

No.: 151 /BC-CKDK

Hanoi, 24 /01 / 2024

**CORPORATE GOVERNANCE REPORT**  
**PETROVIETNAM SECURITIES INC.**  
**(Reporting period of 2024)**

Respectfully to: - State Securities Commission of Vietnam;  
- Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange.

- Listed company name: PetroVietnam Securities Joint Stock Company
- Head office address: 2nd floor, HanoiTourist Building, No. 18 Ly Thuong Kiet, Phan Chu Trinh Ward, Hoan Kiem District, Hanoi City
- Tel: 024. 3934 3888 Fax: 024. 3934 3999 Email: mail.psi.vn
- Charter capital: VND 598,413 billion
- Stock ticker: PSI
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board and General Director/CEO
- Regarding the implementation of internal audit function: Implemented.

**I. Activities of the Board of Directors**

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders approved in the form of written opinions):

No.	Resolutions/Decisions No.	Date	Content
01	01/NQ-DHDCD-CKDK	23/04/2024	<ul style="list-style-type: none"><li>- Report on business performance in 2023;</li><li>- Report on business plan in 2024;</li><li>- Audited financial statements in 2023;</li><li>- Report on the activities in 2023 and the operation plan of the Board of Directors in 2024;</li><li>- Report of the Supervisory Board on business results, performance results of the Board of Directors, Board of Management in 2023;</li><li>- Self-assessment report on the activities of the Board of Supervisors and each member in 2023;</li><li>- Proposal for selecting an independent auditor to audit the financial statements in 2024;</li><li>- Remuneration fund in 2023 and planned remuneration fund in 2024;</li><li>- Profit distribution plan, provision and use of funds in 2024;</li></ul>

## II. Board of Directors (Report of 2024)

### 1. Information of Members of the Board of Directors (BOD):

No.	Member of the BOD	Title	Date of starting/cease of membership in the Board of Directors	Number of Board of Directors meetings attended	Meeting attendance rate	Reason for not attending the meeting
1	Mr. Nguyen Anh Tuan	Chairman	Start: 26/04/2017	02/02	100%	
2	Mrs. Ho Viet Ha	Member of BOD	Start: 15/01/2020	02/02	100%	
3	Mr. Trinh The Phuong	Member of BOD	Start: 26/04/2017	02/02	100%	
4	Mr. Tran Tuan Anh	Member of BOD	Start: 28/04/2022	02/02	100%	
6	Mrs. Phan Quynh Nga	Member of BOD	Start 26/04/2023	02/02	100%	

### 2. Supervisory activities of the Board of Directors over the Board of Management:

In order to ensure the good organization of the company's business operations in accordance with the decisions and directions of the Board of Directors, the Board of Directors regularly monitors the operations of the Board of Management and other departments through a specific and clear task assignment mechanism to evaluate the performance of the Board of Management to ensure that the Company's operations are transparent, in compliance with the law, and implemented in accordance with the Resolutions of the Board of Directors. The Board of Directors has performed its duties of monitoring the operations of the Board of Management through:

- The Chairman of the Board of Directors directly organizes meetings with the Divisions and concludes the instructions.
- The Board of Directors reviews and evaluates the implementation of the business plan through reports, documents of the Board of Management and quarterly reports sent to the Board of Directors.
- Reviewing reports and proposals from the subcommittees to monitor compliance and guide the operations of the Executive Board.

### 3. Activities of the subcommittees of the Board of Directors:

#### 3.1 Department of Internal Audit

The Internal Audit Department is a unit assisting the Board of Directors. In 2024, the Internal Audit Department has implemented the following specific tasks:

- Coordinate to review documents on Procedures, Regulations, Rules, etc. to ensure compliance with the provisions of the State law and management agencies;



- Conduct inspections of the operations of units within the company within the scope of the functions of Internal Audit;
- Maintain the review and assessment of the implementation of Resolutions, Decisions, and directions of the Board of Directors;
- Monitor and evaluate the activities of Risk Management throughout the system;
- Evaluate and analyze the Company's quarter and 6 months financial statements;
- Coordinate to perform the assessment and inspection of the Company's operations;
- Conduct internal exchanges and training on professional skills to ensure the good performance of the functions and tasks of Internal Audit.

### 3.2 Financial Service Policies Council

The Council convenes monthly meetings to approve the list of approved shares for margin lending.

### 3.3 Investment Council

The Investment Council, with its function, holds meetings when there are investment plans that need to be discussed and approved by members before implementation.

## 4. Resolutions/Decisions of the Board of Directors (in the first 6 months of 2024):

### 4.1 Resolutions of the Board of Directors

No.	Resolutions No.	Date	Contents	Rate of approval
1	01/NQ - HĐQT- CKDK	24/01/2024	Board of Directors Meeting Quarter 4. 2023	5/5
2	02/NQ - HĐQT- CKDK	30/01/2024	Issuing PSI's risk policy	5/5
3	03/NQ - HĐQT- CKDK	08/03/2024	Plan to organize the 2024 annual General meeting of shareholders	5/5
4	04/NQ - HĐQT- CKDK	28/03/2024	Approving transactions of internal actor and related parties with Vietnam Public Joint Stock Commercial Bank Fund Management Joint Stock Company	5/5
5	05/NQ - HĐQT- CKDK	01/04/2024	Assigning tasks to accounting manager at PSI	5/5
6	06/NQ - HĐQT- CKDK	01/04/2024	Approving draft contents to be submitted to the 2024 annual General meeting of shareholders	5/5
7	07/NQ - HĐQT- CKDK	08/05/2024	Board of Directors Meeting Quarter 1. 2024 Approving the business results of the first quarter of 2024 and the plan for the second quarter of 2024	5/5
8	09/NQ - HĐQT- CKDK	12/06/2024	Selecting independent auditor for 2024	5/5
9	10/NQ - HĐQT- CKDK	25/06/2024	Issuing investment operation regulations	5/5
10	11/NQ-HDQT-CKDK	03/7/2024	Approving the plan of PSI bond issuance	5/5



No.	Resolutions No.	Date	Contents	Rate of approval
11	12A/NQ-HDQT-CKDK	17/8/2024	Regular meeting of the Board of Directors, Quarter 2, 2024	5/5
12	13/NQ-HDQT-CKDK	26/8/2024	Change of Ho Chi Minh branch director	5/5
13	14/NQ - HDQT- CKDK	26/08/2024	Change of Corporate governance officer	5/5
14	15/NQ-HDQT-CKDK	11/9/2024	Appointment of Deputy CEO of the Company for Mrs. Luu Thi Viet Ha	5/5
15	17/NQ-HDQT-CKDK	22/10/2024	Regular meeting of the Board of Directors, Quarter 3, 2024	5/5
16	19/NQ-HDQT-CKDK	28/10/2024	Approving transactions with Company's Affiliated person of Vietnam Public Joint Stock Commercial Bank	5/5
17	21/NQ-HDQT-CKDK	14/11/2024	Approving the registration for trading of PSIH2426001 bonds on the private corporation bond trading system of HNX	5/5
18	25/NQ-HDQT-CKDK	06/12/2024	Approving transactions with Company's Affiliated person of Vietnam Oil and Gas Group	5/5
19	26/NQ-HDQT-CKDK	27/12/2024	Approving transactions with Company's Affiliated person of Vietnam Oil and Gas Group	5/5

#### 4.2 Decisions of the Chairman of the Board of Directors

No.	Decision No.	Date	Contents
1	01/QD-HDQT-CKDK	02/01/2024	Appointment of the Head of Investment Banking Department 2 – Investment Banking Division
2	02/QD-HDQT-CKDK	10/01/2024	Decision on appointment of Head of Finance and Accounting Department
3	03/QD-HDQT-CKDK	30/01/2024	Issuing PSI's risk policy
4	12/QD-HDQT-CKDK	01/04/2024	Decision on ceasing to assign duties to Mrs. Pham Thi Van
5	13/QD-HDQT-CKDK	01/04/2024	Decision on assigning duties to Mrs. Nguyen Thi Hong
6	14/QD-HDQT-CKDK	17/04/2024	Reappointing Mrs. Bui Hong Mai
7	19/QD-HDQT-CKDK	27/06/2024	Issuing the Investment Operation Regulations of the PetroVietnam Securities JSC
8	22/QD-HDQT-CKDK	11/7/2024	Assignment of the position of Deputy Director of Ho Chi Minh City Branch
9	25/QD-HDQT-CKDK	12/7/2024	Cease to assume the position of Head of the Finance and Accounting Department
10	26/QD-HDQT-CKDK	12/7/2024	Concurrently assume the position of Head of the Finance and Accounting Department
11	29/QD-HDQT-CKDK	05/8/2024	Decision to terminate the labor contract with Deputy Director of Ho Chi Minh City Branch
12	31/QD-HDQT-CKDK	26/8/2024	Cease to assume the position of the person in charge of corporate governance
13	32/QD-HDQT-CKDK	26/8/2024	Assigning tasks to Mrs. Le Thi Phuong Hoa

No.	Decision No.	Date	Contents
14	33/QD-HDQT-CKDK	11/9/2024	Appointing Deputy CEO of PSI
15	41/QD-HDQT-CKDK	10/10/2024	Resigning duties of concurrently to Mrs. Phan Quynh Nga
16	42/QD-HDQT-CKDK	10/10/2024	Assigning duties to Mrs. Luu Thi Viet Ha
17	44/QD-HDQT-CKDK	13/11/2024	Approval of transactions with affiliated persons

### III. Supervisory Board during the last 6 months of 2024

#### 1. Information of the members of Supervisory Board

No.	Members of Supervisory Board	Title	Date of starting/cease of membership in Supervisory Board	Qualifications
1	Mrs. Nguyen Thi An	Head of Supervisory Board	Starting: 27/04/2018 Head of Supervisory Board since 26/4/2023	Bachelor of Finance
2	Mr. Bui The Anh	Member of Supervisory Board	Starting: 26/04/2017	Bachelor of Banking and Finance
3	Mrs. Nguyen Thi Thu	Member of Supervisory Board	Starting: 26/04/2023	Bachelor of Economics

#### 2. Meetings of Supervisory Board

No.	Members of Supervisory Board	Title	Number of attended meetings	Attending rate	Reason of not attending
1	Mrs. Nguyen Thi An	Head of Supervisory Board since 26/4/2023	1/1	100%	
2	Mr. Bui The Anh	Member of Supervisory Board	1/1	100%	
3	Mrs. Nguyen Thi Thu	Member of Supervisory Board	1/1	100%	

#### 3. Supervisory activities of the Supervisory Board towards the Board of Directors, Board of Management and shareholders:

The Supervisory Board consists of three (03) members elected by the General Meeting of Shareholders (GMS) to carry out supervisory activities in accordance with the provisions of law and the Company's Charter. In the last 6 months of 2024, the Supervisory Board coordinated with the Board of Directors (BOD) and the Board of Management (BOM) to conduct inspection, supervision and recommendation of necessary changes at PSI to contribute to improve the



effectiveness of risk management activities and the quality of corporate governance. Specifically:

- Having reviewed the Audited Financial Statements for the first 6 months of 2024; the Board of Management's Business Performance Report for the first 6 months of 2024; and the Board of Directors' Performance Report for the first 6 months of 2024.
- According to the assessment of the Supervisory Board, the Board of Directors and the Board of Management have basically completed the planned targets assigned by the General Meeting of Shareholders in 2024, while complying with the procedures and regulations in business operations according to the Charter, internal governance regulations and current legal regulations. Specifically:

➤ **For the Board of Directors:**

- The Board of Directors has organized activities in accordance with the provisions of the Charter and the Company's Governance Regulations, ensuring the number of meetings and the number of members attending to meet the legality and effectiveness of the meetings.
- Providing specific and feasible implementation directions and closely monitoring the implementation of the Resolutions of the General Meeting of Shareholders and the Company's business operations.
- The Board of Directors has provided directions and monitored the implementation of the Company's business plan for 2024:

➤ **For the Board of Management**

- Organizing and implementing business activities according to the direction and resolutions of the Board of Directors.
- Fundamentally completing assigned financial targets and action goals.

**4. Coordination of activities between the Supervisory Board and the Board of Directors, Board of Management and other management staff:**

In 2024, with the coordination of the Board of Directors (BOD) and the Board of Management (BOM), the Supervisory Board continued to carry out inspection, supervision and recommend necessary changes at PSI to contribute to increasing the effectiveness of risk management activities and improving the quality of corporate governance. Specifically:

- The BOD, the BOM and the units have provided information to the Supervisory Board for inspection and supervision.
- The Supervisory Board has received coordination in the control activities of the BOD and related units in the company.

**5. Other activities of the Supervisory Board**

In 2024, the Supervisory Board attended quarterly and on-demand meetings of the Board of Directors to grasp the basic business situation of the Company and contribute opinions to the Board of Directors and the Board of Management in implementing the goals approved by the General Meeting of Shareholders. In addition, the Supervisory Board carried out other tasks as follows:

- Reviewing the Financial Statement for the first 6 months of 2024
  - Coordinating with the Internal Audit and Risk Management Departments to conduct periodic inspections, mainly in terms of compliance and some key points.
- 6. Coordination of activities between the Supervisory Board and the Board of Directors, Board of Management and other management staff:**

In 2024, with the coordination of the Board of Directors (BOD) and the Board of Management (BOM), the Supervisory Board continued to carry out inspection, supervision and recommend necessary changes at PSI to contribute to increasing the effectiveness of risk management activities and improving the quality of corporate governance. Specifically:

- The BOD, the BOM and the units have provided information to the Supervisory Board for inspection and supervision.
- The Supervisory Board has received coordination in the control activities of the BOD and related units in the company.
- The BOD, the BOM and relevant departments in the company have no obstacles in the operation of the Supervisory Board.

#### **IV. Board of Management**

No.	Members of Board of Management	Date of Birth	Qualifications	Date of appointment/removal of members of the Board
1	Mrs. Phan Quynh Nga	17/01/1979	MBA	10/02/2022 - Deputy CEO of the Company 27/04/2023 - CEO of the Company 23/06/2023 - Concurrently Director of Ho Chi Minh Branch 10/10/2024: ceased to be Director of Ho Chi Minh Branch
2	Mr. Nguyen Manh Cuong	26/03/1986	Bachelor of Economics	26/02/2021 – Deputy CEO of the Company 27/02/2024 – No reappointment
3	Mrs. Luu Thi Viet Ha	14/04/1982	Bachelor of Economics	11/09/2024 – Deputy CEO of the Company 10/10/2024 – concurrently Director of Ho Chi Minh Branch



No.	Members of Board of Management	Date of Birth	Qualifications	Date of appointment/removal of members of the Board
4	Mrs. Pham Thi Hai Van	01/12/1975	Bachelor of Foreign Language Economics	20/7/2010 – Director of Vung Tau Branch
5	Mrs. Dao Thi Phuoc	22/05/1977	Bachelor of Accounting Bachelor of Law	29/7/2019 - Director of Da Nang Branch

#### **V. Chief Accountant**

Full Name	Date of Birth	Qualifications	Date of appointment/dismissal
Mrs. Pham Thi Van	05/09/1983	Bachelor of Accounting	24/02/2023: Accounting Manager 30/06/2023: Cease to hold concurrent position as Accounting Manager 21/08/2023: Accounting Manager 01/04/2024: Cease to hold position as Accounting Manager
Mrs. Nguyen Thi Hong	19/09/1979	Bachelor of Accounting, Business Administration	01/04/2024: Head of Finance and Accounting Department concurrently Accounting Manager

#### **VI. Training on Corporate Governance:**

The company participates and fully implements according to regulations.



## VII. List of Affiliated persons of the public company

### 1. List of Affiliated persons of the company

#### ❖ Board of Directors

*Note: Onwer Certificate Number\*: ID/Passport No. (for individual) or Business Registration Certificate No., License of Operation No. or equivalent legal document (for institution):*

No.	Name of institution/individual	Securities Trading Account (if any)	Title at company (if any)	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Date of becoming affiliated person	Date of ceasing to be affiliated person	Reason	Relationship
1	Mr. Nguyen Anh Tuan		Chairman of the Board of Directors			30/03/2016			
2	Mr. Trinh The Phuong		Member of the Board of Directors			18/03/2015			
3	Mrs. Ho Viet Ha		Member of the Board of Directors			15/01/2020			
4	Mr. Tran Tuan Anh		Member of the Board of Directors			28/04/2022			
5	Mrs. Phan Quynh Nga		Member of the Board of Directors			26/04/2023			

*Note: Onwer Certificate Number\*: ID/Passport No. (for individual) or Business Registration Certificate No., License of Operation No. or equivalent legal document (for institution):*

#### ❖ Supervisory Board

No.	Name of institution/individual	Securities Trading Account (if any)	Title at company (if any)	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Date of becoming affiliated person	Date of ceasing to be affiliated person	Reason
1	Mrs. Nguyen Thi An		Head of Supervisory			27/04/2018		

			Board since 26/4/2023					
2	Mr. Bui The Anh		Member of Supervisory Board			15/04/2016		
3	Mrs. Nguyen Thi Thu		Member of Supervisory Board			26/04/2023		

*Note: Onwer Certificate Number\*: ID/Passport No. (for individual) or Business Registration Certificate No., License of Operation No. or equivalent legal document (for institution):*

❖ **Board of Management**

No.	Name of institution/individual	Securities Trading Account (if any)	Title at company (if any)	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Date of becoming affiliated person	Date of ceasing to be affiliated person	Reason
1.	Mrs. Phan Quynh Nga		CEO			10/02/2022 Appointment of Deputy CEO 27/04/2023 Appointment of CEO		
2.	Mrs. Phan Quynh Nga		Concurrently Director of Ho Chi Minh Branch			23/06/2023	10/10/2024	Ceasing to hold concurrent position as Director of HCM Branch
3.	Mrs. Luu Thi Viet Ha		Deputy CEO			11/09/2024		Appointment
4.	Mrs. Luu Thi Viet Ha		Concurrently Director of Ho Chi Minh Branch			10/10/2024		Appointed concurrently as Director of HCM Branch
5.	Mr. Nguyen Manh Cuong		Deputy CEO			01/10/2020	27/02/2024	No reappointment
6.	Mrs. Pham Thi Van		Accounting Manager			24/02/2023	01/04/2024	Ceasing to assign duty of



No.	Name of institution/individual	Securities Trading Account (if any)	Title at company (if any)	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Date of becoming affiliated person	Date of ceasing to be affiliated person	Reason
								Accounting Manager
7.	Mrs. Nguyen Thi Hong		Head of the Finance and Accounting Department concurrently Accounting Manager			01/04/2024		Head of the Finance and Accounting Department concurrently Accounting Manager
8.	Mrs. Pham Thi Hai Van		Director of Vung Tau Branch			20/7/2010		
9.	Mrs. Dao Thi Phuoc		Director of Da Nang Branch			29/7/2019		

❖ **Internal actors**

No.	Name of institution/individual	Securities Trading Account	Title at company (if any)	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Date of becoming internal actor	Date of ceasing to be internal actor	Reason
1	Mr. Nguyen Anh Tuan		Chairman of the Board of Directors			30/03/2016		
2	Mr. Trinh The Phuong		Member of the Board of Directors			18/03/2015		
3	Mrs. Ho Viet Ha		Member of the Board of Directors			15/01/2020		
4	Mr. Tran Tuan Anh		Member of the Board of Directors			28/04/2022		
5	Mrs. Phan Quynh Nga		Member of the Board of Directors			26/04/2024		

No.	Name of institution/individual	Securities Trading Account	Title at company (if any)	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Date of becoming internal actor	Date of ceasing to be internal actor	Reason
7	Mr. Bui The Anh		Member of Supervisory Board			15/04/2016		
8	Mrs. Nguyen Thi An		Head of Supervisory Board since 26/4/2023			27/04/2018		
9	Mrs. Nguyen Thi Thu		Member of Supervisory Board			26/04/2023		
10	Mrs. Phan Quynh Nga		Member of Board of Directors - CEO			10/02/2022 (Appointment of Deputy CEO)		Appointment of CEO and Board of Directors member from 27/04/2023
			Concurrently Director of Ho Chi Minh Branch			23/06/2023	10/10/2024	Appointed concurrently as Director of HCM Branch
11	Mrs. Luu Thi Viet Ha		Deputy CEO			11/09/2024		Appointment
			Concurrently Director of Ho Chi Minh Branch			10/10/2024		Appointed concurrently as Director of HCM Branch
12	Mr. Nguyen Manh Cuong		Deputy CEO			01/10/2020	27/02/2024	No reappointment
			Corporate Governance Officer			10/03/2020		Resignation
13	Mrs. Pham Thi Van		Accounting Manager	033183011916 dated 24/07/2021 by the Police Department for Administrative	319-A10, Nghia Tan St., Cau Giay Dist., Hanoi	21/08/2023	01/04/2024	Ceasing to assign duty of Accounting Manager



No.	Name of institution/individual	Securities Trading Account	Title at company (if any)	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Date of becoming internal actor	Date of ceasing to be internal actor	Reason
				Management of Social Order				
14	Mrs. Pham Thi Hai Van		Director of Vung Tau Branch	042175000277 dated 18/04/2017 by the Police Department for Administrative Management of Social Order	4C Nguyen Thi Minh Khai St., Da Kao Ward, District 1, Ho Chi Minh City	20/7/2010		
15	Mrs. Nguyen Thi Hong		Head of the Finance and Accounting Department concurrently Accounting Manager			01/04/2024		Head of the Finance and Accounting Department concurrently Accounting Manager
16	Mrs. Dao Thi Phuoc		Director of Da Nang Branch			29/7/2019		
17	Mrs. Le Thi Phuong Hoa		Company Secretary			10/03/2020		
			Corporate Governance Officer			26/08/2024		
18	Mrs. Tran Tu Lan		Authorized person to disclose information			08/04/2016		
			Head of Internal Audit Department			05/01/2015		
19	Mr. Vu Hoang Tien		Internal Audit Officer			26/12/2019		

❖ *Company holding controlling stake and companies related to the company holding controlling stake*



No.	Name of institution/individual	Securities Trading Account (if any)	Title at company (if any)	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Date of becoming affiliated person	Date of ceasing to be affiliated person	Reason
1	Vietnam Public Joint Stock Commercial Bank		Institution holding controlling stake					
2	Vietnam Public Joint Stock Commercial Bank Fund Management JSC					04/08/2024		
3	Vietnam Public Bank Asset Management Company Limited (PVcombank AMC)					01/10/2013		

❖ *Enterprises owning more than 10% of voting shares*

No.	Shareholder information					Data of ownership ratio			
	Full name/Company name	ID/Business Registration Certificate No.	Issuance date	Address	Nationality	Beginning of the year		31/12/2024	
						Number of shares	Ownership ratio on Charter capital	Number of shares	Ownership ratio on Charter capital
1	Vietnam Public Joint Stock Commercial Bank	0101057919	01/10/2013	No. 22, Ngo Quyen St., Trang Tien Ward, Hoan Kiem District, Hanoi	Vietnam	30,622,674	51.17%	30,622,674	51.17%

2. Transactions between the company and affiliated persons of the company; or between the company and major shareholders, internal actors, and related persons of internal actors:

STT	Full name/Company name	Relationship with the company	Onwer Certificate No.*, Issuing date and entity	Head office address/Contact address	Time of transaction with company	No. of Resolutions/Decisions approved by the General Meeting of Shareholders/Board of Directors	Content, quantity, total value of the transaction	Note
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1	Vietnam Public Joint Stock Commercial Bank Fund Management JSC	Both are subsidiaries of Vietnam Public Joint Stock Commercial Bank	No. 23/UBCK-GP by the State Securities Commission dated 14/12/2007 and the amended versions	9th Floor, Hapro Building, No. 11B Cat Linh St., Quoc Tu Giam Ward, Dong Da District, Hanoi	First 6 months of 2024	Resolution No. 04/NQ-HDQT-CKDK dated 28/03/2024 on "Approval of transactions of internal actor and affiliated party with Vietnam Public Joint Stock Commercial Bank Fund Management JSC"	Securities portfolio management contract worth VND 125 billion.	
2	Vietnam Public Joint Stock Commercial Bank	Parent company	279/GP-NHNN dated 16/9/2013 by the State Bank of Vietnam and the amended versions	No. 22 Ngo Quyen St., Hanoi	Last 6 months of 2024	Resolution No. 19/NQ – HDQT – CKDK dated 13/11/2024 on “Approval of transaction with the Affiliated person of the company”	Service of Intergrating and opening linked accounts using EKYC technology	
3	Vietnam Oil and Gas Group	Parent company of Vietnam Public Joint Stock Commercial Bank	176/GP-TTDT, dated 11/09/2019	No. 18 Lang Ha St., Hanoi.	Last 6 months of 2024	Resolution No. 25/NQ – HDQT – CKDK dated 27/12/2024 on “Approval of transaction with the Affiliated person of the company”	Capital transfer advisory contract between PSI and PVN in providing advisory services "adopting and implementing PVN's capital transfer plan at PVI, PAP, PVE, Petrosetco"	
4	Vietnam Oil and Gas Group	Parent company of Vietnam Public Joint Stock Commercial Bank	176/GP-TTDT, dated 11/09/2019	No. 18 Lang Ha St., Hanoi.	Last 6 months of 2024	Resolution No. 26/NQ – HDQT – CKDK dated 27/12/2024 on “Approval of transaction with the Affiliated person of the company”	Contract for providing advisory services to organize the General Meeting of Shareholders between PSI and PVN	

3. Transactions between internal actor of listed company, related persons of internal actor and subsidiary, company in which the listed company holding controlling stake

Two transactions

4. Transactions between the company and other parties

No transaction.

- 4.1. Transactions between the company and companies in which the members of the Board of Directors, members of the Supervisory Board, and the Executive Director were or are founding members or members of the Board of Directors, Executive Directors within the past three (03) years (as of the time of reporting)

No transactions.

- 4.2. Transactions between the company and companies in which related persons of members of the Board of Directors, members of the Supervisory Board, and Executive Director are members of the Board of Directors, Executive Director

One transaction

- 4.3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Supervisory Board, and the Executive Director.

No transactions.

#### **VIII. Share transactions of internal actors and related parties of internal actors**

No transactions.

#### **IX. Other issues to be noted**

None.

**Recipients:**

- As above;
- BOD, Supervisory Board (to monitor and direct the implementation);
- BOM (to implement);
- Head of the units in PSI (for information);
- Archive at clerical and BOD offices.

CHAIRMAN OF BOARD OF DIRECTORS   
  


**Nguyen Anh Tuan**



FORM NO. 03: LIST OF INTERNAL ACTORS AND RELATED PERSONS/ORGANIZATIONS OF INTERNAL ACTORS

No.	Stock ticker	Full name	Securities Trading Account No.	Title at the company	Relationship with the internal actor	Type of Owner Certificate (ID/Passport/ Business Registration Certificate)	Owner Certificate No.	Issuance date	Issuance entity	Head Office Address/Childtact Address	Current Quantity Of Owned Shares	Current Ownership Ratio	Time to become internal actor/related entity of internal actor	Note
A01	A02	A03	A04	A05	A06	A07	A08	A09	A10	A11	A12	A13	A14	A15
1	PSI	Nguyen Anh Tuan	045C505152	Chairman of the Board of Directors		ID					75	0.00%	26/04/2016	
1.1	PSI	Nguyen Ngoc Mui			Father - Deceased	ID					0	0.00%		
1.2	PSI	Tran Thi Tu Lan			Mother	ID					0	0.00%		
1.3	PSI	Trinh Huy Quang			Father-in-law	ID								
1.4	PSI	Phan Thi Be			Mother-in-law	ID								
1.5	PSI	Trinh Thi Thu Thuy			Wife	ID					0	0.00%		
1.6	PSI	Nguyen Thi Bich Ngoc			Younger sister	ID					0	0.00%		
1.7	PSI	Nguyen Thi Ngoc Anh			Younger sister	ID					0	0.00%		
1.8	PSI	Tran Trong Hieu			Brother-in-law	ID								
1.9	PSI	Pham Hung Son			Brother-in-law	Passport								
1.10	PSI	Nguyen Thi Mai Chi			Child	ID					0	0.00%		
1.11	PSI	Nguyen Mai Anh			Child						0	0.00%		
2	PSI	Trinh The Phuong	045C000383	Member of the Board of Directors		ID					300	0.00%	26/04/2017	
2.1	PSI	Trinh The Tan			Father						0	0.00%		
2.2	PSI	Nguyen Thi Phuong			Mother						0	0.00%		
2.3	PSI	Trinh Thi Ha			Elder sister						0	0.00%		
2.4	PSI	Trinh Thi Hanh			Elder sister						0	0.00%		
2.5	PSI	Nguyen Thi Thoa			Wife						0	0.00%		
2.6	PSI	Trinh Thi Minh Anh			Child						0	0.00%		
2.7	PSI	Trinh Lam Anh			Child						0	0.00%		
2.8	PSI	Trinh The Phong			Child						0	0.00%		
3	PSI	Ho Viet Ha	045C002698	Member of the Board of Directors		ID					0	0.00%	15/01/2020	
3.1	PSI	Ho Van Luyen			Father	ID					0	0.00%		
3.2	PSI	Le Thi Hoa			Mother	ID					0	0.00%		
3.3	PSI	Ho Viet Anh			Younger sister	ID					0	0.00%		
3.4	PSI	Nguyen Minh Tu			Husband	ID					0	0.00%		
3.5	PSI	Nguyen Ha Phuong			Child	ID					0	0.00%		
3.6	PSI	Nguyen Tu Lam			Child	ID					0	0.00%		
4	PSI	Phan Quynh Nga	045C000123	Member of the Board of Directors - CEO		ID					0	0.00%	26/04/2023	Additional election of member of Board of Directors
4.1	PSI	Nguyen Tien Dung			Husband						0	0.00%		
4.2	PSI	Nguyen Phan Minh Khanh			Child	ID					0	0.00%		
4.3	PSI	Nguyen Khanh Chi			Child	ID					0	0.00%		
4.4	PSI	Phan Viet Hong			Father	ID					0	0.00%		
4.5	PSI	Le Thi Kim			Mother	ID					0	0.00%		

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4.6	PSI	Phan Quynh Thoa		Younger sister	ID	0	0.00%		
4.7	PSI	Hoang Thanh		Brother-in-law	ID	0	0.00%		
5	PSI	Tran Tuan Anh	Independent member of the Board of Directors		ID	0	0.00%	28/04/2022	
5.1	PSI	Tran Dinh Que		Father	ID	0	0.00%		
5.2	PSI	Phan Thi Hong Ha		Mother	ID	0	0.00%		
5.3	PSI	Truong Dieu Linh		Wife	ID	0	0.00%		
5.4	PSI	Tran Tien Dung		Younger brother	ID	0	0.00%		
5.5	PSI	Tran Truong Minh Giap		Child		0	0.00%		
5.6	PSI	Tran Truong Minh Tu		Child		0	0.00%		
5.7	PSI	Tran Truong Minh Uyen		Child		0	0.00%		
6	PSI	Nguyen Thi An	Head of Supervisory Board		ID	0	0.00%	26/04/2023	Member of Supervisory Board since 27/04/2018
6.1	PSI	Nguyen Van Thap		Father	ID	0	0.00%		
6.2	PSI	Lam Thi Nga		Mother	ID	0	0.00%		
6.3	PSI	Nguyen Thi Nhan		Elder sister	ID	0	0.00%		
6.4	PSI	Nguyen Thi Lan		Elder sister	ID	0	0.00%		
6.5	PSI	Pham Van Nhi		Brother-in-law	ID				
6.6	PSI	Nguyen Chi Lang		Brother-in-law	ID				
6.7	PSI	Tran Duc Lam		Husband	ID	0	0.00%		
6.8	PSI	Tran Thu Uyen		Child	ID	0	0.00%		
6.9	PSI	Tran Duc Minh		Child	ID	0	0.00%		
7	PSI	Bui The Anh	045C006688	Member of Supervisory Board	ID	25	0.00%	26/04/2017	
7.1	PSI	Nguyen Thi Thanh An		Mother	ID	0	0.00%		
7.2	PSI	Bui The Phuong		Younger brother	ID	0	0.00%		
7.3	PSI	Nguyen Thi Ha Thanh		Wife	ID	0	0.00%		
7.4	PSI	Bui The Lam		Child	ID	0	0.00%		
7.5	PSI	Bui The Son		Child	ID	0	0.00%		
8	PSI	Nguyen Thi Thu	045C241109	Member of Supervisory Board	ID	0	0.00%	26/04/2023	Additional election of member of Supervisory Board
8.1	PSI	Ta Quang Thanh		Husband	ID	0	0.00%		
8.2	PSI	Ta Quang Minh		Child		0	0.00%		
8.3	PSI	Ta Thi Thuy Duong		Child		0	0.00%		
8.4	PSI	Nguyen Trong Tranh		Father	ID				
8.5	PSI	Pham Thi Ly		Mother	ID	0	0.00%		
8.6	PSI	Nguyen Trong Thuyen		Younger brother	ID	0	0.00%		
8.7	PSI	Nguyen Thi Men		Sister-in-law	ID				
9	PSI	Nguyen Manh Cuong	045C500104	Deputy CEO	ID	42.075	0.07%	26/02/2021	Resigning since 10/07/2024
9.1	PSI	Nguyen Manh Hung		Father	ID	0	0.00%		
9.2	PSI	Tran Thi Kim Chi		Mother	ID	0	0.00%		
9.3	PSI	Nguyen Thi Huyen Trang		Elder sister	ID	0	0.00%		
9.4	PSI	Hoang Thi Phuong Anh		Wife	ID	0	0.00%		
9.5	PSI	Nguyen Minh Khang		Child		0	0.00%		
10	PSI	Luu Thi Viet Ha	045C001482	Deputy CEO	ID			11/09/2024	

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14.1	PSI	Vu Trong Quoc Thinh		Husband - Deceased	0	0.00%
14.2	PSI	Vu Duc Huy		Child	0	0.00%
14.3	PSI	Tran Cuong Thiet		Father	0	0.00%
14.4	PSI	Nguyen Thi Cam		Mother	0	0.00%
14.5	PSI	Tran Ngoc Trinh		Younger sister	0	0.00%
14.6	PSI	Tran Hong Cuong		Younger brother	0	0.00%
14.7	PSI	Pham Thi Ai Quyen		Sister-in-law	0	0.00%
14.8	PSI	Vu Trong Khang		Father-in-law	0	0.00%
14.9	PSI	Pham Thi Nga		Mother-in-law - Deceased		
15	PSI	Vu Hoang Tien	045C005962	Internal Audit Officer		26/12/2019
15.1	PSI	Chu Van Thanh		Father-in-law		
15.2	PSI	Bui Thi Lan		Mother-in-law		
15.3	PSI	Chu Thi Minh Hue		Wife		
15.4	PSI	Vu Hoang Linh	045C250381	Younger brother		
15.5	PSI	Vu Tien Hung		Child		
15.6	PSI	Vu Quang Binh		Child		



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