-style-type: none"> <li>• Chief Strategy &amp; Transformation Officer</li> <li>• Group Chief Strategy Officer</li> <li>• CEO of International</li> </ul> </li> <li>- 01/4/2023 to present: Country CEO, Maybank Vietnam</li> </ul>
5	<b>Nguyễn Danh Lương</b> 09 Oct 1957 Việt Nam	<ul style="list-style-type: none"> <li>- Bachelor of Foreign Exchange from Banking Academy (now Banking Academy).</li> <li>- Doctor of Economics in Finance - Banking from the Higher School of Banking (now the Banking Academy).</li> </ul>	<ul style="list-style-type: none"> <li>- 1987 to 10/2017: Passive over many important position at Bank for Foreign Trade of Vietnam:               <ul style="list-style-type: none"> <li>• Staff of Financial Accounting Department</li> <li>• Deputy Head of Computer Department</li> <li>• Deputy Head of Financial Accounting Department</li> <li>• Head of Accounting and Transaction Department of the Exchange</li> <li>• Deputy Director of the Exchange</li> </ul> </li> </ul>

No.	Name DOB Nationality	Education/professional qualifications	Working experience
		<ul style="list-style-type: none"> <li>- Advanced degree in political theory, Ho Chi Minh National Academy of Politics and Administration</li> </ul>	<ul style="list-style-type: none"> <li>• Director of the Exchange</li> <li>• Deputy General Director cum Director of Transaction Department</li> <li>• Deputy General Manager</li> <li>• Member of the Board of Directors cum Deputy General Director</li> <li>- 11/2017 – 12/2021: Member of the Members' Council of Vietcombank - Bonday - Ben Thanh Joint Venture Company.</li> <li>- 2018 to present: Independent member of the Board of Directors of ABBANK.</li> </ul>
6	<b>Đỗ Thị Nhung</b> 25 Oct 1960 Việt Nam	<ul style="list-style-type: none"> <li>- Bachelor of Economics majoring in Transformation Banking Advanced School (now Banking Academy)</li> <li>- Graduated from the College of Credit, Banking Academy</li> <li>- Advanced level in political theory, National Academy of Politics</li> </ul>	<ul style="list-style-type: none"> <li>- 1983 - 1999: General Planning Officer; Credit Officer; Deputy business; Deputy Director of Agribank Tien Hai – Thai Binh Branch</li> <li>- 1999 – 2015: Officer, Deputy Head, Department Head, Deputy Head of Monetary Policy Department, State Bank of Vietnam.</li> <li>- 01/2016 – 4/2018: Deputy Head, Head of Compliance Monitoring Department, Legal Compliance Division, ABBANK.</li> <li>- 5/2018 to present: Independent member of the Board of Directors of Tien Phong Commercial Joint Stock Bank.</li> </ul>
7	<b>Trần Bá Vinh</b> 9 May 1957 Việt Nam, Australia	<ul style="list-style-type: none"> <li>- Bachelor of Economics, University of Transport and Waterways (now Maritime University)</li> <li>- Bachelor of English from Hanoi University of Foreign Language Education</li> </ul>	<ul style="list-style-type: none"> <li>- 6/1991 – 02/2010: Passive over many important position at Vietnam Maritime Commercial Joint Stock Bank (MSB) with the following titles:               <ul style="list-style-type: none"> <li>• Director of Quang Ninh Branch</li> <li>• Director of Risk Prevention Center</li> <li>• Deputy General Manager cum Director MSB – Hà Nội Branch</li> </ul> </li> </ul>

No.	Name DOB Nationality	Education/professional qualifications	Working experience
			<ul style="list-style-type: none"> <li>• General manager</li> <li>• Vice Chairman of Credit Council</li> <li>- 3/2010 – 4/2012: Working at Mekong Development Commercial Joint Stock Bank (MDB) with the following titles: <ul style="list-style-type: none"> <li>• General Director</li> <li>• Member of the Board of Directors; Head of the preparatory committee for the establishment of MSB Laos.</li> </ul> </li> <li>- 2013 - 2018: Independent member of the Board of Directors of An Binh Commercial Joint Stock Bank for the term 2013 -2017.</li> </ul>

## II. INFORMATION OF CANDIDATES TO THE SUPERVISORY BOARD

No.	Name DOB Nationality	Education/professional qualifications	Working experience
1	<b>Nguyễn Thị Hạnh Tâm</b> 02 Feb 1972 Việt Nam	<ul style="list-style-type: none"> <li>- Bachelor of Accounting, Banking Academy (now the Banking Academy).</li> <li>- Bachelor of Finance - Banking Academy Credit.</li> <li>- Certificate of Chief Accountant, National Economics University</li> <li>- Master of Business Administration from Griggs University</li> </ul>	<ul style="list-style-type: none"> <li>- 1993 - 1998: Transaction officer of the Transaction Office of the Bank for Foreign Trade of Vietnam.</li> <li>- 1998 - 2008: Head of Accounting and Finance Department, Finance Leasing Company, Bank for Foreign Trade of Vietnam</li> <li>- 2008 - 2009: Head of Finance and Accounting Department - Bao Viet Commercial Joint Stock Bank</li> <li>- 2009 - present: Member, Head of Supervisory Board of ABBANK.</li> </ul>

No.	Name DOB Nationality	Education/professional qualifications	Working experience
2	<b>Phạm Thị Hằng</b> 14 Oct 1971 Việt Nam	<ul style="list-style-type: none"> <li>- Bachelor degree in Banking Credit, Advanced school of Banking. (Now the Banking Academy).</li> <li>- Bachelor of Finance and Credit, Banking Academy.</li> <li>- Master in Banking and Finance, University of Economics Ho Chi Minh City.</li> <li>- Bachelor of Law, Ho Chi Minh City University of Law.</li> </ul>	<ul style="list-style-type: none"> <li>- 1996 - 2006: State Bank Inspector, Ho Chi Minh Branch.</li> <li>- 2006 - 2012: Deputy Head of Internal Audit, Petroleum Finance Company (PVFC).</li> <li>- 2012 - 2013: Head of Internal Control Department of Western Bank (WTB).</li> <li>- 2013 - 2015: Deputy Head of Internal Audit of PVcombank.</li> <li>- 2015 - present: Member of Supervisory Board of ABBANK.</li> </ul>
3	<b>Nguyễn Thị Thanh Thái</b> 06 Jan 1963 Việt Nam	<ul style="list-style-type: none"> <li>- Bachelor of Economics - Major in Finance - Credit, Banking Academy.</li> </ul>	<ul style="list-style-type: none"> <li>- 6/1986 – 5/1999: Credit officer of Tu Liem Bank.</li> <li>- 6/1999 – 02/2001: Credit officer of Agribank Lang Ha branch.</li> <li>- 3/2001 – 7/2003: Deputy Head of Credit Department – Agribank Lang Ha Branch.</li> <li>- 8/2003 – 6/2008: Head of Credit Department – Agribank Lang Ha Branch;</li> <li>- 7/2008 – 5/2012: Deputy Director of Agribank Lang Ha Branch.</li> <li>- 6/2012 – 01/2013: Head of Agribank Appraisal Preparatory Committee.</li> <li>- 02/2013 – 02/2014: Head of Appraisal Committee of Agribank.</li> <li>- 3/2014 to 2018: Deputy Director of Agribank Lang Ha Branch</li> <li>- 4/2018 to present: Member of Supervisory Board of ABBANK.</li> </ul>