

No: M./BC-CTCPCNNA

Nghe An, January 22, 2025

**REPORT
on the Company's Management Status in 2024**

Dear: State Securities Commission,
Hanoi Stock Exchange.

- Listed company name: Nghe An Water Supply Joint Stock Company
- Headquarters address: 32 Phan Dang Luu Street, Truong Thi Ward, Vinh City, Nghe An.
- Phone: (02383) 844.208 - Fax: (02388) 668.118
- Email: nawasco@gmail.com - Website: www.nawasco.com.vn
- Charter capital: 373,859,830,000 VND (Three hundred seventy-three billion, eight hundred fifty-nine million, eight hundred thirty thousand VND)
- Stock code: NAW.
- Company governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Director.
- Regarding the implementation of internal audit functions: Not yet implemented.

I. Activities of the General Meeting of Shareholders

Information about the meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders passed in the form of written consent):

No	Resolution/Decision Number	Date	Content
1	No.01/NQ-DHDCD	April 20 th , 2024	1- Approval of the content of the reports: <ul style="list-style-type: none">- Report on the 2023 Business Operations Results and the 2024 Business operations Plan.- Summary of the audited financial statements for 2023.- Report of the Board of Directors on the evaluation of the company's business management performance in 2023.- Report of the Supervisory Board on the business operations, management, and administration of the Company by the Board of Directors and the General Director in 2023. 2 - Approval of the content of the proposals from the Board of Directors: <ul style="list-style-type: none">- Proposal for the approval of the 2023 salary and remuneration settlement and the 2024 salary and remuneration estimate for the Board of Directors and the Supervisory Board.- Proposal for the meeting to approve the selection of the auditing firm for the fiscal year 2024.

No	Resolution/Decision Number	Date	Content
			<ul style="list-style-type: none"> - Proposal for the main objectives of the 2024 business plan and the company's investment plan for the upcoming period. - Proposal to the General Meeting of Shareholders regarding the distribution of profits for the fiscal year 2024. - Proposal to the General Meeting of Shareholders for the dismissal and election of additional members to the Supervisory Board for the 2022 - 2027 term. - Proposal for the amendment and addition of business sectors.

II. Board of Directors:

1. Information about the members of the Board of Directors (BOD).

No	Board of Directors member	Position	Start date/End date as a member of the Board of Directors/Independent Board Member	
			Reappointment date	Date of dismissal
1	Mr.Nguyen Ba Quy	Chairman	April 29, 2022	April 29, 2022
2	Mr.Le Dinh Hoan	Member	April 29, 2022	April 29, 2022
3	Mr.Trinh Van Thang	Vice Chairman	July 16, 2022	April 29, 2022

2. Board of Directors meetings.

No	Board of Directors member	Number of meetings	Attendance rate	Reason for absence
1	Mr.Nguyen Ba Quy	09	100%	
2	Mr.Le Dinh Hoan	09	100%	
3	Mr.Trinh Van Thang	09	100%	

3. The Board of Directors' oversight activities of the Executive Board:

- The Board of Directors meets to: Approve the business performance results of the previous month and the business plan for the upcoming month; Review the tasks assigned by the Board of Directors to the General Director and management team for implementation in previous meetings; Decide on matters within its authority based on the proposals submitted by the General Director; Listen to the resolution of matters within the Board's authority; Hear and provide feedback on the monthly report of the Supervisory Board.

- In 2024, the Board of Directors has carried out its oversight of the General Director in the following key areas:

+ Organizing the implementation of the business plan assigned by the General Meeting of Shareholders for 2024.

+ The implementation of the resolutions of the Board of Directors.

+ Organizing and managing the daily business operations of the Company: The Executive Board effectively carried out the tasks assigned by the Board of Directors.

3.1. The implementation of the resolutions of the Board of Directors.

The Executive Board has effectively carried out the decisions and resolutions of the Board of Directors.

3.2. Organizing and managing the daily business operations of the Company.

The business operations in 2024 have been stable, closely following the targets set by the Annual General Meeting of Shareholders. The company has implemented proactive measures in production and business to achieve the set targets, especially in revenue and reducing the loss ratio.

The financial and accounting work is carried out in accordance with the legal regulations. Matters within the Board of Directors' authority are reported by the General Director to the Board for review and decision-making under the supervision of the Supervisory Board. All members of the Board of Directors and the Supervisory Board are promptly informed about the company's operational status.

The disclosure of information is always in compliance with legal regulations, ensuring transparency, objectivity, and an accurate reflection of the company's operational status.

In general, the Executive Board has carried out its management duties in accordance with the functions, tasks, and authority outlined in the Company's Charter. They have proactively addressed arising issues in management and operations, and implemented measures to effectively carry out the company's business objectives.

4. Activities of the subcommittees under the Board of Directors: None.

5. Resolutions/Decisions of the Board of Directors:

No	Resolution/Decision Number	Date	Content	Approval rate
1	01/NQ-HĐQT	February 28, 2024	Resolution on the closing of the shareholder list for the 2024 Annual General Meeting of Shareholders.	3/3
2	01/NQ-HĐQT	January 22, 2024	Regarding the approval of the content of the 2023 Annual Plan and the approval of the 2024 Business Production Plan	2/3
3	02/QĐ-HĐQT	January 25, 2024	Regarding the addition of a bonus fund for the management staff and employees in the company	2/3
4	03/QĐ-HĐQT	March 19, 2024	Approval of the content of the 2024 Business Production Plan	2/3
5	04/QĐ-HĐQT	April 4, 2024	Decision regarding the reward for highly skilled workers in the fiscal year 2023	3/3
6	05/QĐ-HĐQT	July 3, 2024	Decision to approve the notice for the sale of assets, including 10 water supply stations along with the rights to exploit, operate, and provide water supply services	2/3
7	04/QĐ-HĐQT	October 11, 2024	Decision regarding the continuation of the sale process of 10 water supply stations	3/3

III. Supervisory Board.

1. Information about the members of the supervisory board

No	Member of the Supervisory Board	Position	Date of becoming a member of the Supervisory Board (re-elected for a new term)	Professional qualification	
1	Mrs. Vo Thi Thin	Chairman of the Supervisory Board	July 16, 2022	University (Bachelor's degree in Accounting)	
2	Mrs. Le Thi Kim Oanh	Member of the Supervisory Board	April 29, 2022	Intermediate level in Accounting	
3	Mrs. Nguyen Thi Ngan	Member of the Supervisory Board	April 29, 2022	University (Bachelor's degree in Accounting) - Dismissed on April 20, 2024	
4	Mrs. Nguyen Thi Thanh Chau	Member of the Supervisory Board	April 20, 2024	Intermediate level in Accounting - Elected on April 20, 2024	

2. Supervisory Board Meeting

No	Member of the Supervisory Board	Number of meetings attended	Attendance rate at meetings	Voting rate	Reason for absence
1	Mrs. Vo Thi Thin	07	07	100%	
2	Mrs. Le Thi Kim Oanh	07	07	100%	
3	Mrs. Nguyen Thi Ngan	03	03	100%	
4	Mrs. Nguyen Thi Thanh Chau	04	04	100%	

3. Oversight activities of the Supervisory Board regarding the Board of Directors, the Executive Board, and shareholders.

Based on the powers and responsibilities of the Supervisory Board as stipulated by the Enterprise Law, the Charter of Nghe An Water Supply Joint Stock Company, and the implementation of the 2024 inspection and supervision plan, the Supervisory Board has conducted inspections and oversight of the company's production, business activities, and financial management in 2024 in the following main areas:

- Monitoring and overseeing the implementation of the resolutions of the 2024 Annual General Meeting of Shareholders. Assessing compliance with the company's charter, the Enterprise Law, and state laws.

- Monitoring and overseeing the reasonableness, legality, truthfulness, and prudence in the management, organization, and operation of business activities, as well as in accounting, statistics, and financial reporting.

- Overseeing the implementation of the 2024 business plan.

- Reviewing the appropriateness of decisions made by the Board of Directors and the Executive Board in management activities.

- On a monthly basis, the Supervisory Board participates in all Board of Directors meetings, company coordination meetings, and other meetings to enhance oversight of all activities within the company.

- Carrying out other tasks of the Supervisory Board as decided by the General Meeting of Shareholders and in accordance with current regulations.

Through its inspection and oversight activities in 2024, the Supervisory Board assessed that the management and operations of the Board of Directors, the Executive Board, and the company's management team have complied with state laws and the company's charter.

4. The coordination between the Supervisory Board and the activities of the Board of Directors, the Executive Board, and other management personnel.

The coordination between the Board of Directors, the Executive Board, and the Supervisory Board has been effective. The departments within the company have actively cooperated, providing full information and documents related to the company's activities when requested, thereby facilitating the Supervisory Board in carrying out oversight of all activities within the company.

5. Other activities of the Supervisory Board: In accordance with the Enterprise Law and the company's current charter.

IV. Executive Board:

NO	Members of the Executive Board	Date of birth	Professional qualifications	Date of appointment of Executive Board members
1	Mr.Hoang Van Hai	January 20, 1961	Mechanical Engineering Engineer	December 30, 2016
2	Mr.Nguyen Van Ha	February 26, 1978	Master of Infrastructure Engineering/Construction Engineer specializing in Water Supply and Drainage - Hanoi University of Civil Engineering.	January 2, 2017
3	Mr.Le Dinh Hoan	August 04, 1976	Accounting College Diploma	November 11, 2018

V. Chief Accountant.

Full name	Date of birth	Professional qualification	Date of appointment
Mrs.Tran Thi Lan Anh	November 15, 1986	Bachelor's degree in Economics	April 23, 2019

VI. Corporate governance training.

The corporate governance training courses that members of the Board of Directors, members of the Supervisory Board, the CEO (General Director), other management personnel, and the company secretary have participated in according to corporate governance regulations: None.

VII. List of related parties of the public company and transactions between the related parties and the company itself.

1. List of related parties of the company:

No	Organization /Individual Name	Securities Trading Account (if any)	Position at the Company (if any)	ID Card/Passport Number, Date of Issue, Place of Issue	Head Office Address/Contact Address	Starting Time as a Related Person	Ending Time as a Related Person	Reason	Relationship with the Company
1	Mr. Nguyen Ba Quy		Chairman of the Board of Directors (BOD)						
2	Mr. Hoang Van Hai		General Director						
3	Mr. Le Dinh Hoan		Member of the Board of Directors (BOD)						
4	Mr. Trinh Van Thang		Vice Chairman of the Board of Directors (BOD)						
5	Mr. Nguyen Van Ha		Deputy General Director						
6	Mrs. Vo Thi Thin		Head of the Supervisory Board						
7	Mrs. Tran Thi Lan Anh		Member of the Supervisory Board/Chief Accountant						
			Member of the Supervisory Board						
8	Mrs. Le Thi Kim Oanh		Member of the Supervisory Board						
	Mrs. Nguyen Thi Ngan		Member of the Supervisory Board						
10	Mrs. Nguyen Thi Thanh Chau		Chairman of the Board of Directors (BOD)						

2. Transactions between the company and its related parties; or between the company and major shareholders, insiders, or related parties of insiders: None.

3. Transactions between the company's insiders, related parties of insiders, and subsidiaries or companies controlled by the company: None.

4. Transactions between the company and other entities.

4.1. Transactions between the company and a company in which a member of the Board of Directors, a member of the Supervisory Board, or the CEO (General Director) has been or is currently a founding member or a member of the Board of Directors, CEO (General Director) within the past three (03) years (as of the report preparation date): None.

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4.2. Transactions between the company and a company in which a related party of a member of the Board of Directors, a member of the Supervisory Board, or the CEO (General Director) is a member of the Board of Directors or the CEO (General Director): None.

4.3. Other transactions of the company (if any) that may provide material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, or the CEO (General Director): None.

VIII. Transactions of shares by insiders and related parties of insiders (Semi-annual/Annual report)

1. List of insiders and related parties of insiders (with attached appendix).

2. Transactions of insiders and related parties regarding the shares of the listed company: No transactions.

IX. Other issues to note: None. 

Recipients:

- As above; (Signature and seal)
- Board of Directors members;
- Supervisory Board members;
- File copy, Secretary of the Board of Directors.

CHAIRMAN OF THE BOARD OF DIRECTORS



Nguyễn Bá Quý



APPENDIX 01: LIST OF INSIDERS AND RELATED PARTIES (INDIVIDUALS. ORGANIZATIONS) TO INSIDERS

Stock code: NAW

Organization name: Nghe An Water Supply Joint Stock Company

Cut-off date: December 31, 2024

No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
1	NAW	Nguyen Ba Quy	Chairman of the Board of Directors		ID card			30/12/2016	
1.01	NAW	Nguyen Ba Thiem		Father					Deceased
1.02	NAW	Le Thi Cu		Mother					Deceased
1.03	NAW	Doan Viet Kinh		Father-in-law					Deceased
1.04	NAW	Nguyen Thi Vuong		Mother-in-law					Deceased
1.05	NAW	Nguyen Thi Lai		Stepmother-in-law (wife's stepmother)	ID card				
1.06	NAW	Doan Thi Bich Hue		Wife	ID card				
1.07	NAW	Nguyen Ba Khanh Tung		Son	ID card				
1.08	NAW	Nguyen Ba Que		Son	ID card				
1.09	NAW	Vu Thi Thu Huong		Daughter-in-law	Citizen Identification Card				
1.10	NAW	Nguyen Anh Dao		Daughter-in-law	Citizen Identification Card				
1.11	NAW	Nguyen Thi Tra		Sister	ID card				
1.12	NAW	Nguyen Thi Huong		Younger Sister	ID card				

No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
1.13	NAW	Nguyen Ba Thuc		Younger Brother	ID card				
1.14	NAW	Nguyen Ba Phuong		Younger Brother	ID card				
1.15	NAW	Nguyen Ba Be		Younger Brother	ID card				
1.16	NAW	Ngo Xuan Hung		Brother-in-law	ID card				
1.17	NAW	To Huy Thuong		Younger Brother-in-law	ID card				
1.18	NAW	Nguyen Thi Binh		Younger Sister-in-law	ID card				
1.19	NAW	Tran Thi Loi		Younger Sister-in-law	ID card				
1.20	NAW	Tran Thi Hien		Younger Sister-in-law	ID card				
2	NAW	Trinh Van Thang	Vice Chairman of the Board of Directors (BOD)		Citizen Identification Card			05/09/2021	
2.01	NAW	Trinh Van Hue		Father	Citizen Identification Card				
2.02	NAW	Nguyen Thi Lan		Mother	Citizen Identification Card				
2.03	NAW	Le Van Tich		Father-in-law	Citizen Identification Card				

No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
2.04	NAW	Pham Thi Minh Khai		Mother-in-law	Citizen Identification Card				
2.05	NAW	Le Thi Thu Phuong		Wife	Citizen Identification Card				
2.06	NAW	Trinh The Anh		Son	Citizen Identification Card				
2.07	NAW	Trinh Nhat Minh		Son	Citizen Identification Card				
2.08	NAW	Trinh Thi Chau		Younger Sister	Citizen Identification Card				
2.09	NAW	Trinh Xuan Dung		Younger Brother	Citizen Identification Card				
2.10	NAW	Nguyen Tien Long		Younger Brother-in-law	Citizen Identification Card				
3	NAW	Le Dinh Hoan	Member of the Board of Directors		ID card			30/12/2016	
3.01	NAW	Father		Father					
3.02	NAW	Hoang Thi Ho		Mother	ID card				Deceased

No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
3.03	NAW	Nguyen Ba Viem		Father-in-law	ID card				
3.04	NAW	Nguyen Thi Hong Te		Mother-in-law	ID card				
3.05	NAW	Nguyen Thi Lien Thuong		Wife	ID card				
3.06	NAW	Le Ngoc Diep		Daughter	Citizen Identification Card				
3.07	NAW	Le Ngoc Thao		Daughter	Citizen Identification Card				
3.08	NAW	Le Dinh Kiet		Son					Still Young
3.09	NAW	Le Thi Hong Lam		Sister	Citizen Identification Card				
3.10	NAW	Le Thi Hong Giang		Sister	Citizen Identification Card				
3.11	NAW	Le Thi Minh Hien		Sister	ID card				
3.12	NAW	Phan Tien Dung		Brother-in-law	ID card				
3.13	NAW	Nguyen Ba Hung		Brother-in-law	Citizen Identification Card				
3.14	NAW	Le Dinh Hiep		Brother	ID card				
4	NAW	Hoang Van Hai	General Director		ID card			30/12/2016	
4.01	NAW	Tran Thi Ngan		Mother	ID card				
4.02	NAW	Hoang Van Vinh		Father					Deceased

No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
4.03	NAW	Le Thi Phuong		Wife	ID card				
4.04	NAW	Le Xuan Dau		Father-in-law	ID card				
4.05	NAW	Tran Thi Phuong		Wife	ID card				
4.06	NAW	Hoang Le Phuong Ly	Staff in the Accounting Department	Daughter	ID card				
4.07	NAW	Hoang Le Van Minh		Son	ID card				
4.08	NAW	Hoang Thi Dung		Younger Sister	ID card				
4.09	NAW	Hoang Van Quan		Younger Brother	ID card				
4.10	NAW	Tran Quoc Binh		Younger Brother-in-law	ID card				
4.11	NAW	Tran Thi Thuy Ngan		Younger Sister-in-law	ID card				
4.12	NAW	Nguyen Ha Phuong		Son-in-law	ID card				
5	NAW	Nguyen Van Ha	Deputy General Director		ID card			01/02/2017	
5.01	NAW	Nguyen Van Son		Biological Father	ID card				
5.02	NAW	Ngô Thị Diệp Ngo Thi Diep		Biological Mother	ID card				
5.03	NAW	Tran Thi Thanh Binh		Wife	ID card				
5.04	NAW	Tran Huu Mui		Father-in-law	ID card				
5.05	NAW	Pham Thi Loan		Mother-in-law	ID card				
5.06	NAW	Nguyen Chau Anh		Daughter					
5.07	NAW	Nguyen Van Quan		Son					Still Young
5.08	NAW	Nguyen Van Dong	Construction statistics officer	Younger Brother	ID card				Still Young

No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
5.09	NAW	Nguyen Thi Do		Younger Sister	ID card				
5.10	NAW	Nguyen Van Xo		Younger Brother	ID card				
5.11	NAW	Pham Thi Sim		Younger Sister-in-law	ID card				
5.12	NAW	Nguyen Huu Vi		Younger Brother-in-law	ID card				
5.13	NAW	Le Thi Thu Hoai		Younger Sister-in-law	ID card				
6	NAW	Tran Thi Lan Anh	Chief Accountant		ID card			23/04/2019	
6.01	NAW	Tran Van Hai		Father	ID card				
6.02	NAW	Hoang Thi Huong		Mother	ID card				
6.03	NAW	Le Van Lan		Husband	ID card				
6.04	NAW	Le Van Vinh		Father-in-law (husband's father)	ID card				
6.05	NAW	Nguyen Thi Xuyen		Mother-in-law (husband's mother)	ID card				
6.06	NAW	Le Van Minh		Son					Still Young
6.07	NAW	Le Van Minh Duc		Son					Still Young
6.08	NAW	Tran Thi Van Anh		Younger Sister	Citizen Identification Card				
6.09	NAW	Tran Thi Diep Anh		Younger Sister	ID card				
6.10	NAW	Tran Van Hoang		Younger Brother	ID card				
6.11	NAW	Phan Thanh Hien		Younger Sister-in-law	ID card				

No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
6.12	NAW	Ho Nam Son		Younger Brother-in-law	ID card				
7	NAW	Vo Thi Thin	Head of the Supervisory Board		ID card			30/12/2016	
7.01	NAW	Vo Thien Loi		Father					Deceased
7.02	NAW	Pham Thi Lan		Mother					Deceased
7.03	NAW	Nguyen Tho Hong		Father-in-law (husband's father)	ID card				Deceased
7.04	NAW	Phan Thi Vinh		Mother-in-law (husband's mother)	ID card				
7.05	NAW	Nguyen Tho Ha		Husband	ID card				
7.06	NAW	Nguyen Vu Bao Duong		Son	ID card				
7.07	NAW	Nguyen Gia Nhu		Daughter					Still Young
7.08	NAW	Vo Thi Hoi		Sister	ID card				
7.09	NAW	Vo Thi Cuc		Sister	ID card				
7.10	NAW	Vo Tien Ngoc		Brother	ID card				
7.11	NAW	Vo Thi Mai		Sister	ID card				
7.12	NAW	Vo Thi Truc		Sister	ID card				
7.13	NAW	Vo Thi Chuyen		Sister	ID card				
7.14	NAW	Vo Cong Chinh		Brother	ID card				
7.15	NAW	Vo Duc Thinh		Younger Brother	ID card				
7.16	NAW	Tran Dinh Hung		Brother-in-law	ID card				
7.17	NAW	Lo Thanh Tan		Brother-in-law	ID card				
7.18	NAW	Thai Van Thong		Brother-in-law	ID card				
7.19	NAW	Luu Thi Chin		Elder Sister-in-law	ID card				

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No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
7.20	NAW	Pham Thi Hong Tham		Elder Sister-in-law	ID card				
7.21	NAW	Bui Thi Thao		Younger Sister-in-law	ID card				
8	NAW	Le Thi Kim Oanh	Member of the Supervisory Board		ID card			03/07/2019	
8.01	NAW	Le Dinh Tu		Biological Father	ID card				
8.02	NAW	Duong Thi Mai		Biological Mother					Deceased
8.03	NAW	Le Dinh Tu		Father-in-law (husband's father)	ID card				Deceased
8.04	NAW	Nguyen Thi My		Mother-in-law (husband's mother)	ID card				
8.05	NAW	Nguyen Xuan Manh		Husband	ID card				
8.06	NAW	Nguyen Thi Ngoc Anh		Daughter	ID card				
8.07	NAW	Nguyen Xuan Hong		Son	ID card				
8.08	NAW	Nguyen Van Chien		Son-in-law					
8.09	NAW	Le Dinh Dung		Younger Brother	ID card				
8.10	NAW	Le Manh Hung		Younger Brother	ID card				
8.11	NAW	Nguyen Ha Thanh		Younger Sister-in-law	ID card				
8.12	NAW	Ha Thanh Nhan		Younger Sister-in-law	ID card				
9	NAW	Nguyen Thi Thanh Chau	Member of the Supervisory Board		Citizen Identification Card			20/04/2024	
9.01	NAW	Nguyen Huy Le		Father					Deceased

No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
9.02	NAW	Nguyen Thi Ngu		Mother					Deceased
9.03	NAW	Luu Xuan Dang		Father-in-law (husband's father)					Deceased
9.04	NAW	Vu Thi Tan		Mother-in-law (husband's mother)	Citizen Identification Card				
9.05	NAW	Luu Hong Phong		Husband					Deceased
9.06	NAW	Luu Trong Hieu		Son	Citizen Identification Card			05/03/2024	
9.07	NAW	Nguyen Huy Cuong		Brother	Citizen Identification Card				
9.08	NAW	Nguyen Thi My Hanh		Elder Sister-in-law	Citizen Identification Card				
9.09	NAW	Nguyen Thi Van		Sister	Citizen Identification Card				
9.1	NAW	Phan Minh Nam		Brother-in-law	Citizen Identification Card				

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No	Stock code	Full name	Position at the Company	Relationship with the insider	Type of Identification Document (ID card, Citizen Identification Card, Passport, Business Registration Certificate)	Identification Document Number	Issue date of the identification document	Date of appointment as insider / becoming a related party	Note
1	2	3	4	5	6	7	8	9	10
10	NAW	Song Con Sugarcane Joint Stock Company	Strategic Investor	Organization Authorizing a BOD Member to Hold Shares	Registration Certificate	2900324917	17/04/2018		
11	NAW	Nghe An Water Supply Joint Stock Company		Listed Organization	Registration Certificate	2900324240	09/07/2018		
12	NAW	Party Committee of Nghe An Water Supply Joint Stock Company							
13	NAW	Trade Union of Nghe An Water Supply Joint Stock Company							
14	NAW	Youth Union of Nghe An Water Supply Joint Stock Company							

Prepared by



Vo Thi Thin



Chairman of the Board of Directors 

Nguyen Ba Quy





CORPORATE GOVERNANCE INFORMATION DISCLOSURE

Reporting period: 2024

No		Question	Answer
1	General information	Stock code	NAW
2		State shareholder ownership percentage (%)	38,05%
3		Company model (1 or 2) _ 1: According to point a, Clause 1, Article 137 of the 2020 Enterprise Law _ 2: According to point b, Clause 1, Article 137 of the 2020 Enterprise Law	1
4		The number of legal representatives of the company?	2
5		Has the charter been amended according to the 2020 Enterprise Law? (Yes/No)	Yes
6		Has the company issued an internal regulation on corporate governance in accordance with the 2020 Enterprise Law and Decree No. 155/2020/ND-CP dated December 31, 2020?	Yes
7		General Meeting of Shareholders (During the reporting period)	Date of the Annual General Meeting of Shareholders (AGM)
8	Date of publication of the AGM documents on the company's website.		20/4/2024
9	Date of public disclosure of AGM documents to the Stock Exchange.		20/4/2024
10	Date of public disclosure of the resolutions and minutes of the Annual General Meeting of Shareholders to the Stock Exchange.		20/4/2024
11	The company successfully held its Annual General Meeting of Shareholders for the (1st/2nd/3rd) time.		1
12	Does the company provide the remuneration of each Board of Directors member as a separate item in the company's annual financial report? (Yes/No)		Yes
13	Does the company report the remuneration of each Board of Directors member at the Annual General Meeting of Shareholders? (Yes/No)		Yes
14	Does the company provide the salary of the CEO (General Director) and other management personnel as a separate item in the company's annual financial report? (Yes/No)		Yes
15	Does the company report the salary of the CEO (General Director) and other management personnel at the Annual General Meeting of Shareholders? (Yes/No)		Yes
16	Does the company invite a representative from the approved audit firm to attend the Annual General Meeting of Shareholders if the audit report of the company's annual financial statements includes significant exceptions, disclaimers, or contradictions?		Yes
17	Has the company been sued regarding the organization of the General Meeting of Shareholders or the resolutions of the Annual General Meeting of Shareholders? (Yes/No)		No
18	Date of the Extraordinary General Meeting of Shareholders.		
19	Date of publication of the Extraordinary General Meeting of Shareholders documents on the company's website.		
20	Date of public disclosure of the Extraordinary General Meeting of Shareholders documents to the Stock Exchange.		

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No		Question	Answer
21	General Meeting of Shareholders	Date of public disclosure of the resolutions and minutes of the Extraordinary General Meeting of Shareholders to the Stock Exchange.	
22		The company successfully held its Extraordinary General Meeting of Shareholders for the (1st/2nd/3rd) time.	
23		Has the company been sued regarding the organization of the Extraordinary General Meeting of Shareholders or the resolutions of the Extraordinary General Meeting of Shareholders? (Yes/No)	
24		Number of times the Stock Exchange has issued reminders or warnings regarding the organization and information disclosure of the General Meeting of Shareholders.	
25		Number of times the State Securities Commission (UBCKNN) has issued reminders or warnings regarding the organization and information disclosure of the General Meeting of Shareholders.	
26	Board of Directors	Number of members of the Board of Directors.	3
27		Number of independent members of the Board of Directors.	1
28		Does the Chairman of the Board of Directors also serve as the CEO/General Director? (Yes/No)	No
29		Number of Board of Directors meetings during the reporting period.	
30		Sub-committees under the Board of Directors (Yes/No)	No
31		Names of sub-committees under the Board of Directors.	
32		Has the company appointed a person responsible for Corporate Governance?	Yes
33	Supervisory Board	Does the Head of the Supervisory Board work full-time at the company (This question applies to companies operating under Model 1)	Yes
34		Number of members of the Supervisory Board (This question applies to companies operating under Model 1).	3
35		Number of supervisory board members who are related parties of the members of the Board of Directors, CEO/General Director, and other management personnel (List the names of supervisory board members who are related parties, if any) (This question applies to companies operating under Model 1).	
36		Number of supervisory board members holding management positions (List the names and positions, if any) (This question applies to companies operating under Model 1).	
37		Number of supervisory board members who were part of the accounting and financial department or employees of the audit firm that audited the company within 3 years prior to being elected to the Supervisory Board (Yes/No) (This question applies to companies operating under Model 1).	
38		Number of meetings of the Supervisory Board (This question applies to companies operating under Model 1).	7
39	Audit Committee	Is the Audit Committee under the Board of Directors? (This question applies to companies operating under Model 2).	
40		Number of members of the Audit Committee (This question applies to companies operating under Model 2).	

No		Question	Answer
41		Number of meetings of the Audit Committee (This question applies to companies operating under Model 2).	
42	Other issues	Does the company's website provide complete information for shareholders as required by regulations? (Yes/No)	Yes
43		Did the company pay dividends within 6 months from the date of the Annual General Meeting of Shareholders in the reporting period? (Yes/No)	
44		Has the company been reminded or warned by the Stock Exchange or the State Securities Commission (UBCKNN) regarding transactions with related parties? (Yes/No)	
45		Has the company implemented the internal audit function? (Implemented/Not implemented)	

