

**CÔNG TY CP CHỨNG
KHOÁN HẢI PHÒNG
HAIPHONG SECURITIES
JOINT STOCK COMPANY**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Số: 228 /CBTT-CKHP
No.: 228 /CBTT-CKHP

Hải Phòng, ngày 31 tháng 07 năm 2026
Hai Phong, July 31, 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE**

**Kính gửi: Ủy ban Chứng khoán Nhà nước/Sở Giao dịch Chứng khoán
Việt Nam/ Sở Giao dịch Chứng khoán Hà Nội
To: State Securities Commission/ Vietnam Exchange/ Hanoi Stock
Exchange**

1. Tên tổ chức/Name of organization: **CTCP Chứng khoán Hải Phòng/ Hai Phong
Securities Joint Stock Company**

- Mã chứng khoán/Mã thành viên/ Stock code/ Broker code: **HAC**

- Địa chỉ/Address: **Số 7, lô 28A, Lê Hồng Phong, Phường Gia Viên, TP.Hải Phòng/ No.
7, Lot 28A, Le Hong Phong, Gia Viên Ward, Hai Phong City.**

- Điện thoại liên hệ/Tel.: **02253.842335**

Fax: **02253.746266**

- E-mail: **haseco@haseco.vn**

2. Nội dung thông tin công bố/Contents of disclosure:

**Báo cáo thay đổi phương án sử dụng vốn thu được từ đợt phát hành 100.000.000 cổ
phiếu riêng lẻ theo Nghị quyết số 02/2025/NQ/ĐHĐCĐ-CKHP của Đại hội đồng cổ
đồng. Nội dung chi tiết được thể hiện trong Báo cáo đính kèm.**

*Report on the Adjustment to the Plan for the Use of Proceeds from the Private
Placement of 100,000,000 Shares in accordance with Resolution No.
02/2025/NQ/ĐHĐCĐ-CKHP of the General Meeting of Shareholders. Details are
provided in the attached Report.*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày
31/07/2026 tại đường dẫn: <https://haseco.vn/tathongtin/cong-bo-thong-tin/>

*This information was published on the company's website on July 31, 2026, as in the
link: <https://haseco.vn/tathongtin/cong-bo-thong-tin/>*



Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Tài liệu đính kèm/Attached documents:

Báo cáo thay đổi phương án sử dụng vốn/số tiền thu được từ đợt chào bán/phát hành số 225/2026/CV-CKHP ngày 31/07/2026/
Report No. 225/2026/CV-CKHP dated July 31, 2026 on the Adjustment to the Plan for the Use of Proceeds from the Offering/Issuance.

Đại diện tổ chức

Organization representative

Người được Ủy quyền CBTT

Person authorized to disclose information

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)

(Signature, full name, position, and seal)



Đoàn Thị Thúy



**HAI PHONG SECURITIES
JOINT STOCK COMPANY**

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No. 225/2026/CV-CKHP

Hai Phong, July 31, 2026

**REPORT ON CHANGE TO THE PLAN FOR USE OF CAPITAL/PROCEEDS
FROM THE OFFERING/ISSUANCE**

(Pursuant to Official Letter No. 6790/UBCK-QLKD dated October 27, 2025 of the State Securities Commission of Vietnam confirming receipt of the complete application dossier)

To: State Securities Commission of Vietnam.



I. INFORMATION ON THE ISSUER

1. Full name of issuer: HAI PHONG SECURITIES JOINT STOCK COMPANY
2. Head office address: No. 7, Lot 28A, Le Hong Phong Street, Gia Vien Ward, Hai Phong City, Vietnam.
3. Telephone: (0225) 3842.335 Fax: (0225) 3746.266 Website: www.haseco.vn
4. Charter capital: VND 1,291,810,960,000 *(One trillion two hundred ninety-one billion eight hundred ten million nine hundred sixty thousand Vietnamese dong)*
5. Stock code (if any): HAC
6. Joint Stock Commercial Bank for Investment and Development of Vietnam - Transaction Center 1 Branch
Account No.: 8603000666
7. Enterprise Registration Certificate No. 0201657860, initially issued by the Hai Phong City Department of Planning and Investment (now the Hai Phong City Department of Finance) on November 23, 2015 and amended for the 7th time on March 11, 2026.

- Main business line:

No.	Business line	Business code
1	Commodity contracts and securities brokerage Details: - Securities brokerage; - Proprietary securities trading; - Securities underwriting; - Securities investment advisory.	6612 (Primary)

- Main products/services: Securities brokerage, proprietary securities trading, securities underwriting and securities investment advisory.

8. Establishment and operation license (if required under specialized laws): Establishment

and Operation License No. 119/GP-UBCK issued by the State Securities Commission of Vietnam on November 23, 2015, as most recently amended and supplemented by Amended License No. 44/GPĐC-UBCK dated April 10, 2026.

II. SECURITIES ISSUED

1. Name of securities: Shares of Hai Phong Securities Joint Stock Company
2. Type of securities: Ordinary shares
3. Par value: VND 10,000 per share
4. Number of securities issued: 100,000,000 shares (*One hundred million shares*).
5. Total capital/proceeds raised: VND 1,000,000,000,000 (*One trillion Vietnamese dong*), of which the capital/proceeds raised for the project amounted to VND 0.
6. Completion date of the offering/issuance: January 14, 2026

III. PLAN FOR USE OF CAPITAL/PROCEEDS FROM THE OFFERING/ISSUANCE

1. Plan for use of capital/proceeds from the offering/issuance:

Pursuant to Resolution No. 02/2025/NQ/ĐHĐCĐ-CKHP dated June 26, 2025 of the 2025 Annual General Meeting of Shareholders and Board of Directors Resolution No. 33/2025/NQ-CKHP dated September 25, 2025, all proceeds from the offering shall be used for the following specific purposes:

No.	Capital requirement	Amount (VND)	Method of use of funds
1	Additional capital for proprietary securities trading activities	500,000,000,000	Disbursement for proprietary securities trading activities
2	Additional capital for margin lending activities	500,000,000,000	Disbursement for margin lending activities
	Total	1,000,000,000,000	

2. Proposed change:

Unit: VND

No.	Plan for use of proceeds	Planned amount pursuant to GMS Resolution No. 02/2025/NQ/ĐHĐCĐ-CKHP dated June 26, 2025 and BOD Resolution No. 33/2025/NQ-CKHP dated September 25, 2025	Adjustment amount	Amount after adjustment

1	Additional capital for proprietary securities trading activities	500,000,000,000	0	500,000,000,000
2	Additional capital for margin lending activities	500,000,000,000	(50,000,000)	499,950,000,000
	Total	1,000,000,000,000	(50,000,000)	999,950,000,000

3. Reason for the change: Pursuant to Report No. 19/2026/BC-CKHP dated January 15, 2026 on the results of the private placement of shares, the total net proceeds from the offering amounted to VND 999,950,000,000 due to an audit fee of VND 50,000,000. Accordingly, the Company adjusts the plan for use of proceeds based on its actual capital requirements and business implementation plan.

4. Basis for the change: Board of Directors Resolution No. 53/2026/NQ-HĐQT dated July 31, 2026 regarding the Adjustment to the Plan for Use of Proceeds from the Private Placement of Shares pursuant to Resolution No. 02/2025/NQ/ĐHĐCĐ-CKHP of the General Meeting of Shareholders.

5. The proposed change was disclosed on the Hanoi Stock Exchange and the Company's website at <https://haseco.vn/tathongtin/cong-bo-thong-tin/> on July 31, 2026.

Hai Phong, July 31, 2026

HAI PHONG SECURITIES JOINT STOCK COMPANY

LEGAL REPRESENTATIVE

(Signature, full name and seal)



TỔNG GIÁM ĐỐC
Ninh Lê Sơn Hải