

EXTRAORDINARY INFORMATION DISCLOSURE

**To: - The State Securities Commission of Vietnam
- The Hanoi Stock Exchange**

1. Name of organization: Southern Waterborne Transport Corporation
- Stock code: SWC
- Address of head office: 298 Huynh Tan Phat, Tan Thuan Ward, Ho Chi Minh City
- Telephone: 028.38729 748 Fax: 028.38726 386
- Email: camry.tu@sotransgroup.vn
2. Contents of disclosure:
On 06 July 2026, Southern Waterborne Transport Corporation received Official Letter No. 6080/UBCK-PTTT issued by the State Securities Commission of Vietnam regarding the dossier for notification of the Company's maximum foreign ownership ratio, according to which the Company's maximum foreign ownership ratio is 49%.
3. This information was published on the company's website on 06/07/2026, as in the link:
<https://sowatco.com.vn/category/co-dong/thong-tin-co-dong/>

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Attached documents:

- Official Letter No.
6080/UBCK-PTTT
issued by SSC.

**SOUTHERN WATERBORNE
TRANSPORT CORPORATION
LEGAL REPRESENTATIVE
GENERAL DIRECTOR**


DANG VU THANH

**MINISTRY OF FINANCE
STATE SECURITIES COMMISSION
OF VIETNAM**

**THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

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Hanoi, July 01st, 2026

No.: 6080/UBCK-PTTT

Re.: Dossier notifying the maximum
foreign ownership ratio of Southern
Waterborne Transport Corporation



To:

- Southern Waterborne Transport Corporation;
- Vietnam Securities Depository and Clearing Corporation.

The State Securities Commission of Vietnam (“SSC”) has received the dossier notifying the maximum foreign ownership ratio (“foreign ownership ratio” or “FOR”) No. 31/SWC/CV-HDQT dated 24 June 2026 of Southern Waterborne Transport Corporation (the “Company”) (UPCoM: SWC) at the level of 49%. The SSC hereby gives the opinion as follows:

1. Organizations and individuals participating in the preparation of the dossier shall be legally responsible for the legality, accuracy, truthfulness and completeness of the dossier in accordance with Clause 1 Article 11a of the Securities Law No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15, and shall be responsible for the results of the review of the maximum foreign ownership ratio of the Company in accordance with applicable laws.

2. The SSC requests the Company to perform its information disclosure obligations in accordance with Clause 2 Article 13 of Circular No. 96/2020/TT-BTC guiding information disclosure on the securities market, as amended and supplemented by Circular No. 68/2024/TT-BTC and Circular No. 18/2025/TT-BTC, and to comply with the legal regulations on foreign ownership ratio on the Vietnamese securities market.

3. Vietnam Securities Depository and Clearing Corporation shall update and adjust the maximum foreign ownership ratio of the Company on its system in accordance with Clause 4 Article 142 of Decree No. 155/2020/ND-CP detailing the implementation of a number of articles of the Securities Law, as amended and supplemented by Decree No. 245/2025/ND-CP.

The SSC hereby notifies the Company, Vietnam Securities Depository and Clearing Corporation and relevant units for their information and implementation in accordance with the regulations./.

Recipients:

- As stated above;
- The Chairperson (for reporting);
- Public Company Supervision Department;
- Securities Offering Management Department;
- Corporate Finance Department;
- Hanoi Stock Exchange;
- Archive: Administrative Office, Securities Market Development Department (09b).

**FOR THE CHAIRPERSON
HEAD OF SECURITIES MARKET
DEVELOPMENT DEPARTMENT**

(signed and sealed)

Pham Thi Thuy Linh